Improving the Odds:
Healthy Child Development

Focus on the Early Years: Neuroscience and Implications for Clinical Practice

TOOLKIT: Interdisciplinary MAINPRO CME
for Family Physicians and other Primary Healthcare Providers
2003
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Please note that programs, services and guidelines may change, therefore the reader is encouraged to consult current sources of information.

The information herein reflects the views of the authors and no official endorsement by the government of Ontario is intended or should be inferred.
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A Peer Presenter Program called “Improving the Odds: Healthy Child Development” was recently introduced to family physicians and other primary healthcare providers in Ontario. The interdisciplinary steering committee initially came together in the spring of 2000 and has continued to advise the current authors and editors of this toolkit.

The purpose of this program is to highlight recent developments in early neurodevelopment and to explore how these developments can be incorporated into medical practice. Specific modules were developed to cover broad areas of Healthy Child Development including research evidence, risk factors, parenting, effective strategies and the role of interdisciplinary teams. This toolkit will help identify available resources to help families access support and services in a convenient, coordinated and integrated fashion. It is hoped that teams of family doctors, public health nurses, and other primary healthcare providers will be exposed to the workshop and then proceed to develop integrated, interdisciplinary programs in communities throughout Ontario. While each individual module could be a training program in itself, “Improving the Odds: Healthy Child Development” is an integrated course that considers overall child health and development and the influences on neurodevelopment.

This toolkit was developed for the interdisciplinary MAINPRO-C CME program in Healthy Child Development. Participants include primary healthcare providers such as physicians, nurses, nurse practitioners and midwives. The toolkit summarizes the information included in the program and can act as a reference resource following the workshop. The toolkit includes information about the Ontario Antenatal Record and the ALPHA Tool to assist in identifying and addressing concerns during pregnancy. The toolkit also describes the Rourke Record, an evidence based infant/child health maintenance guide that can be used in the primary care office setting to facilitate assessment of child development. As well, parent education tools such as the Nipissing District Developmental Screen are introduced to help in assessment and to provide guidance and advice to parents. Additional screening and assessment tools used by Healthy Babies Healthy Children in Ontario will also be discussed.

A. Long Term Consequences of Early Brain Development
Recent human development research suggests that the period from conception to age six has the most important influence of any time in the life cycle on brain development and subsequent learning, behaviour and health (Williams, 1999). Patterns that are established with the birth of the first child set the stage for long-term family cohesion and communication. Meaningful relationships require secure attachments and these are critical to the development of coping skills, competence and trust in the world. According to Dr. J. Fraser Mustard (Keating and Hertzman, 1999), “There is substantial evidence that the quality of early childhood experiences has long term effects on an individual’s performance in the education system, their behaviour in adult life and their risks for chronic disease in adult life.”

B. Role in Early Neurodevelopment
Family physicians and other primary healthcare providers use their understanding of human development and family and other social systems to develop a comprehensive approach for promoting health and managing disease and illness in patients and their families.
throughout the life cycle. They are also adept at working to reach common ground with patients on the definition of problems, goals of treatment, and the respective roles of primary healthcare providers and the patient in management of the condition. Family doctors work together with parents and other service providers including obstetricians, pediatricians, public health nurses, nurses, nurse practitioners, social workers, early interventionists, psychiatrists, psychologists, school administrators, early childhood educators, teachers and the faith community, etc. to support healthy child development. Family physicians and other primary healthcare providers are trusted professionals who can teach parents about parenting and child development while respecting parent’s individual value systems, religious beliefs and cultural preferences.

The philosophy of care is usually family-centred. Family Centred Services recognize the significance of family support, participation and choice. They respond to the physical, emotional and psychosocial needs of the patient and family. Care is provided within the context of the family.

**Note re: Canadian Families**

The authors recognize that family composition and the roles of family members vary widely in Canada. While we often think of a family as a father, a mother and their children, single parent families are growing in number. “Parents” may be of different or of the same gender and may involve biological parents and/or other adults. Parents may work outside the home, or may stay at home with their children. Often it is the mother who provides primary care for young children; however, the role of primary caregiver may be served by the father or extended family. For the sake of simplicity, this resource refers to the father and the mother of children in their early years, recognizing that families are often much more complex. We live in a multicultural society and customs and beliefs also influence parenting practices. Primary healthcare providers need to be sensitive to the variety of family structures and the range of roles served by individual family members.

It is important for primary healthcare providers to be aware of local child development services such as Healthy Babies Healthy Children, in order to provide appropriate referrals and to work collaboratively with other service providers. The primary healthcare provider also plays an important role in addressing client isolation and barriers to services such as language, disability and geographic location. Services that are culturally inclusive, available in different languages and offer home visits, childcare and transportation, can have a positive impact on client isolation and factors influencing child development.

**C. Goals and Objectives**

The goals and objectives of the “Improving the Odds: Healthy Child Development” Peer Presenter program for family physicians and other primary healthcare providers are to:

- Foster preconception and prenatal health, recognizing that nutrition, drug use and other health habits have an impact on reproductive health and influence pregnancy outcomes
- Provide education regarding the importance of healthy brain development during the first six years of life and its implication for learning, behaviour and health
- Educate healthcare providers about the importance of parenting programs such as those offered through Early Years Centres and the need for “early referral” of all children during critical periods of development
- Educate primary healthcare providers in the use of developmental screening tools to facilitate early identification of children with conditions such as autism and other physical, cognitive, social and emotional concerns
- Assist primary healthcare providers in assessing for family problems that may interfere with the healthy development of children
- Address the perception that early identification may be harmful where services are limited
- Increase awareness and maximize use of local healthy child development services that meet the needs of children and families
- Develop “opinion leaders” throughout Ontario who will continue to support the work of primary healthcare providers
- Provide a forum for integration of new research evidence, government policy, coroner’s jury recommendations and public health initiatives into guidelines for primary care practitioners
Introduction

In the last thirty years, neuroscience has been uncovering the relationship between nature and nurture in sculpting the brain during the early years. Neurodevelopment of the fetus, infant and child is dependent on and modified by the environment. In addition, contrary to previous belief that the brain becomes more active as it grows, it is now recognized that the brain is most active during the earliest years. The quality of the early sensory experiences influences the brain’s ability to think and regulate bodily functions. The effects of these experiences have implications for future physical and mental health as well as learning. In response to this newer perspective, the goal of family physicians and other primary healthcare providers must be to optimize the conditions for healthy growth and development for all children.

This section of the toolkit provides important background research evidence about early brain development, starting with a neuroscience update. Detailed information can be found in The Early Years Study (McCain and Mustard, 1999), the Early Brain and Child Development Kit (American Academy of Pediatrics) and at Neurodevelopment.html.

Physicians and other primary healthcare providers need to fully understand the implications of these insights of the neurosciences. A child’s environment and experiences have a long term impact on his or her emotional, social, cognitive and physical development. Brain development begins soon after conception. Plasticity is a feature of the brain throughout life, although to a more limited degree in the mature brain than in the developing brain. New discoveries also support the belief that the possibility of change continues throughout life. Nonetheless, the first few years are critical in laying a foundation for brain development.

Old Thinking

• Genetics are of prime importance
• Early experiences have a limited impact
• Secure relationship-favourable context for development
• Development is linear
• Toddler’s brain is less active than a young adult’s brain

New Thinking

• Interplay between genes & experience
• Early experiences have an important impact
• Early interactions affect brain wiring
• Development is non-linear
• Toddler’s brain is twice as active as a young adult’s brain (Shore, 1997)

A. Early Neurodevelopment Processes

Neurulation

Neurulation begins soon after conception. At 16 days, a group of ectodermal cells form a plate on the developing embryo. This neural plate folds to form a groove at 20 days and the groove fuses along the top forming a tube at 22 days. The tube is closed by 26 days, when a woman may still be unaware of the pregnancy. At the top end, the tube enlarges to form the brain.

Figure 1: Formation and Closure of the Neural Tube – Adapted from Neurodevelopment.html

Cross section – 16 days
16 days
18 days
20 days

26 days
25 days
23 days
22 days

Improving the Odds: Healthy Child Development
**Neural Tube Growth**

The forebrain subdivides in the fifth week into the telencephalon. By six weeks, the areas of the pons, medulla, cerebellum, thalamus, basal ganglia, limbic system, and cerebral cortex are beginning to take shape.

*Figure 2: Early Human Brain Development – Adapted from Neurodevelopment.html*

**Cerebral Cortex Development**

From the neuroepithelial cells lining the neural tube, neurons and glial cells are differentiated. As they are formed neurons migrate along glial cells outward, adding outer layers until there are six layers in the cerebral cortices. By five weeks the right and left hemispheres begin to develop. By the end of the first trimester, the mid and hind brain are well developed but the cerebral cortex is still smooth and undifferentiated. By 24 weeks, the beginnings of the major sulci or grooves in the cortex are becoming evident. Primary sulci are about the same in everyone’s brain. There is more variation in secondary sulci. The tertiary sulci vary a lot and do not develop until the last month of gestation and through the first year of life. Each contains columns of neurons.

The Central Nervous System (CNS) is comprised of many different types of cells: neurons, glia, etc. Each type of cell is generated by a sequence of molecular-genetic events. The generative zone of origin (location on the neural tube) determines the kinds of cells that will be produced and where ultimately they will appear in the nervous system (see Figure 2).

**Migration and Differentiation**

In the cerebral cortex, neuroblasts are guided to their target destinations by radial glial cells.

**Adult derivatives**

- **Olfactory lobes** - Smell
- **Hippocampus** - Memory storage
- **Cerebrum** - Association (“Intelligence”)
- **Retina** - Vision
- **Epithalamus** - Pineal gland
- **Thalamus** - Relay center for optic and auditory neurons
- **Hypothalamus** - Temperature, sleep, and breathing regulation
- **Midbrain** - Fiber tracts between anterior and posterior brain, optic lobes, and tectum
- **Cerebellum** - Coordination of complex muscular movements
- **Pons** - Fiber tracts between cerebrum and cerebellum (mammals only)
- **Medulla** - Reflex center of involuntary activities

**Figure 3: Migration – Adapted from the Early Brain Development Kit, American Pediatric Society**

Neurons come in many sizes and shapes. They have a long process that conducts information away from the cell body. A series of smaller processes called dendrites receives information from other nerve cells through synaptic connections. Most cells are multipolar (several dendrites and an axon). Sensory neurons receive information directly or through nonneuronal receptor cells. Motor neurons connect with muscle or glands. Most of the CNS is composed of interneurons. There are also several kinds of glial or supporting cells that perform a variety of functions from producing myelin sheaths to regulating extracellular fluid.
**Intrinsic Neurons** have local dendritic trees and axonal projections and do not project across multiple brain areas. Most neurons in the brain are intrinsic. Some of the most important intrinsic neurons are found in the cortex. Most of the neurotransmitter-receptor-effector systems in the cortical systems are inhibitory/refining. This means that when the neurotransmitter occupies the receptor site, changes in the membrane result in a less responsive postsynaptic neuron. The higher in the brain functionally, the more there are complex (local) regulating systems. The mature cells develop processes (axons and dendrites) and then form connections/synapses.

*Figure 4: Arborization – Adapted from the Early Brain and Child Development Kit, American Academy of Pediatrics*

**Extrinsic Neurons** project axons out of their “home” area in the brain to many areas. Extrinsic excitatory neurotransmitter systems are more common lower in the brain. These neurons play a major role in coordinating and connecting the separate areas of the brain, both physically and functionally. The brain stem monoamine systems (eg. norepinephrine, dopamine, serotonin) are key examples of the important orchestrating neurons. Serotonin is an important neurotransmitter in the limbic system and is associated with emotions and memory. Some of these important systems are active in regulating the response to stress. The stress response involves extrinsic neurons, especially the neural systems comprising the reticular activating system (RAS). This system is important in consciousness and alertness. The following figure shows the projections of the norepinephric system. The centre of this system is in the locus coeruleus. This bilateral nucleus in the floor of the fourth ventricle sends projections to virtually all other brain areas. It plays a key role in orchestrating and regulating the response to threat. The norepinephrine system is illustrated as an example. Similar systems exist for serotonin and dopamine.

*Figure 6: Norepinephrine System – Adapted from Andreasen and Black, 1991*

**Cellular Growth**

Cellular growth continues furiously from birth to two years. New neurons are not added but dendritic growth and new synapses are formed. The cortex increases in size.

*Figure 7: Cellular Growth – Adapted from Neurodevelopment.html*

**Synaptic Sculpting or Pruning**

From birth there is a massive increase in the number of synaptic connections. All areas of the brain go through a phase of synaptic over production, followed by a phase of pruning back or retraction.
of these connections. The visual area reaches a peak of over production at about the end of four months of age followed by a decline until about the fifth year. Other areas in the prefrontal area do not reach their peak until the end of the first year and gradually decline until adolescence when the adult complement of synapses is reached.

Figure 8: Synaptic Pruning – Adapted from the Early Brain and Child Development Kit, American Academy of Pediatrics

[Image of synaptic pruning]

Figure 9: Synaptic Changes – Adapted from the Early Brain and Child Development Kit, American Academy of Pediatrics

[Image of synaptic changes over time]

Hierarchy of the Brain
The brain is hierarchical; that is, it organizes from the inside out and from the bottom to the top, brainstem to cortex, with the simplest functions in the brainstem and the most complex in the cortex. As wave after wave of migrating neurons complete their cycles, eventually 6 layers of the cortex are formed. Importantly, these layers are formed in an inside-out fashion. This means that the deepest layers of the cortex are formed first, followed progressively by more superficial layers. Thus, the oldest part of the cortex is also the deepest part. Lastly, “columns” of related cells also form, many of which are thought to serve specific functions, such as the role of ocular dominance in vision.

Figure 10: Hierarchy of the Brain – Adapted from the Early Brain and Child Development Kit, American Academy of Pediatrics

While somewhat simplified, it is clear that functional complexity correlates with the organizational complexity of the brain. The simplest regulatory functions are mediated by the lower, less-complex brainstem and the most complex functions (those that confer the most unique human properties) are mediated in the cortex. The human cortex contains approximately 40% of the total neurons in the brain. A key to understanding human behaviour is to recognize the complexity and organizational rationale of the brain. Different systems and areas of the brain mediate unique functions. The systems of the brain that allow us to “think” are different from the systems that allow us to move or to regulate our heart rate.

Myelination
In many parts of the brain the axons of neurons are myelinated. This sheath provides insulation so that the conduction is faster and smoother. Myelination is not likely completed in the prefrontal cortex until adolescence.

Figure 11: Myelination – Adapted from the Early Brain and Child Development Kit, American Academy of Pediatrics
B. Sensitive Periods of Early Development

Neural plasticity, that is the ability of neural systems to be modified by experience, plays a central role in brain development. It allows for adaptation from internal and external inputs. This ability is most important during the postpartum period when shaping of the neural systems is underway. As development proceeds the neural systems become more stable and patterns of function emerge. However plasticity remains a feature throughout life as evidenced by the fact that adults can learn new skills such as a second language or mount recovery after brain damage. Nonetheless the degree of flexibility is reduced with maturation and shows individual variation. Some neural systems (“experience expectant”), that is, most sensory systems, depend on experience occurring during a sensitive period of time in order for optimal functioning to occur.

These “experience expectant” pathways require a set of signals or stimulation to be present to differentiate normally; synapses are formed after only minimal experience has been obtained. Stereoscopic vision depends on regions of the visual cortex receiving separate inputs from each eye. These inputs result in a separate column of cells for each eye. If input is absent from one eye, or is abnormal, then these columns fail to develop normally and stereoscopic vision is compromised.

There is clear evidence from neuroscience research that the sensitive period for the development of visual perception is well defined and is dependent upon visual stimulation. There is recent evidence that the auditory system has a similar sensitive period so that speech perception depends on hearing appropriate language sounds during this period.

There is much evidence that the development of the regulatory systems that guide how we respond to stresses and challenges and how our emotional responses react develop definite patterns during the very early years. There is also evidence that remediation in later life may be possible through a variety of avenues such as psychotherapy.

The neural connections of the brain are not all constructed at the same time. It appears that there may be different sensitive periods for different parts and interrelated functions of the brain. The evidence for this comes from both the biological sciences, including neuroscience, and observational human and animal development studies.

Figure 12: Sensitive Periods for Early Development – Adapted from McCain and Mustard, 1999

Sensitive period  Sensitive period wanes

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<thead>
<tr>
<th></th>
<th>Sensitive period</th>
<th>Sensitive period wanes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Binocular vision</td>
<td></td>
<td></td>
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<tr>
<td>Central Auditory System</td>
<td></td>
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<tr>
<td>Emotional Control</td>
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<tr>
<td>Habitual ways of responding</td>
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Some neural functions retain more plasticity and are sometimes termed “experience dependent”. Many aspects of motor and somatosensory functions can be modified throughout life. Particular examples include the practicing a musical instrument bringing about change in the motor cortex or the learning of Braille recruiting the visual cortex in a blind person.

It seems that certain aspects of cognitive development may require experience at a particular time. These may relate in some way to numeracy and literacy. However, these factors as yet remain unclear. Older preschool children seem to be in an optimal period of development to lay the groundwork for skills that are embedded in their particular cultural context. In western society, literacy and numeracy are important cultural tools. But there is no doubt that changes in cognitive function are possible throughout most of the life span.

C. Early Developmental Needs

During the first years, the infant needs to learn to modulate threat, to focus attention and to interact. Through interaction the infant achieves a sense of competence in affecting his/her surroundings and in gaining trust in relationships. The infant is also learning how to give and take and about empathy through experience. If not, the infant struggles to deal with his/her reactions and frustrations. Some children are more sensitive than others and may avoid interaction. Parents may be more or less
intense in their approach to the infant. Ideally, the approach becomes moderated as the child and parent adapt to each other. As the infant becomes more able to modulate his feelings and behaviour, freedom to explore and learn increases. In the process, the child develops perceptions of himself, how he fits in and can affect his environment. If the child feels heard, feels he can do things himself and feels he is valued, then he will be ready to learn, to interact with others and to be cooperative (Sutton, 1995).

Early developmental needs include:

- A responsive environment attuned to the child’s needs
- Support to modulate negative affect (physiological and physical)
- The presence of a consistent nurturing caregiver
- Consistent structure with the freedom to play and explore in a safe environment
- Cognitive stimulation with particular focus on experience in gross motor, fine motor, speech and other specific developmental areas

**D. Reciprocity**

An ongoing nurturing relationship with an infant involves the caregiver being able to read and respond to the baby’s signals. A “dance” between the caregiver and infant develops which enables the infant to learn to modulate his emotions and behaviour (Berkowitz and Grych, 1998).

*Figure 13: Three Sources of Energy for Development – Adapted from Brazelton and Greenspan, 2000*

A responsive and nurturing environment helps an infant to build the neurobiological base for a flexible and adaptive stress response. The sensitive caregiver protects the newborn from over or under stimulation and helps the infant attain a steady state. The development of self-regulation in the infant is believed to start at birth. The task of the infant is to develop the capacity to maintain equilibrium in the face of internal and external stimulation. The caregiver assists the infant in this process. This self-regulation is the process whereby the infant becomes more resourceful in coping with incoming stimuli and is increasingly able to explore their world. The infant begins to understand emotional cues and to respond. The responsive caregiver provides more stimulation such as singing and sounds, with careful attention to the infant’s capacity to tolerate new levels of stimulation. These interactions enable the brain cells to be recruited for particular purposes.

The process of learning to regulate behaviour and modulate affect has an important impact on how the child will handle stress and change. It is through these neural pathways that early brain development comes to affect the regulation of the autonomic nervous system and the endocrine/immune system through the hypothalamic pituitary axis.

**E. Hypothalamic Pituitary Adrenal Axis (HPA)**

The way the brain reacts to stressful stimuli is influenced by early brain development and affects the individual’s capacity to think and regulate body function. Stressful stimuli activate arousal, stimulating the sympathetic nervous system and the HPA pathway. The initial response releases chemicals that heighten sensitivity and improve memory etc. But over stimulation or sustained stress has the opposite effect and appears to actually destroy cells in the HPA axis. Further chronic stress suppresses the immune system. The quality of sensory stimulation in the earliest years helps set the template for the brain’s endocrine and immune pathways. The relationship between the brain and the endocrine system seems to be the pathway that is important for competence and coping skills. Learning skills as well as disease risks are related to these pathways (AAP, no date; Perry, 1993; Teicher, 2002).
**Key Points - The Early Years**

- Before age one, there is rapid and extensive neurological development.
- Brain development is very vulnerable to environmental influences.
- The influence of early environment is long lasting.
- The early environment affects the number of cells, the number of connections and the way they are wired.
- There is scientific evidence for the negative impact of early stress on brain function.
SECTION 2

RISK FACTORS – CLINICAL ISSUES

Introduction
Research is providing evidence that neuromaturation is directed by genetic mechanisms whose timing is regulated by and whose unfolding is sensitive to environmental influences.

“Even the process of adaptation that follows a specific insult to the brain is complex. Many times severe disabilities highlight the limitations of the central nervous system for recovery; but on the other hand, there is equal evidence of the brain’s ability to adapt to a wide variety of insults with apparently few sequelae. The young brain is more adaptive than the more differentiated mature brain; that is more changes in communicative pathways can be made (rewiring). The effect of an insult to the CNS may be insurmountable or be simply a risk factor that can be neutralized by a nurturant, caregiving environment. For the child, the interactions and relationships with caregivers are the most crucial elements in the environment. If these relationships are dysfunctional, even the most biologically resilient child will be at risk for later problems. When these relationships are supportive of adaptation, the child with severe neurological vulnerabilities may have the opportunity to thrive.”
(Shonkoff, and Marshall, 2000)

Developmental Vulnerability
Insults to the developing nervous system of a fetus or child may have adverse consequences for later competence. The developing nervous system is vulnerable to a wide range of risks. Factors that are present in the parents prior to conception can influence reproductive health and future pregnancy outcomes. During the prenatal and perinatal period, the nervous system of the fetus is particularly vulnerable. After delivery, factors related to the child's immediate social environment are additional concerns. It is important for the primary healthcare provider to have a good understanding of strategies to identify risks to early development, and how to prevent or moderate their influence as described in Section 4 of this toolkit. In this section, information is provided on risks that may be present in the preconception, prenatal, perinatal and postnatal periods.

A. Preconception Issues
Prior to conception, a range of factors in the mother and the father can influence fertility, reproductive health and ultimately, the health of future children. These factors can be related to lifestyle factors, genetics, the social environment and medical concerns. Preconception is an opportune time to determine and reduce risks to future progeny.

Genetic Factors
Many genetic disorders are associated with neurological, cognitive and behavioural abnormalities. In multifactorial disorders, the expression of the disorder in the individual is dependent on the interactive effect of one or more genes and specific environmental factors. Genetic disorders include:

–Chromosomal abnormalities, for example Down Syndrome or Fragile X
–Single gene defects, for example inborn errors of metabolism such as PKU
–Mitochondrial disorders
–Multifactorial disorders, for example neural tube defects or schizophrenia

Pre-existing Maternal Conditions
Pre-existing conditions in the mother can pose risks to fetal neurodevelopment if maternal health is not well managed prior to pregnancy. Diseases such as diabetes, cystic fibrosis, lupus and epilepsy can compromise the fetus. Medications such as anti-convulsants, antihypertensives, antipsychotics and lithium can potentially present threats to the fetus during pregnancy (the present thinking is that
SSRIs present little or no risk during pregnancy). The ideal time to assess and manage pre-existing conditions and medications is prior to conception. The risk to the fetus versus the risk to the mother may have to be balanced. Motherisk is a good source of information (www.motherisk.org) about pregnancy and medications (prescription or OTC), tobacco, alcohol, substance use, herbal products or occupational/environmental exposures. At Motherisk, a team of medical experts provides healthcare providers and patients with current information. See Appendix A for a more comprehensive list of preconception concerns.

B. Prenatal Developmental Vulnerability

Maternal Health Problems
Pre-existing conditions such as illness or use of medications can continue to pose risks to infant neurodevelopment if they are not well managed throughout pregnancy. Health problems that arise during pregnancy, such as eclampsia and gestational diabetes, can also pose a risk to the infant.

Maternal Nutritional Risks
Nutrition concerns that impact on neurodevelopment in the fetus include:

– **Folic Acid**: Folic acid can substantially reduce the risk of neural tube defects, both in a first pregnancy and after an affected pregnancy. Because the development of the neural tube is already near completion when a woman may become aware of her pregnancy, folic acid supplementation must be initiated prior to conception. At least half the cases of neural tube defects can be prevented through periconceptional supplementation with folic acid (Van Allen et al., 2002). While some Canadian foods are now fortified with folic acid, the levels are insufficient to prevent neural tube defects. The 2002 Health Canada recommendation for folic acid supplementation is 0.4 mg per day for women of childbearing age. In the case of a previous neural tube affected birth, 4.0 mg per day is recommended (Van Allen et al., 2002).

– **Nutrition Patterns**: Women need extra nutrients during pregnancy. Poor nutrition patterns can contribute to low birth weight. Some women aim to gain too little weight during pregnancy or adhere to ill-informed diets. Other women live in poverty and have little access to nutritious foods. Inadequate nutrition threatens both the mother and the unborn child.

– **Calcium and iron** are important dietary concerns. Iodine deficiency can also be a concern.

For comprehensive nutrition information, please refer to Nutrition for a Healthy Pregnancy: National Guidelines for the Childbearing Years at www.hc-sc.gc.ca.

Toxins
Substances that can have negative consequences on fetal neurodevelopment include:

– **Alcohol**: Alcohol use in pregnancy is a leading cause of birth defects and developmental delays in Canadian children. Although Fetal Alcohol Syndrome (FAS) is usually associated with heavy or binge drinking, low levels of alcohol use are associated with conditions such as low birth weight. The full syndrome includes facial anomaly, neurological concerns and growth restriction. Effects on learning and behaviour are noted even when characteristic facial features are not evident. A newer term, Fetal Alcohol Spectrum Disorder, describes the entire range of problems associated with prenatal alcohol exposure. The prudent choice for women who are or may become pregnant is to abstain from alcohol (see www.fas-saf.org).

– **Tobacco**: The greatest concerns with tobacco use are intrauterine growth restriction and/or premature labour resulting in an increased risk for low birth weight. In addition, there is increased risk of miscarriage, tubal pregnancy and multiple other problems.

– **Illicit Drugs**: Cocaine is associated with low birth weight, intrauterine growth restriction and abnormal brain growth. It has been difficult to determine the precise influence of cannabis and other illicit drugs in pregnancy due to confounding factors such as nutrition, alcohol and tobacco use as well as other lifestyle factors.

– **Chemicals**: Many substances are harmful to the developing fetal brain. Exposure to these toxins can occur in the home and in the work place. Such substances include mercury, lead, organophosphates, solvents, etc. In northern communities, families may rely on wild game for food that may be contaminated by lead or mercury. Motherisk can provide important information about chemicals and pregnancy.

Infection
During pregnancy, certain infections can lead to neurological
impairment in the fetus including:

--- Rubella: While Rubella effects are rarely seen in Ontario, infections in early pregnancy are associated with more severe symptoms in the infant. 25% of affected infants have CNS symptoms at birth including increased irritability, small head size and hypotonia. By one year, one third of children have psychomotor retardation. Progressive hearing loss and progressive visual deficits related to cataracts and retinitis may occur. Often new impairments appear as development progresses.

--- HIV Infection: There is about a 30% transmission rate from infected mother to fetus without treatment. The infant may present with failure to thrive, subacute encephalopathy with delays in developmental milestones, apathy and spasticity. Subtle changes in behaviour, such as deterioration in play or mood may herald neurological regression. Ideally, HIV status should be determined and appropriate counselling regarding pregnancy initiated prior to conception. HIV screening must be offered to all pregnant women. Treatment of HIV during pregnancy significantly reduces the risk of transmission to the fetus. See [http://hiv.medscape.com/](http://hiv.medscape.com/) for more information.

--- Toxoplasmosis: 40% of infected women pass on the infection to the fetus. 10% of infants with the disease show symptoms at birth. These severe symptoms include seizures, chorioretinitis and hydrocephalus. The rest of the affected infants will develop symptoms later with chorioretinitis, motor problems, deafness and retardation. Uncooked contaminated meat is the primary source. Kitty litter also presents a risk because cats carry toxoplasmosis without symptoms and excrete the protozoa in their faeces (Shuhaiber et al., unpublished).

--- Cytomegalovirus: This is currently the most common cause of congenital infection that can lead to neurological impairment. Most infants are asymptomatic at birth but develop sensori-neural hearing impairment. Some infants are more severely affected. It is usually primary infection during pregnancy that is associated with congenital infection in the fetus but it can be subsequent infection (likely a different strain). In the general population, immunocompetent individuals are not seriously affected and most are seropositive. Contact with young infants (i.e. in childcare centres) and immunocompromised individuals (i.e. in hospitals) are sources of infection through body fluids. Hand washing is stressed in these environments.

--- Other Infections: There are other infections of concern in the prenatal period including Listeria and Brucella (often contracted from unpasteurized cheese), Parvovirus 19 and Varicella (commonly contracted in childcare settings).

Social Factors
Social factors such as a mother’s experience of abuse and low levels of social support are predictive of higher risk pregnancies and of problems after the infant is born. In these multifactorial situations, the incidence of low birth weight and preterm births is higher. See Appendix B for the ALHA tool.

--- Lack of Social Support: Even in the absence of abuse, pregnant women without support (such as women with an absent or unsupportive partner, single women, women with limited community or family supports or women living in an isolated area) are at risk for depression and unhealthy behaviours such as poor nutrition and substance use. Pregnant teens may be especially vulnerable due to isolation from peers etc.

--- Abuse: Studies on violence against women show that 40% of abuse starts during pregnancy (Johnson, 1996). Abuse frequently escalates during pregnancy. Women abused in pregnancy are more likely to experience severe violence. They are also very likely to experience violence in the first three months after the baby is born. Abuse during pregnancy threatens the well being of the mother and the fetus and increases the likelihood of other risks such as substance abuse, depression, and poor nutrition (Beck et al., 2000). Physical abuse increases the risk of miscarriage, prematurity and low birth weight. Verbal abuse, isolation and neglect of pregnant women must be considered, as well as physical abuse.

C. Perinatal Developmental Vulnerability

Neonatal Risk Factors
During the perinatal period, a range of risk factors can present difficulties for the developing infant brain. These include:

--- Birth Trauma
--- Prematurity (low birth weight, periventricular leukomalacia (PVL), intracranial haemorrhage)
--- Metabolic/endocrine e.g. hypothyroidism, hypoglycemia
--- Infection
--- Rh ABO incompatibility – kernicterus

Premature infants who have a low birth weight are more...
susceptible to neurological insults that can be related to future neurosensory and neurodevelopmental impairment. In the extremely premature infant, it should be remembered that the brain may not be ready for the sensory stimulation present in a normal nursery environment and the infant may require a specialized, quieter setting.

When hypothyroidism in the newborn is untreated, it can lead to irreversible neurodevelopmental impairments. In some conditions, such as PKU, neurodevelopmental harm can be ameliorated by preventive treatment (PKU through diet).

Through the Infant Hearing Program, hearing screening is performed on all Ontario newborns, acknowledging the long-term consequences of delays in the development of language due to undiagnosed infant hearing loss. The initial screening test uses Automated Distortion Product Otoacoustic Emissions (ADPOAE) technology. Positive screening will be followed up with a subsequent test using Automated Auditory Brainstem Response (AABR) technology. Together these tests are more reliable. Babies with abnormal screening results are then referred for audiology assessment and followup. Support and counselling are provided for parents regarding the future communication needs of their infant when identified as deaf or hard of hearing.

**Impact of Neonatal Issues**

There are many factors that are considered risks to the infant-parent relationship because they increase the amount of care and stress involved in parenting the infant. Some infants are relatively easy to care for; others require more time and energy. While some parents may be able to manage with an easier infant, infants with complex medical and behavioural needs may overwhelm the parents. The risk of parental depression and child abuse increase when the infant requires a high level of parental care.

--- *Prematurity:* The parent may be overwhelmed by the needs and care of the small infant. These fears may interfere with the parent-infant bonding and attachment.

--- *Health Problems in the Neonate:* Parents may have difficulty coming to terms with a child who has congenital anomalies or is seriously ill. When a child is born with congenital anomalies, the family may be in shock and may need time to grieve. Coping can be difficult. The extra care requirements for the infant significantly alter family dynamics and may increase isolation for the family. In addition, the infant's cues may be more difficult to read.

--- *Difficult Infant Behaviour:* The extra sensitive infant who is hard to soothe may undermine the parent's confidence. The parent's expectations about infant development may interfere with optimal responsiveness and understanding, thus hindering secure attachment.

**Maternal Risk Factors**

A mother who is able to practice good self-care is more likely to do a better job of caring for her infant. Social support continues to play an important role in the mother's ability to adopt a healthy and positive approach to parenting. For example, a mother who is breastfeeding may require information and support around her caloric needs, calcium and iron supplementation and hydration. A mother with health problems or physical disabilities may need extra supports to cope with the physical demands of caring for an infant.

In the first weeks and months after the baby is born, the mother’s capacity to be involved with her infant is critical to early neurodevelopment. Information and support can help mothers to be emotionally available to the infant, to be able to read the infant's emotional cues and meet the emotional needs of the infant. Postpartum depression is a maternal risk factor of primary concern in the perinatal period.

**Postpartum Depression:**

Postpartum depression can interfere with the mother's ability to be attentive to the baby. There are a number of predisposing factors that increase the risk for postpartum depression. Many of these also indicate possible risks for abuse. In the presence of a depressed mother, a positive infant interaction with the father and/or other family members may partially counteract the mother's decreased ability to be sensitive to the needs of her infant (Shonkoff and Meisels, 2000). Even when improved, mothers with postpartum depression tend to demonstrate less attentive behaviour towards their infants. There are reminders about postpartum depression on the Postnatal Visit Form which can be found on the reverse side of the pink copy of the Antanatal Record II. The following are risk factors for postpartum depression (Chokka, 2002a):

--- *Adolescence:* Teen parents may be in a developmental period when their focus is more on themselves rather than others. They may have difficulty being aware of the needs of an
infant. In studies of adolescent mothers, it has been found that conduct disorder is a major risk factor for early pregnancy. Poor nutrition, drug and alcohol use, and other risk taking behaviours may be of concern. Other problems relate to depression. Although about 6% of teen parents had major depression and 20% had minor depression at 6 weeks, by the time the infant was over one year of age, 54% met the criteria for depression. Other problems relate to substance abuse. Conduct disorders have been associated with insensitive mothering (mothers who are less responsive to their babies). In contrast, depressed mothers were more controlling than unresponsive. Both of these patterns have deleterious effects on the mother-infant relationship (Cassidy et al., 1996; Osofsky and Thompson, 2000). Teen parents also have a higher risk of having children with language delays. It may be useful to provide teen parents with suggestions on how they can stimulate their children’s speech and language development.

- **Previous Psychiatric Illness:** Postpartum depression can occur in the absence of risk factors. However previous psychiatric illness carries significant risk. More than 50% of all women experience mild transient postpartum “blues” that should abate by 2 weeks, however up to 20% suffer significant depression. Postpartum depression tends to start in the first weeks after delivery and may persist for several months. If mild to moderate, it may be insidious. Healthcare providers must search carefully for symptoms. Postpartum depression is particularly important because of the effect on the quality of the mother-infant interaction.

Postpartum psychosis also develops in the first few weeks after delivery, but is rare. Postpartum psychosis is an emergency requiring immediate treatment. Woman with postpartum psychosis may present with labile mood, disorganized behaviour with confusion, delusions or hallucinations that may involve the infant. The safety of the infant needs to be considered and at times drastic measures such as hospitalization or foster care may need to be instituted urgently. In cases of uncertainty, information and support is available from child protection services.

- **Partner Unsupportive, Abusive, Absent:** Changes occur in men in their anticipated or actual role as fathers. With the shift in attention from the couple to the infant, the dynamics in the relationship change. An unsupportive partner may feel threatened by the coming of the infant. Discord between partners may increase the risk for maternal depression as well as deprive the infant of the benefit of father-infant attachment. There is fair evidence (class B) of an association between child abuse and poor marital adjustment, especially if abuse is involved. Physical or other types of abuse increase the risk of maternal depression and substance use. See Appendix B for the ALPHA tool.

- **Poor Social Networks and Support:** Supportive social networks help a woman to feel positive about her role as a parent and less overwhelmed by the new parenting tasks. The mother with poor social supports during pregnancy is also at risk for postpartum depression. Social supports are buffers against stress, offer emotional support and physical resources. Mothers with social networks are more likely to make use of other community resources and less likely to be isolated and to feel overwhelmed. Immigrant or ethnic women separated from their families and communities may be at risk. Similarly, the woman who is leaving her job to begin motherhood may also feel a loss of a support network. If a woman was abused or neglected as a child, she may have increased risk for depression and/or poor attunement to her baby especially if her social supports continue to be limited.

- **Substance Abuse:** The parent who abuses drugs or alcohol may be physically present but psychologically unavailable to the infant. Depression is often a factor. When a parent abuses substances, unpredictability and chaos may characterize the home. Emotional unavailability and abandonment as well as the risk of abuse are present. Children in homes where parents abuse drugs or alcohol are also at risk for issues such as inadequate parenting, poverty, excess stress and exposure to violence (Shonkoff et al., 2000). Substance use should also be considered as a possible symptom of psychiatric illness as women may self medicate for undiagnosed depression. Healthcare providers have an obligation to notify child protection services when parental substance use puts the child at risk.

**Paternal Issues**

The neonatal period is a period of transition for infant and family. It is a time when the patterns of bonding and attachment between the infant and parent begin. Changes also occur in the relationship between the partners. A positive mutual adjustment between parents determines the future health of the family and the child.
– **The Changing Role of the Father**: Often the role of the father is overlooked. Although fathers do not undergo the physical and emotional adjustments that women experience, men often experience changes in their sense of responsibility and in their relationship to their partner. Cultural differences in the perceived role of the father can create conflicts particularly for some ethnic groups who were transported into western cultural situations.

– **Isolation**: Some studies have found that the transition to fatherhood can pose a psychological risk for some men. They may feel isolated as their perspective shifts. Although their major priorities stay the same (they tend to see themselves as providers and protectors), they feel less certain regarding their role with the infant. Fathers generally recognize that a new baby implies an increased family workload; however, they still expect to receive attention and affection from their spouse, have a reasonable social life and pursue some of their own interests. They are at risk of feeling sidelined during this period. A new father who feels incompetent handling an infant may back away particularly if his spouse is perceived as critical of his attempts. Present day expectations of fathers to be nurturing may create conflict and uncertainty when many young men face parenthood without nurturing role models (Watson et al., 1995).

– **Depression, Chronic Mental Illness**: The mental health of the father is often overlooked yet is a critical factor in the overall health and well being of the family.

These paternal factors may compound the mother-infant issues that have been identified as risks to the care and nurturing of the infant and the development of a healthy relationship. Some of these factors are related to the mother and her social situation, and others to the infant. All the risks may be additive.

### D. Infant Developmental Risks

**Infant Developmental Risk Factors**

As the infant begins to grow in the context of the family there are a number of factors that now present risks to the infant’s further neurodevelopment.

![Figure 15: Developmental Risk Interactions](image)

The four-way arrow in this diagram indicates the strong interplay between these issues. Although problems in each area can originate independently, there is a need to be aware of the possibility of the presence or development of problems in the other areas. Problems in one area may be a factor for each of the others. The interplay can increase the risks to ongoing neurodevelopment. It is important for the primary healthcare provider to consider the following infant concerns:

– Failure to thrive – relates to physical growth and health of the child
– Developmental delays – relates to observed behaviour of the child
– Abuse, neglect, deprivation – relates to the quality of the child’s environment and care
– Attachment problems – relates to the nature of the parent-infant relationship

More information on each of these developmental risk factors is provided below:

**Failure to Thrive**

Failure to thrive is described as a failure of the infant to grow in weight and height along an expected growth pattern. Infants that fall below the 3rd percentile are of concern as well as children who show a drop off of two major percentile lines on the growth curve or a major difference in weight and height percentiles. Although some children are simply of small stature and are otherwise healthy, failure to thrive needs careful investigation and its potential effect on neurodevelopment should be recognized. It is helpful to consider the child’s failure to grow in terms of his/her nutritional intake. (Hilliard, 2000):
–Inadequate intake of nutrients or calories (inadequate supply, difficulty eating, lack of interest in food, refusal to eat)
–Increased loss of nutrients
  –From gastrointestinal tract (vomiting or lack of digestion or absorption such as occurs in coeliac disease or cystic fibrosis)
  –Other loss of nutrients (sugar in diabetes mellitus or protein in nephrotic syndrome)
–Underutilization of nutrients (such as occurs in some syndromes, chronic disease or infection)
–Overutilization (hypermetabolic states such as in hyperthyroidism or a malignancy)

This paper will not discuss such investigation specifically, but will focus on some of the direct and indirect effects of failure to thrive on neurodevelopment.

–Malnutrition: The effects of malnutrition are particularly important from midpregnancy to the second year during the phase of rapid brain development. In the third and fourth year malnutrition has a negative impact on the rapid period of myelination and elaboration of dendritic branching and synaptic connections. The timing of any nutritional insult as it relates to the maturational state of the brain will indicate the long-term effects. Intellectual impairment as well as behavioural characteristics can occur as a result of malnutrition.

Medical disease can result in undernutrition. In some cases, even in the presence of a supportive home and appropriate stimulation, cognitive function can seem intact but there may be subtle deficiencies in short-term memory and attention present.

Deficiencies in specific nutrients can produce developmental sequelae. In particular, iron deficiency anemia in infancy has been associated with decreased motivation, shortened attention span and cognitive impairments. There is the risk of the impairment persisting after the deficiency is corrected. (Shonkoff and Marshall, 2000). Premature infants are at greater risk of developing iron deficiency than full term infants. Restriction of dietary fat is not recommended before 2 years of age because it may compromise healthy growth and development (CPS, 1998).

In developing countries, calorie and protein deprivation during the prenatal and early childhood period has resulted in mental retardation and behaviour problems. Marasmus and Kwashiorkor, two severe forms of malnutrition that can occur in the first two to three years of life, can alter brain growth.

Malnutrition is often associated with poverty especially in developing countries. Severe diarrhoea in the young infant in these countries also contributes to malnutrition. When these children survive, not only is their physical size compromised but their impaired learning ability may persist for a lifetime. Canada is a multicultural society with many immigrants and refugees who may come to this country having experienced harsh living conditions in their country of origin. It is important to obtain a thorough history of a child’s early years if malnutrition is considered a factor in the clinical presentation. There is a need to be aware of family dietary preferences such as strict vegetarianism that could cause deficiencies for the infant and toddler.

Breastfeeding provides both optimal nutrition and stimulation for newborns and infants. Breastfeeding provides opportunities for the infant to be in close contact with the mother. All attempts to encourage breastfeeding are important for the long-term positive effects that this can have on optimal child development. If breast-feeding is not possible, then feeding needs to mimic the nutritional components and the closeness of breastfeeding. For more information on breastfeeding and infant nutrition please refer to Health Canada’s: Nutrition for Healthy Term Infants and Nutrition for a Healthy Pregnancy at www hc-sc gc.ca.

–Chronic Illness: Inherent genetic or primordial disease such as chromosomal abnormalities, intrauterine infections and a variety of pediatric syndromes are associated with poor growth regardless of intake. Medical illness and treatments for cancer, cystic fibrosis etc. are associated with failure to thrive.

Chronic illness may interfere with the infant’s interaction with the caregiver and their environment in addition to any direct effect that the disease process or its treatment may have on developing brain cells. A supportive, caring environment may ameliorate the effects of disease. Unfortunately, it is not uncommon for chronic illness to cause severe family stress. The family copes with grief over the affected child as well as the special needs of the child. The risk of dissatisfaction between parents and of marital separation is significant. Parental confidence regarding care of their children may be decreased and the incidence of depression in parents is high. These factors can impact further on children with special needs and siblings. Parental overprotection in response to
the child’s illness may actually impede development rather than foster it. These children are also at increased risk for abuse and neglect, as are the siblings. Siblings’ needs may be forgotten or underestimated because their needs are deemed less critical. It may be difficult for these families to find enough emotional or physical resources for all family members.

—Interactional: Situations that lack supportive interaction may result in poor infant growth, sleeping and/or eating problems, in the absence of specific disease.

—Environmental Toxins: We should be aware of the effects and sources of environmental toxins such as lead and pesticides on neurodevelopment. Environmental toxins can be present in many situations. Pesticides and herbicides are commonly used in farms and parks. Playgrounds may be situated on old dumps or factory sites (See Motherisk for more information).

Developmental Delays
With infant growth, development is expected in motor, language and communication, cognitive and psychosocial areas. Although there is variation from one infant to another, lack of progress beyond certain limits indicates that delays may be present. Any regression in skills is also important. Examples of developmental delays include:

—Motor delays, for example not sitting by seven months
—Language and communication delays, for example not babbling at seven months
—Cognitive delays, for example not looking for dropped objects by seven months
—Psychosocial delays, for example not laughing in playful situations by eight months

These delays may become apparent as part of a known problem or may represent a new issue. Such delays are important as markers of problems but are also important because they may in themselves contribute to further neurodevelopmental compromise either because of the environmental response (extrinsic) to the child or the limitations that the delay imposes on the child (intrinsic). For example, the infant who does not make eye contact and whose caregiver does not use opportunities to try to engage the infant may miss the learning of social cues.

Attachment Problems
The early parent-child relationship mediates and influences the course of a child’s development. Attachment was the term first used by Bowlby in 1969 to describe the importance of the protective role of the caregiver, referred to as the “attachment figure”. The infant’s confidence in this person was referred to as “attachment”. While attachment refers to the attitude of the infant towards the caregiver, bonding refers to the feelings of the caregiver to the infant.

Attachment behaviour was researched by Ainsworth in 1979 (Chodirker, 2001). She outlined different patterns of infant interaction with their caregiver in a structured strange environment. In her studies, a one year old infant and his/her mother were placed in a room with age appropriate toys. A friendly female stranger was present part of the time. The mother and the stranger both entered and left the room twice. The infant and parent interaction was monitored. The infant’s responses when the mother returned were found to be the most informative. Three main patterns emerged:

—Secure: Infants showed a balance of attention between the mother and the toys while the mother was present. When mother left, there was a wide range of reactions in the infants. When their mother returned, the infants responded positively to the mother. If they were upset they quickly settled and returned to exploring. Observed in their homes, these mothers were quick to respond to their infant’s distress.

—Insecure, Avoidant: Infants showing this pattern appeared independent and were busy with the toys when mother was present. They showed little response when mother left and tended to ignore her on her return. Observed in the home, when their infant was distressed, these mothers did not provide comfort and the infant did not appear to bring their feelings of distress to their parent. Mothers of infants with an insecure avoidant attachment may demonstrate a pattern of rejecting, ignoring care, and/or speaking to their baby in negative terms.

—Insecure, Resistant: Infants showing this pattern reacted intensely on the mother’s return and were hard to comfort. They were less interested in exploring. In the home, the mothers seemed to react to distress in the infant but were less responsive to positive situations.

Attachment is affected by both maternal and infant factors. Secure attachment is not like glue but rather more like elastic. It stretches and the infant is able to move away to explore the world. It is a condition for learning and being curious about other things and...
relationships.

The two categories of insecure attachment (avoidant and resistant) are considered normal patterns for infants. However, more secure patterns of attachment are associated positively with sociability, cognitive development and lower incidence of future behaviour problems. Another insecure pattern has been described:

– Disorganized/Disoriented: The infant shows an inconstant pattern of behavior and has no strategy for eliciting comfort when stressed. Sometimes the infant looks secure and sometimes not. In the home, the parent’s reactions are described as unpredictable and sometimes hostile. Mothers are often victims of former trauma such as abuse or domestic violence and suffer from unresolved loss. The infant is frightened and so is the parent. This pattern is predictive of future behaviour, learning and mental health problems in the child.

In the presence of maternal depression, increasingly insecure patterns are seen. Depressed mothers are more intrusive and more disengaged but also less responsive. The infants are less positive and show more negative affect. Unfortunately, research is showing that even after the depression has passed there may not be an improvement in the pattern unless efforts are made to change the parent-child interaction. Early detection of depression in the parent is vital. Prolonged separation and loss of the caregiver are also threats to infant attachment.

Factors that affect attachment are both maternal and infant:

– Parental Factors:
  – Attending ability and responsiveness
  – Personal experience of care
  – Perception of infant’s demands
  – Capacity to set limits and console
  – Developmental expectations
  – Sense of competence

– Infant Factors:
  – Temperament - Infants are different in their reactions from birth. Very sensitive infants may be hard to soothe and may fuss excessively. Some infants are intense and active. Others are more placid and easygoing; such infants may have cues that are hard to read. Sometimes there is a mismatch between infant and parent temperament and this situation can cause problems with attachment.

  – Ability to use mother as a base - Some infants have trouble with attaching and using a parent as a secure base. In this situation, a responsive parent may tend to back off or become frustrated or intrusive. A variety of problems including autism spectrum disorders may be present. Vision and hearing may need review. Physicians and other primary healthcare providers need to enquire and listen regarding infant characteristics because parents may need help and support to respond constructively to their infant.

Additional information about attachment can be found in IMPrint, the Attachment Collection, 2002.

Abuse, Neglect, Deprivation

Early brain development is adversely affected by absence of stimulation or chaotic traumatic stimulation. Deprived Romanian orphans, who were in the orphanages in their very early years and then adopted into Canadian homes, have shown persistent intellectual impairment, serious behaviour and relationship problems. If adoption occurred before four months of age, less difference from children who were born in Canada was noted as they grew. If they were adopted after eight months, the number and severity of their problems increased with the length in time they spent in an orphanage. These children who were adopted later in life showed significantly higher evening sterol levels.

Both animal and human studies are yielding new information as to the effects of abuse on neurodevelopment. The brain structure and function of adults who have suffered severe abuse as children, show a variety of differences from non-abused control adults. EEG abnormalities have been documented in the left temporal and frontal brain areas. Several studies involving MRI scans have shown reduction in the left hippocampus and amygdala, two critical areas of the limbic system related to memory formation and retrieval. The change in size in the hippocampus has not shown up in studies in abused children or adolescents. This is due to the fact that effects of chronic stress on the hippocampus are protracted and are not apparent until later in life.

Differences in the integration of function between the two cortical hemispheres can be detected by sophisticated EEG techniques. In recalling painful or neutral memories, subjects with a history of abuse tended to involve their right or left hemispheres exclusively, whereas normal subjects showed a balance of activity in both
hemispheres. In spite of right-handed dominance in an abused individual, there was less development in the left hemisphere when compared to their right hemisphere. In addition, the left hemisphere was less developed than in a normal subject. The corpus callosum also showed reduction in size. Here neglect exerted the most powerful effect in boys and sexual abuse, the greatest in girls (Teicher, 2002).

The infant raised in an unpredictable, abusive or neglectful environment will develop a poorly organized dysregulated CNS catecholamine system. If the environment is unpredictable, chaotic and violent, a hyper vigilant, hyper-reactive arousal system is adaptive, where survival is the goal. The ramifications for learning, social interactions, mental and physical health, are enormous (Perry, 1993). An example of an unpredictable environment is one where there is conflict or abuse between parents. A child who has been exposed to abuse may be at risk even if not abused directly.

Neurological effects of physical abuse and neglect should be mentioned. Shaken baby syndrome can be responsible for brain damage. Accidents in small infants and toddlers are often a result of carelessness or ignorance on the part of the caregiver. Less blatant neglect and deprivation can occur when overburdened parents can’t respond to the child, use the television extensively as babysitter, or leave the child in non responsive childcare situations. Harsh, hurried care may not constitute abuse but is less than optimal for development. It is not unusual for suboptimal patterns to develop in all socioeconomic groups (Perry, 1993; Teicher, 2002). Healthcare providers have an obligation to report abuse and exposure to abuse to child protection services.

E. Resilience

In spite of adverse conditions, some children mature into well-adjusted competent adults. Some factors seem to make a difference. In addition to having an easy sociable temperament, these children manage to connect with some other adult in their environment, such as a grandparent or an older sibling. They appear able to handle themselves in the midst of the situation and still make significant connections in their environment. They seem able to find a social environment that reinforces and supports their coping ability (Werner, 2000).

Factors that Contribute to Resilience

– Easy going temperament
– Available caring adult
– Good self-management skills and a supportive environment

Studies around resilience are helping in the search to determine the types of interventions that might help to optimize the development of all children.

F. Social and Economic Factors

Economic factors can be risks to child development. Poverty leads to a pattern of deprivation at the personal, family and community level. Risk factors such as poverty, minority group status, and social impoverishment are known to be associated with increased infant mortality and with child maltreatment. However these factors are not causative. Not all poor communities are socially impoverished. Socially impoverished communities are not necessarily poor. There is good evidence that social environments, which are mutually supportive where “neighbours” have a sort of cultural network of mutual respect and positive interaction, provide protective factors for their children’s development.

Social policies also have an impact. In societies where there are no policies to ease the impact of poverty, the correlation of poverty and poorer child outcomes is higher. Thus, socioeconomic status is a more important predictor of child maltreatment in the USA than some European countries as well as Canada where more universal social support programs exist (Garbarino and Ganzel, 2000).

In the National Longitudinal Study of Children and Youth, children were identified with difficulties on the basis of learning or behaviour problems. Family income is not the most powerful influence on how well children are doing. Socioeconomic status of the family has an effect on children. However, the gradient makes it clear that other factors must be involved. If not, all the well-off children would do well and all the poorest children would have difficulties. This is not the case.
The chart summarizes the relationship between children with difficulties (verbal skills, mathematics and/or behaviour) and family income. Note that 35% of the children in the bottom quartile are in difficulty while more than 20% of the top quartile are in difficulty. The majority of children who are not doing as well as they could are in lower-middle and upper-middle income families. Such findings support the need for universal programs that encompass all populations.

The research findings from the National Longitudinal Survey of Children and Youth point to parenting practices as a powerful influence on how well children are doing. Reading to children, responding to questions and concerns, and setting limits seem to make a big difference. Both positive and negative parenting practices are found across all socioeconomic sectors (Wilms, 1999).

Another way of understanding the interplay between environmental factors is to view the outcome as a product of the ongoing dynamic interactions between the child and his/her family and social context. This understanding is important because it emphasizes the fact that the child’s individual differences play a part in what the child triggers in his/her environment and what the child is able to take from this environment. Understanding this transactional process makes it easier to recognize the complex nature of interactions and may assist in developing strategies that may improve outcomes. Happily, the evidence that early intervention makes a difference in outcomes for children has a solid research base and is growing rapidly (Sameroff and Fiese, 2000).

Key Points - RISK FACTORS

- Risks to neurodevelopment can occur in the preconception, prenatal, perinatal and postnatal periods.
- Neurodevelopmental risk factors are additive, compounding their effects.
- Neurodevelopmental delays become risk factors themselves both because of the direct effect on the infant and the indirect effect on the family.
- Nature and nurture are continuously interacting and changing in response to the ongoing interaction between the infant and his environment for positive or negative outcomes.
- Children and families can become “at risk” at any time as circumstances change.
- Early detection and intervention to reduce risks is of paramount importance.
Introduction

Most people would agree that children are a country’s most important resource. In spite of this belief, preparation for parenthood is given less attention than most other tasks performed including driving a car. Most people rely on their own past experiences of being parented and on intuition. This perspective is still prevalent in a society that has changed a great deal over the past 50 years. Over recent decades there has been growing interest regarding the effect of societal changes on young children and their families. There has been a steady increase in the number of families with preschool children in which both parents work outside the home, as well as the number of children in single parent family situations. Shift work and family mobility have decreased the support systems for families. At present, there are concerns that our youngest citizens are at increased risk.

The increase in problem behaviour and violence among young people has brought more attention to the importance of these early years. The school system is concerned about the increase in the number of children with a variety of learning, emotional and behavioural problems. It is not sufficient to wait until a child reaches school age to assess issues and to address needs. In addition, the expanding information regarding brain development has spurred efforts to pay more heed to early childhood needs. Countries that have invested more resources into young children and their parents are experiencing better outcomes in their children in some measurable parameters such as literacy, school achievement, etc. (McCain and Mustard, 1999). The commitment to put more resources and effort into this developmental period of life is being made by government, professional groups, communities and parents.

Primary healthcare providers are in an ideal position to create links that support and improve parenting. Recent information on the importance of the early years to neurodevelopment makes it imperative that primary healthcare providers provide all families with information and services about parenting. Parents provide the major and most important environment for a child in the first few months and years. Therefore primary healthcare providers who naturally encounter children, parents and families need to learn as much as possible about the parenting process during this critical period of life. Primary healthcare providers can also take advantage of parenting experts in the community both to increase their own knowledge and for the benefit of their patients. Physicians and other primary healthcare providers can be a catalyst of improvement for children. A catalyst does not do the work but facilitates the action. The ongoing, trusting relationship with families during the prenatal period, at birth and during the early period of life puts a family physician in an important position to contribute to this task.

A. Parents Poll

“Invest in Kids” sponsored a national survey in 1999 of 1,645 families with at least one child under six. This group was representative of Canada by region, language groups and income groups. The purpose of the poll was to determine what parents know about the importance of the first five years of life, the pivotal role parents play during that time and whether parents feel confident in their ability to care for their children. Most importantly, the parents poll showed that 61% of mothers turned to their child’s doctor as the top source of information on parenting.

Results

- 92% believed the parent’s role to be very important
- 85% believed babies learn from birth
- 50% believed nurturing influences development
- Parents had low levels of knowledge about physical, emotional and social development
–Parents believed they had the most influence over emotional development and the least influence over the development of knowledge
–Parents felt most insecure around the birth of their first child
–Parents wanted to improve their parenting skills
–55% fathers and 70% of mothers tried to prepare for parenting by reading, asking advice, etc.
–Only 52% of parents had enough emotional support
–Only 56% had enough practical support
–40% believed that Canada values parenting

From this poll it seems that many parents feel unprepared and unsupported in their role. However, parents may be disturbed by a recommendation to participate in parenting programs. Instead of seeing this as an opportunity to improve skills, they may feel that the healthcare provider is suggesting that they are inadequate parents. In our society, prenatal classes are considered routine and helpful for everyone. It is hoped that this same attitude toward education about parenting will be the norm in the future (see website #6, page 51). Primary healthcare providers can facilitate this attitude by making parenting training part of the routine recommendations for new parents.

B. Goals of Parenting

How many parents sit down and consider their long-range goals as parents? Many parenting programs encourage parents to do so. Parents often have specific dreams or expectations for their children that may be unrealistic or burdensome to the child. Examining their goals may help parents to delineate realistic and supportive goals for their parenting. They may recognize the dangers of short-term goals and plans that make the parent’s immediate life easier. Instead they may develop strategies to achieve more long-term child-centred goals.

One parenting program sums up parent goals, reflecting on the nurturing as well as the training role of parenting and alluding to the fact that parenting takes place in a cultural context:

“The purpose of parenting
To protect and prepare children
To survive and thrive
In the kind of society in which they live”
Active Parenting

Parenting is Difficult

Parenting can be challenging. No other job is really fulltime. The nurturing of a young child is demanding emotionally, physically and socially. Most new parents find that their world is completely changed and the child dominates the scene. As the child grows and starts to move around the need for parental supervision and the ability to set and enforce reasonable limits becomes increasingly important.

Nurturing and Setting Limits

These two sides of parenting are both important. Without nurturing, caring and support, the child withers. Without positive discipline, the child’s behaviour may become increasingly problematic. Parenting provides many opportunities to practice and improve this balancing act. Parenting styles reflect differences in the balancing of these two poles and are reflected in outcomes. Consistency within and between parents is important.

Figure 18: Parenting – A Process

Stimulating and Encouraging Development

Stimulating and encouraging development is another important part of parenting. The parent needs to learn to pick up the child’s cues, to be sensitive to the child’s learning style and to be stimulating without overwhelming the child. Singing, talking, reading and playing with a small child provides both nurturing and stimulation. Helping the child learn to do gradually more complex tasks increases the child’s sense of self-esteem and accomplishment. Parents can help a child deal with the frustration of struggling to master skills and tasks by being patient and encouraging (Dwivedi, 1997).
C. Parenting Style

Types of Parenting Styles

—Authoritarian Parenting: This style of parenting tends to be controlling and rigid. Parents are less sensitive to the child’s perspective. They set firm limits and do not negotiate rules. They value obedience and respect, but show low levels of nurturing, warmth and empathy. This parenting style often leads to rebellion.

—Permissive Parenting: This style of parenting can be more nurturing or can be disinterested. The child has the control. Parents have trouble setting limits. Often this style can lead to conflicts as a child becomes older and increasingly demanding. Setting limits at that point becomes very difficult.

—Permissive Irrational Parenting: This style of parenting is unpredictable. It is at times supportive, at other times not. Parent-child interactions are not related to the child’s need but to the parental mood or need. It may be associated with parental substance abuse, parental psychopathology or violence in the home. The incidence of child problems is close to 50% in this style of parenting. Of course child abuse or neglect is a frequent concern in such a situation.

—Authoritative Parenting: Parents are responsive to their child’s needs, and nurture and support their child. Parents have realistic goals and rules for behaviour and communicate these expectations to children. They set appropriate limits and demands. In addition they support the adherence of these behaviours in consistent, positive ways. These parents acknowledge their children’s thoughts and feelings but do not give in to demands. They listen and give explanation for the limits but do not engage in endless discussion about limits. This approach helps to establish a warm, mutually positive basis for interaction. Such interaction is linked to secure attachment and self-esteem. Children feel valued and loved, but can delay gratification and acknowledge responsibility for their behaviours. It also fosters the perception that people deserve respect and teaches empathy. This style is considered optimal. This style of parenting is associated with higher levels of child cooperation.

In the National Longitudinal Study (see Figure 19), one third of parents had an authoritative style, one quarter was authoritarian and one quarter was permissive. About fifteen percent were permissive-irrational. Children seemed more affected by parenting style than by socioeconomic status. Other studies support this conclusion (AAP, no date; Shonkoff and Meisels, 2000).

Figure 19: Parenting Style Outcomes – Adapted from McCain and Mustard, 1999

D. Enhancing Parenting Skills

There is a wide range of parenting programs and services, ranging from informal playgroups to formal instruction on parenting techniques. Some programs provide general parenting information, others enhance specific skill areas, or are designed with a specific audience in mind, such as new fathers. Parenting programs and services have many things in common, including principles and techniques.

Principles of Intervention and Parent Support

—Respect for parents
—Sensitivity regarding cultural issues
—Improving social supports
—Increasing parental confidence
—Increasing parental pleasure in children
—Supporting and improving parenting skills – “adding to the toolbox”

(Baron-Cohen et al., 2000)
Parenting Prerequisites

*Motivation:* Most parents want to be good parents as indicated by the parents poll. However sometimes parents have other problems that need to be acknowledged and addressed before their parenting ability can improve. Parents may need additional support and resources if they have a mental illness such as depression, bipolar disorder, schizophrenia, personality disorders or post-traumatic stress disorder, or if there is conflict between partners or other problems are present. Concern for their child’s well being may provide the motivation for some parents to tackle certain problems. Parents may be prepared to try to solve problems for their child’s sake when they might not do it for themselves. If parents are so troubled or burdened that they are not able to be concerned about their children, then intensive intervention may be needed. Are the children at risk? Should child protection services be consulted?

*Resources:* In assessing parent needs, we need to be aware of parent’s physical, emotional, financial, social, family, spiritual and cultural resources. If a family has large deficits in the basic necessities and has few supports, then assistance in these areas may be most important to enable parents to perform their task.

*Opportunity:* In assessing this prerequisite, we need to know whether parents have the opportunity to do their job. If a parent has limited ability to expend much effort with a child then this parent may feel unable to fulfill suggested activities. Then such information may add further burden to this stressed parent. Extra responsibilities, illness, or other situations may severely restrict a parent’s opportunities. Different kinds of support may be needed for a child in this type of family situation. Childcare or respite services may bring the child more support and bring relief for the parent.

*Knowledge:* In the poll, parents indicated that they needed more information about parenting especially regarding emotional development. They also needed education about issues such as development, childcare, safety and health.

In order to assist parents, physicians and other primary healthcare providers need to consider these issues in order to determine what kinds of supports will assist parents to do their job at any given time (Baron-Cohen et al., 2000; Shonkoff and Meisels, 2000).

Parenting Programs

*Parenting skills can be improved through:*

- Home visiting programs, e.g. Healthy Babies Healthy Children
- Parent support groups, e.g. Baby Talk
- Parent training programs, e.g. Nobody’s Perfect
- Family Resource Programs e.g. drop in programs for parents and children (Ontario Early Years Centers)
- Books, videos, websites etc.

Parenting enhancement and support can occur in a variety of ways depending on the needs of a family. All parents can benefit from some of these programs. Many of these efforts have been started in order to help high risk families, however enhancing the skills of all parents provides a more positive focus in a community and enables parents to help each other without the stigma of labels. These options will vary from one community to another. Some may be community programs, others may be offered through professional services. It is helpful to know about options that are available in your community.

Some of these programs are specialized to deal with specific risks or problem areas. Home visiting may be provided to a new mother who has risk factors identified during pregnancy or at the time of delivery. For example, in Ontario, the Healthy Babies Healthy Children program provides a trained visitor to support the mother/family in caring and bonding with the baby in their home. Such intense programs have been shown to decrease the incidence of abuse. Other home visiting programs may help parents learn the extra parenting skills needed to help a child with a developmental delay or a behavioural problem.

The same is true of parent support groups and parent training programs. These types of groups may be general and provide support or training for all parents. Other groups may be specialized for parents with special concerns such as autism, ADHD or the adolescent parent.

The venue through which parents can achieve their goals may vary as well. Some parents would enjoy the support of a group, while others are not prepared to participate in a group. More than one venue may be used at once, for example a parent may take their child to a parent-child play group and may also have an infant development worker coming to provide training in the home. Healthcare providers who know their families well may
consider which venue may suit a particular family.

**Parenting programs may involve:**
- Small groups of parents
- Group of parents and children, with child centred activities
- One to one with or without the child
- In the home with parent/s and child
- One to one with parent and child

## Parenting Program Goals

Although parenting programs vary in their focus, the following encapsulates the goals of most parent programs. The overall aim is to increase parent’s satisfaction in their role and enjoyment of their children as well as their skill in managing and supporting their child’s development. Most parenting programs are designed to:

**Increase Knowledge about Development:** Parenting programs work to increase parent’s knowledge, especially in the areas of developmental stages, care and protection, aiming to reinforce the importance of stimulation and parenting in brain development.

**Foster Secure Attachment:** Parenting programs strive to increase parent’s sensitivity and understanding of the child’s perspective, empathy for the child and awareness of the child’s cues, needs and temperament. The programs help parents become more responsive to the child, explore a wider range of response options and increase self-awareness in difficult situations.

**Examine Parenting Styles:** Parenting programs share information about the positive and negative consequences of different parenting styles, including the impact on children’s emotional and behavioural outcomes. The possible outcomes of increased confidence and control in dealing with their children’s behaviour as well as increase in compliance and decreased conflict from their children can provide the motivation for trying out changes in style.

**Improve Parenting Skills:** Parenting programs address a wide range of parenting skills including:
- Discipline techniques such as setting limits and following through
- Communication skills, including positive listening and clear messages
- Problem solving skills for prevention of problems and teaching responsibility
- Stimulating development in an age appropriate manner
- Teaching values by sharing family tasks and beliefs

**Foster Parental Self Care and Support:** Parenting programs aim to improve parent self-care and support by sharing information on parental boundaries, fostering parent’s relationships, and community supports for parents and their children.

## Parenting Program Techniques

Parenting training can use a variety of techniques to facilitate learning and to make the process interesting, understandable and pleasurable. Some techniques are as follows:

- Discussions about parental issues
- Videos
- Role playing
- Problem-based discussions
- Modeling
- Additional resources such as literature and websites

If physicians and other primary healthcare providers are personally involved in their own parent training, it provides a good basis to recommend the same experience for patients.

### Key Points - PARENTING

- Be knowledgeable about parenting.
- Encourage all parents to take advantage of opportunities to enhance parenting skills.
- Assess parent-child interaction.
- Be aware of risks to parenting and consider proactive intervention.
- Advocate for parenting needs.
- Be trained for parenting yourself.
Introduction

What role do physicians and other primary healthcare providers play in “improving the odds” for optimal neurodevelopment in children? As part of the team, what can we do to ensure the child has the chance to reach optimal potential? Although there are many unknown factors regarding neurodevelopment and factors over which we have no control, there are interventions that can make a significant difference.

Parents and society provide the environment in which children are conceived, delivered and nurtured. We must use every opportunity and contact with parents to equip them for their task. Young women may see a family doctor or a gynaecologist for health examinations and birth control prior to pregnancy. These visits provide opportunities to share preconception information that can improve outcomes. The prenatal visit allows the health professional to continue this process, monitoring for risk factors and encouraging healthy practices to foster fetal health and future infant care. The process continues through careful monitoring of the mother and infant through labour and delivery. The ongoing assessment of infant and family continues through the well baby examination program. Physicians and other primary healthcare providers may have substantial contact with parents during these critical times of infant development. They are not responsible for “doing the entire job”; but have a responsibility to be aware of the risks as well as effective interventions. In addition, it is incumbent on physicians and other primary healthcare providers to become familiar with local resources that foster healthy child development.

Families with different cultural backgrounds and parents whose first language is not English may require additional services including translation, or may benefit from referral to culturally specific services. The parent’s cultural beliefs about child development and child rearing and the degree of parent-child interaction may impact the healthcare provider’s interaction with the client. Additional client intervention may be necessary to ensure that the parent is aware of the determinants for healthy child development.

A. Important Roles for Primary Healthcare Providers

<table>
<thead>
<tr>
<th>Level of Intervention</th>
<th>Target Groups</th>
</tr>
</thead>
<tbody>
<tr>
<td>-Society</td>
<td>-All children</td>
</tr>
<tr>
<td>-Community</td>
<td>-Children at risk</td>
</tr>
<tr>
<td>-Individual family/child</td>
<td>-Children with delay</td>
</tr>
<tr>
<td></td>
<td>-Children with special needs</td>
</tr>
</tbody>
</table>

Interventional goals in neurodevelopment may be described as risk reduction and developmental enhancement activities. Although there are some clear-cut prevention issues such as the treatment of PKU with a restrictive diet or thyroid insufficiency with hormone replacement therapy, most developmental issues are not simple. Because neurodevelopment has so many interrelating factors that can affect outcomes, the aim of intervention may not be to prevent a specific outcome but to reduce the influence of a particular negative risk factor. Intervention may target a community rather than an individual child. Some interventions (risk reduction) aim to decrease the effect of those factors known to negatively affect development. Other interventions (developmental enhancement) promote factors that are known to support better outcomes.
The major focus of these efforts varies, based on local needs. In developing countries maternal and infant health, nutrition or safe water could be major concerns. Parents may need help with basic infant and child care. In a developed country, there are social factors affecting individuals and families that may create different kinds of problems that impact children. Although as primary healthcare providers we usually treat individual children, we need to be aware that development is contextual, taking place within a family, within a community, within a larger society. Thus, in order to improve the situation for children, the patterns of their development, both positive and negative need to be understood within a broader context. Interventions need to be contextual as well. At the societal level, it may be a decision to increase maternity benefits or provide a media campaign regarding safe sex. At the community level, it may be the decision to build a playground. At the family level, it may mean teaching parents to help their child with speech or it may be removing the child from an abusive home.

As a primary healthcare provider functioning in a community, it is important to know what other professionals, agencies or community activities, may be available to contribute to reducing the risks and enhancing development. Active communication and referral between all these participants will improve the interventional efforts and aid in assessing their effectiveness (Shonkoff and Meisels, 2000). Risk reduction and developmental enhancement can be aimed at different levels or target groups:

### Specific Examples of Risk Reduction
Risk reduction aims to decrease the impact of those factors known to negatively affect development. Folic acid is a simple example of risk reduction:

- All women are recommended to take 0.4 mg of folic acid prior to pregnancy and in the early stages of pregnancy.
- For women at higher risk due to diabetes or epilepsy, or a prior child with a history of neural tube defect, the recommendation is to take 4 mg of folic acid.

Risk reduction can also have a role in reducing the risk of further problems in a child:
- For children born with spina bifida, risk reduction involves assessment of the extent of the defect and the investigation for the hydrocephalus that may complicate the problem. This problem may need treatment to prevent further neurological damage (Hack et al., 2000).
- Risk reduction for children with special needs may include support for the family to deal with the physical needs of the child and the emotional/social effects on the family unit. The child and family may need special help to access school, community and other supports.

### Development Enhancement
Developmental enhancement promotes factors that support better outcomes, and is important for all children and their families, not just those with known risks. For example:

- All children will benefit from good early childhood educational experiences and opportunities to interact with other children as well as nurturing experiences with one or both parents.
- For children at risk because of limited supportive stimulation, early childhood educational experience and play may be even more important.
- To enhance the development of children with delays, more specialized early childhood experiences may improve their outcomes.
- Enhancement efforts with children who have special needs may focus on any special abilities they have and also the family to enhance their coping skills (e.g. respite care).

### Clinical Opportunities for Primary Healthcare Providers
There are many times in practice when there are specific opportunities to screen, assess and act. During these critical periods primary healthcare providers (i.e. midwives, obstetricians, family physicians, pediatricians and nurses) see women, infants and families and are in a position to promote optimal development. A planned approach for specific developmental periods will make these activities thorough, efficient and practical in the practice setting.

### Roles for primary healthcare providers include:
- **Screening**
  - Identification of risk
  - Identification of developmental problems
- **Risk reduction**
  - Education/Support
  - Treatment/Referral
- **Monitoring/Ongoing care**
- **Advocacy and Developmental Enhancement**
  - Education
  - Community awareness and interaction
  - Community involvement and advocacy
Many screening opportunities are shared. Communication and collaboration are important in sharing information, in complementing each other’s scope of services as well as in reducing duplication of services.

**Screening opportunities include:**
- Preconception – Well Female Visit
- Prenatal
- Peripartum
- Well Baby Visit

### B. Preconception

All women of childbearing age (from 16 to 45 years of age) should be screened for problems that might cause a concern for a future pregnancy and infant. If a young woman is asked about childbearing as an anticipated life goal, she may consider how her lifestyle and health may affect her future children and not just herself. The knowledge regarding early brain development needs to be available prior to conception. Women need to know that brain development is well underway by the time that a woman realizes that she is pregnant. A preconception perspective can be built into the annual health examination that many women have for birth control. For example, the risks of alcohol to the fetus are ideally covered in a preconception visit, rather than a prenatal visit several weeks into the pregnancy. All topics need not all be covered completely at one specific visit, but can be covered over several visits (see Appendix A).

Planned pregnancies are predictive of better adjustment to parenthood and provide the opportunity to assess and reduce risks prior to conception (Cefalo and Moos, 1995). In the preconception period, there are many areas that are worthy of review:

- **Genetic Background:** Are there any genetic or other health problems in the family such as Down syndrome, cystic fibrosis, Tay Sachs disease, haemoglobinopathies, muscular dystrophy, haemophilia, neural tube defects, etc.? Discussing these issues may help a woman to consider in advance if she and her partner may need further advice prior to becoming pregnant. Woman may have questions about these issues but may be uncertain as to when it is appropriate to discuss them.

- **Health Problems:** Patients with health problems, such as diabetes, hypertension, heart disease, epilepsy, thyroid disease or mental health concerns, should be aware of the need to discuss pregnancy issues and plan healthcare during pregnancy. For example, changes in medications such as anticonvulsants, antipsychotics, antihypertensives, etc. should be discussed and initiated prior to pregnancy.

- **Immunization:** If there is any doubt about immunization or immunity, then titres for rubella and hepatitis B etc. can be done and appropriate immunization given.

- **STD/HIV Screening:** Screening for STD/HIV gives an opportunity to discuss safe sex and assess risks to the patient. Discovering if the patient is comfortable discussing use of condoms with her partner and if he is willing to use them will give some insight regarding the patient and her relationship.

- **Nutrition:** Does the patient have eating patterns or an eating disorder that may affect her childbearing? Assess all women for nutritional status and ask about folic acid supplementation. The desire to have children may assist in motivating change. Women should be given information about the risks to future pregnancies.

- **Violence Screening:** Asking about a woman’s history of abuse at this time may facilitate her gaining any needed support, before she is dealing with a pregnancy. Sometimes women seek pregnancy believing that having a child will improve the relationship. It may be helpful to have her recognize that abuse often gets worse during pregnancy and puts a child at risk. See Appendix B for the ALPHA tool.

**Preconception evaluation may include the following:**
- Haemoglobin testing and blood typing, further testing for haemoglobinopathies if indicated
- Rubella titre, Hepatitis B, and HIV testing
- STD testing
- Other testing indicated in the individual situation

Anticipatory Guidance should include information about taking folic acid when pregnancy is a possibility. Woman should be encouraged to eliminate tobacco and alcohol prior to conception and to avoid other possible toxic environmental substances either in the home or workplace. Information about prenatal care and the timing of their first prenatal visit is appropriate.
SALLY AND BRAD'S CASE

Sally, age 24 years has come to your office with her fiancé, Brad. They are planning to get married in the next few months and want to discuss future pregnancies. Susan has been diabetic since age fourteen and although she had a rough time adjusting to her illness, she now follows her diet fairly well and checks her blood sugars once a week. She admits that maybe she should do it more often. She takes 30 units of NPH insulin in the morning and 22 units at suppertime. She uses regular insulin at times.

QUESTIONS TO PONDER: What special care might Sally need before and during pregnancy? What are the risks to the fetus and how can they be reduced? How can you introduce the option of delaying pregnancy until her blood sugar levels are controlled? How can you foster support from Brad?

DISCUSSION: Brad and Sally should be commended for preplanning. Family history for diabetes and other genetic issues may be important from both of them in considering pregnancy. Sally needs a review of her diabetes because of her rather high insulin dose and her fairly lax attention to her blood sugars. Any complication of diabetes should be investigated prior to proceeding to try to become pregnant. She needs to learn of the importance of tight control of her blood sugar before and during pregnancy and for the need for folic acid. She should be advised about a plan for prenatal care regarding obstetrical and medical consultations. She now may be willing to go to a diabetic clinic for retraining. Of course other issues related to HIV, HepB testing etc should be discussed with them both as well. If Brad has come because he is motivated to encourage Sally and support her in her care, the positive outcome of a pregnancy will be enhanced for this couple.

C. Prenatal

The goals of prenatal care are a healthy term infant and a healthy mother. Prenatal roles include antenatal care, prevention, risk detection, intervention to reduce risks and treatment of intercurrent problems. The Society of Obstetricians and Gynaecologists website provides valuable information about prenatal care: www.sogc.org.

Antenatal Visits and Records

–Forms such as the Ontario Antenatal Record can be very helpful aids in providing care if they reflect evidence based practice, are easy to use, comprehensive and updated to reflect current practice. The Ontario Antenatal Record is used almost universally (Beck et al., 2000; Schuurmans et al., 1998). See Appendix C for more information about the Antenatal Record.

–The Antenatal Record reminds the primary healthcare provider to follow the physical developments and concerns of the pregnancy and also to assess the psychosocial risks for the mother and the expected infant. If intervention is initiated prior to delivery for some problems, there is the potential to improve the outcome for the infant.

–The Antenatal Psychosocial Health Assessment (ALPHA) can supplement the enquiry. There is a provider version and a patient self report questionnaire. If psychosocial risks are present, extra supports and interventions can be sought ahead of time. The early neonatal period is a critical time for the infant-parent interaction. It is no longer reasonable to wait until problems arise and waste valuable time (Wilson et al., 1996). See Appendix B for more information about ALPHA.

–Involving the father as much as possible in prenatal visits and classes is associated with less anxiety and more involvement after the birth. Encouraging his active participation may facilitate his partner’s recognition of his role and facilitate communication between partners during this period. Special prenatal classes or sessions for expectant fathers provide the opportunity to review and discuss common issues and unique perspectives (Watson et al., 1995).

–The prenatal period is the optimal time to review infant feeding plans, community supports and parenting adjustments, especially if both parents are present for the discussion.

–Prospective parents who need additional support would benefit from a referral to the Healthy Babies Healthy Children Program early in the pregnancy, particularly teen parents.
MARY AND CARL’S CASE:
Mary comes in for her first prenatal examination late in the first trimester of pregnancy with her husband Carl. This is Mary’s first pregnancy and while it was unexpected, the couple appears delighted at the prospect of having a child. Everything appears routine except for an extended discussion around alcohol use. Mary and Carl indicate that they party with their friends on a weekly basis. When questioned about alcohol use, Mary mentions that she usually has 4 or 5 drinks at each social event. She also indicates that during the week she will have a drink or two in the evening to unwind. Mary wonders if this is OK, or if she should cut back. She also asks if it is OK to drink wine coolers since they are “mild drinks”.

QUESTIONS TO PONDER: Is Mary’s level of alcohol use a risk to the fetus? Are some types of alcohol safer than others? How can you provide accurate advice about the risks to the fetus, without raising undue fear in the couple?

DISCUSSION: It is a priority to address Mary’s current alcohol use and to assess the risks to the fetus. The healthcare provider should keep in mind that alcohol use may be under reported due to comfort levels or lack of knowledge about standard drink sizes. Mary should contact Motherisk for accurate personalized information about her risks. Mary and Carl will then need support in making decisions based on this information. Mary may also need support and advice during the process of addressing her alcohol use. Ask her how confident she is of her ability to stop drinking. Carl can play an important role in assisting and encouraging her through this process. Mary should be informed that the safest choice is not to drink any alcohol and that coolers are not “mild drinks”.

D. Perinatal

Maternal/ Family Assessment
Assessment of the mother-infant dyad begins at delivery and should be reviewed prior to discharge and within the first week after birth. These early encounters should provide time for exploration of problems and creation of solutions in areas such as breastfeeding, fatigue, family stresses, or worries about the baby’s neonatal behaviour. Early strategies may prevent developing problems and enhance parental confidence.

–Review the mother’s/parent’s perception of the delivery and the infant. Does either parent have any concerns about the infant? If there are infant problems, what is the parent’s understanding of the problem? Do they need help in coming to terms with the infant’s difficulty?

–Review the progress of breastfeeding and respond to questions regarding care. Is a lactation consultant needed? Assistance with breastfeeding may help prevent premature cessation of breastfeeding. The support may also help allay depressive tendencies by aiding early maternal competence.

–Review mother’s health and supports at home. Assess the resources that will foster a healthy interaction between mother/parents and the infant. This early period is a critical time. The presence of the father at labour and delivery as well as in discussions about the baby may improve bonding of the mother and infant (Wilson et al., 1996).

In Ontario, Healthy Babies Healthy Children uses the Larson Prenatal Screening Tool during pregnancy and the Postpartum Screening Tool (Parkyn) following delivery. These tools are used with the mother’s consent and can alert staff to risk factors for future problems including concerns related to the child, the mother, the labour or delivery, the bonding or the social situation. The screening tools can identify women who would benefit from an assessment by a public health nurse. For detailed information on these tools, see Appendices D and E.

Public Health nurses follow up with a postpartum phone call to all consenting mothers within 48 hours of discharge from the hospital. This call is an important marker of the need for further assessment and intervention/support. All mothers are offered an initial home visit. Ongoing visits may be offered if sufficient risk is indicated. Early intense visitation programs have been shown to decrease the incidence of child abuse, increase parental support and increase infant development measures (Shonkoff and Meisels, 2000). Referral to these programs can be made if concerns arise.
after the initial postpartum period. Such programs are designed to help support the parent, encourage positive childcare and help the parents enjoy the infant. Ongoing communication between Healthy Babies Healthy Children staff and the family physician, obstetrician or pediatrician is important. Additional services can be accessed as needed. See Section 5 for more information about the Public Health Programs.

**Neonatal Assessment**
A detailed physical exam at each well baby visit in the first month of life including a neurological exam is very important in establishing a baseline of postnatal development. As described in Section 2, prematurity, illness or abnormality in the newborn can be risk factors inhibiting the early bonding process. Coupled with the presence of other risks, careful monitoring or intervention may be indicated. Public Health visitation as well as more frequent reviews by a physician could be important to support and/or detect the need for further help. See Appendix F for information on Neurological Examination of the Newborn.

**SUSAN’S CASE:**
Susan is two months old and in your office for the first time. She was discharged from hospital one week ago. She was born by Caesarean section at 33 weeks gestation. Her mother had an abruptio placenta in a twin pregnancy that precipitated the Caesarean section. Twin A was a stillbirth. Susan had a separate placenta but required resuscitation and intubation after birth. Within two days she was extubated. Her birth weight was 1250 grams. There were no other major complications during her stay and she was discharged at 2500 grams at seven weeks and was breastfeeding. Her hearing was screened in hospital and she has an appointment for followup with the Infant Hearing program audiologist. Susan’s mother already has had a call and a visit from the public health nurse. Susan’s mother understands that immunization starts at two months of age.

**QUESTIONS TO PONDER:** What further information or investigation would you pursue? What feelings or issues regarding Susan might her parents be facing? How might you go about addressing their concerns and discussing a plan for Susan’s ongoing care? What services and support systems might be available in your community to assist Susan and her family?

**DISCUSSION:** At this visit, the family doctor needs to be sure that all the records regarding Susan are obtained and a clear picture of the follow up recommendations and plan from the neonatal unit is forthcoming. A thorough physical and neurological examination is needed so that the family physician has a base line knowledge of Susan. Some discussion with the parents regarding their understanding of the problem and their present comfort level with caring for Susan is appropriate now. Exploring the stress of the difficult beginning for Susan and the loss of the other twin may help to bring out any depressive or anxious symptoms as well as provide support. Both parents should be encouraged to attend visits as much as possible. The normal Well Baby Visit plans and immunizations as well as modifications to accommodate Susan may be discussed. The importance of attending the audiology assessment should be stressed because of the importance of identifying hearing loss as soon as possible. Support for the role of Healthy Babies Healthy Children is warranted so that parents understand how this program can assist in obtaining optimal care for Susan through further early intervention, assessment and guidance. After the visit, communication with Healthy Babies Healthy Children and other professionals will be important to be sure that Susan’s care is well coordinated. If Susan is found to have a hearing loss a referral for medical investigation will be made. The family doctor should expedite the process so Susan can receive all of the Infant Hearing Services as soon as possible. A referral to the Infant Development Program for early intervention is also warranted as Susan is at high risk for developmental delays. Susan is at risk for early childhood hearing loss, even if her hearing is normal now. She will be followed by the Infant Hearing Program, but should also be monitored at visits for signs of hearing loss. The parents may also benefit from support for dealing with their grief through local bereavement services.
After the baby goes home, in most situations, ongoing care continues at regular infant visits and as deemed necessary. These visits usually are frequent in the first months and gradually spaced farther apart as infant development proceeds. Because of the scope and depth of the issues to be addressed at these surveillance visits, a systematic approach is needed for efficiency and comprehensiveness. The Rourke Record provides both age appropriate screening reminders as well as a convenient record for charting early child development.

During the early visits particular attention needs to be paid to the infant-parent-family adjustment. A family check as well as an infant check is vital. Fathers should be encouraged to attend visits, when possible, and parent groups. Support groups for new fathers are available in some communities and have been deemed helpful by participants.

**Process of Infant Health Visit – This Follows the Rourke Record**

**Goals of the Infant Health Visit:**
- Address parental concerns
- Monitor physical growth and development
- Assess parent-child interactions and family health
- Counsel about development, safety, nutrition and community resources
- Encourage parents
- Provide immunization and other preventive care
- Identify risks/problems for action

**Parent’s Concerns:** Parents should be specifically asked about their concerns about their children and these concerns need to be taken seriously. Common parent concerns relate to sleeping and nutrition. Parental concerns related to developmental problems have been shown to be accurate. It is also clear that unless the parental concerns are addressed, other information or guidance may not be heard. If the background of parent’s questions or problems is probed carefully, more information about the infant, family problems or parental stresses may be gleaned. Such discussions can help in understanding family values, parental expectations and cultural issues. This information will be helpful in addressing the parent’s real concerns and in tailoring other information and advice to the family situation.

While asking parents about their concerns encourages parents to discuss the issues that they are finding difficult, parents are not necessarily aware of a wider range of issues that may apply to their child. Parents are usually the best and most reliable sources of information regarding their child’s development. Parent questionnaires or screening tools regarding their child’s development and behaviour can assist parents in reviewing their child’s progress. Such questionnaires can be quite precise in asking about specific behaviours and tasks and can help parents to clarify difficulties in each developmental area. Using such tools to screen all children for difficulties is shown to be more reliable than relying only on clinical judgement. In addition, they are not reliant on the cooperation of a child who is tired, afraid or ill and can be filled out when the parent has time to focus on the questions (Glascoe, 2000). They can help identify areas for further evaluation by the primary care professional. One such parent tool is the Nipissing District Developmental Screen. This screen provides the basis of the developmental screening portion of the Rourke Record and is described in detail in the next section on monitoring growth and development.

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**The Rourke Record:**
- Addresses parental concerns
- Provides evidence-based guidelines and record
- Covers birth to age six
- Incorporates developmental screening - “red flags”
- Serves as a reminder of age appropriate issues to cover in enquiry and advice
- Is easy to use
- Will soon be computerized

The Rourke Record is used to document preventative infant care. It was originally developed in the mid 80’s and has been revised several times. It reflects the recommendations of the Canadian Task Force on the Periodic Examination. The latest edition (2000) reflects many changes in the field of infant/child health surveillance. Dental care and prevention advice are also important and general information is provided.
in the Rourke Record. See Appendix G for more information about the Rourke Record.

The Healthy ABC’s, a health maintenance guide for Well Baby Visits, was developed at the University of Montreal. It is similar to the Rourke Record and has been used in the province of Quebec since 1997. This tool was recently translated to English and is currently being introduced in Ontario.

-Monitoring Growth and Development: Recommended physical screening procedures for specific ages are included in the Rourke Record. Developmental screening can be done through interview or by having the parent check the developmental screening tool (Nipissing) prior to the examination and then reviewing their responses during the examination. The goal is to review all the areas of development:
–Physical – including height and weight
–Vision (see www.cps.ca/english/statements/CP/cp98-01.htm for vision screening tools)
–Hearing (Universal Newborn Hearing Screening is provided through the Infant Hearing Program, but physicians should continue to monitor for hearing loss later on in life)
–Motor – gross and fine
–Communication – including speech and language
(See Appendix J for more information)
–Cognitive – e.g. looking for a dropped object, naming colours
–Socio-emotional – e.g. smiling, eye contact, pointing

-Nipissing District Developmental Screen: The Nipissing District Developmental Screen is a series of age appropriate screening tests (13 different age levels) designed for use by parents with children between the ages of 1 month and six years. The questions cover seven areas of development: vision, hearing, speech-language, gross motor, fine motor, cognitive and self-help skills. Evidence-based indicators for autism have been incorporated into the screen. It is sensitive to the varying cultural values in child-rearing and allows for alternate experiences. The skills in each screen are expected to be mastered by most children by the age shown. Recent validation testing indicates that two NO’s on the screen are significant for further action, including continued attentive surveillance, health teaching and/or referral for assessment (ARC, 2002). A wait and see approach only further delays appropriate intervention. The forms can be filled out by parents in the waiting room or can be sent home with parents to be completed for the next visit. The Nipissing can also provide a conversation template to highlight ways to provide quality experiences that can support development either in the home or in childcare situations as it includes suggested activities designed to encourage a child’s overall development at specific ages. See Appendix H for more information about the Nipissing District Developmental Screen or http://www.ndds.ca/Pages/evaluation.html.

It is important to be aware of “red flags” that may indicate risk for developmental problems. For more information on developmental monitoring see Appendix I. For an additional case of an older child with developmental delays, see Appendix N.
**Assessing Parent-Child Interactions**

*Observation:* How comfortable does the parent seem to be with the child? If upset, how easily does the infant settle? Observing the mother feeding can be helpful. Is the parent responsive to the infant? Is the infant difficult to handle? The nursing and other staff may provide valuable information from their encounters with the parent and child. How does the mother appear? Does she look excessively tired? Tearfulness is frequently a sign of postpartum depression.

*Expectations:* A gentle exploration of the parent’s expectations of the young child, parenting and “how things are going” may facilitate the discussion of problems. Mothers may have a difficult time admitting negative feelings about the parenting experience. Excessive concern about the baby may also be an indicator of depression. Comfort with caring for the baby can also be checked.

*Support systems:* How is the mother caring for herself? Is she eating and sleeping well? How is the family adjusting to the baby? How are the partner and other members involved? Are there outside supports? Are there other family stressors?

*Parental Mood:* Indicate that there are often difficult feelings around this transition time. Direct questioning about mother’s feelings may elicit specific depressive symptoms.

*Siblings:* Discussing other children and their reactions to the infant is also an important part of looking at the family system.

Postpartum psychiatric disorders are important problems to identify during the early neonatal period. It can be difficult because the early signs of fatigue and insomnia are hard to distinguish from the normal effects of caring for a neonate. A new mother may find it difficult to admit to her feelings and thoughts or may under report her depressive symptoms due to shame, fear or confusion.

Some preventative tactics include targeting women who are at risk and educating women in advance to monitor themselves for symptoms. Women can be educated about the early signs of postpartum depression and what they should do if they have any symptoms. However it may still be difficult for women to recognize the symptoms. Over-concern for the child may be a sign to consider. Ask women about their mood, coping ability, family supports, eating, sleeping and getting out. Problems in these areas warrant further investigation. It is not usual in the postpartum period to

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**MARTIN'S CASE**

Martin is in for his six month checkup and his mother mentions that other members of the family are concerned. He is a cheery responsive baby but he does not sit up alone and still seems to have trouble holding up his head. He feels like a “sack of potatoes” when you pick him up. He is beginning to coo and imitate sounds and reach to grasp things. On reviewing his history you have noted that he was full term and with normal apgars. His mother was treated for hyperthyroidism during her pregnancy. He has tended to be a floppy baby and was delayed in holding his head up well. Otherwise there were no concerns.

**QUESTIONS TO PONDER:** What would be your approach to this developmental delay? What specific concerns might you have regarding the infant? What further investigation would you pursue? What referrals or interventions would you instigate?

**DISCUSSION:** Martin is lagging in motor development and has persistent hypotonia. Further inquiry into family history for developmental problems is needed. A number of genetic problems may need to be considered. You learn that there is no specific family history except that his father, a lawyer, did not walk until 16 months. A neurological assessment and planning for further developmental assessment would be warranted. A full workup for the causes of this child’s motor delay is important. Education for the parents and referral for early intervention can be initiated in the meantime. Some inquiry into daily care and stimulation opportunities for Martin may be useful. In addition to assessment, early intervention in the form of physiotherapy (accessed through Infant Development Programs) could be taught to Martin’s caregivers to stimulate his muscle development. A careful follow up plan is important to monitor his progress.
have consistent difficulty getting back to sleep after the baby has settled. More common “postpartum blues” should pass in the first 2 weeks. Be on the lookout for feelings of confusion and for thoughts regarding harm to the baby. Involvement of patient and family is most important in planning treatment of depression, and attention to attachment issues for the baby (Chokka, 2002a; Chokka, 2002b; Yonkers and Steiner, 1999).

—Counseling Parents

Counsel parents about:

—Development – anticipatory guidance
—Parenting concerns
—Safety
—Nutrition
—Community resources

Parents need information around all of these issues. For many topics it is useful to have printed information to review with parents so they can read it again at home.

—It is important to have information about local parent support resources. Parental supports can improve parenting skills and improve outcomes for children. Know what is available in your community, including parenting programs, and where parents can call for support, information or recorded messages about common parenting concerns.

—Encourage parents to enjoy their children, to watch their development, and to play, talk, read and sing with them. Parents can be encouraged to recognize that the baby can give them cues as to what he/she is ready to do.

Improving the Odds: Healthy Child Development
JOANNE’S CASE:
Joanne is 1 year old and comes in with her mother for a regular check up and to have her 12 month shot. The Nipissing Screen indicates that Joanne has met all her milestones and in a few cases, exceeded them. The Rourke Record does not indicate any concerns. Joanne is growing well, seems content, interested and healthy. She responds to her mother’s requests and uses a few words while in the office. She is not quite walking on her own, but pulls to a stand and moves around, steadying herself on the furniture.
When Joanne is given her shot, she whimpers a bit, but is quickly comforted by her mother. Mom indicates that she started back to work on a part-time basis 3 weeks ago. Childcare has been arranged with Joanne’s grandmother.

QUESTIONS TO PONDER: Do you have any concerns for this child? Is the visit over, or is there further information that you could provide? Are there any ways you can support early neurodevelopment?

DISCUSSION: Joanne appears to be a healthy, happy child who is developing well. With no health concerns to act on, it may appear that there is no reason to continue the appointment. However, the early years are a period of rapid neurodevelopment. Advice and information should be given to all parents, not just the parents of children with medical concerns or developmental delays. Joanne is at an age where physical and social skills are developing rapidly. Suggest ways that the parents and the grandmother can increase stimulation and interaction with other children. This family could be linked with programs such as an Ontario Early Years Centre, that include drop in services, parent support and activities for children. Grandma could use the program to meet other parents and caregivers and to provide additional opportunities for Joanne. Ask Mom how the return to work is going and suggest that she inquire about parent groups that might meet at hours that accommodate her work schedule. Because of Joanne’s increasing mobility and interest in her surroundings, you may also want to provide information for the family and grandmother on general safety and child proofing measures. You can also ensure that the grandmother has the number of the doctor’s office so she can call if she has any questions or concerns. An information sheet about the 12 month shot should be given to the mother, as well as information about the upcoming 18 month needle. The healthcare provider could also encourage the mother to involve the father and/or the grandmother in the 18 month visit.

–Monitor psychosocial issues experienced by parents, including changes in the relationship. Parents may benefit from referrals and support. Marital satisfaction can drop between six and eighteen months for the mother and after eighteen months for the father. An infant with difficulties may aggravate this trend. Some studies find that 15% to 20% of couples are separated or divorced by the time the first child is four years old (Watson et al., 1995).

–Advice on optimizing development can be given for all children, even those that may be advanced or developing typically. Every child can profit from early educational experiences and all parents can benefit from participation in parenting supports that enhance parenting skills. Parents should be encouraged to use community resources such as:

–Ontario Early Years Centres
–Parenting groups
–Books, tapes, phone lines, websites
–Play groups
–Library programs
–Toy lending programs
–Healthy Babies Healthy Children

–Encouraging Parents: Parents need encouragement in their job. Recognizing positive interactions and positive aspects of their infants is appreciated and supportive. They also need understanding regarding the difficult aspects of parenting. Building up the parent’s confidence to care for the child is known to improve their parenting.
Providing Immunization

**Recommended immunizations include:**
- Pertussis (acellular), Diphtheria, Polio, Tetanus vaccine (aPDT)
- Haemophilus influenza B vaccine (HIB)
- Measles, Mumps, Rubella vaccine (MMR)
- Varicella vaccine*
- Hepatitis B vaccine if indicated
- Pneumococcus Streptococci, Varicella and Meningococcal vaccines have been recommended but there is a cost for these vaccines. It is important to advise parents about these recommendations regardless of the fact that they are not supplied.

- Parents must be informed about the risk of not having their child vaccinated.

**Identifying Risks and Problems:**

- The recommended schedule for immunization included in the Rourke record is the one recommended for Ontario at the time of printing the 2000 version. Immunization should follow the most current public health recommendations.

- Please note that providing immunization also means providing information about vaccines. When counselling parents it is important to give information about the risks and side effects of the vaccines as well as the benefits of preventing the diseases.

- Pneumococcus Streptococci, Varicella and Meningococcal vaccines have been recommended but there is a cost for these vaccines. It is important to advise parents about these recommendations regardless of the fact that they are not supplied.

- Parents must be informed about the risk of not having their child vaccinated.

- In the past, there has been a tendency to take a “wait and see” attitude toward some developmental problems rather than look for early interventions. In view of the new understanding about brain development, physicians and other primary healthcare providers will need to be more proactive in instigating interventions. Referrals and intervention can be started even before diagnosis, as parent education can often help the situation. For example, a referral to the Infant Development Program can occur before the cause of the delay has been determined. Suggestions for interim action on the part of parents while waiting for a visit can often be obtained from the service. For some specialized assessments, a long waiting period may be the reality. This fact makes a proactive response all the more important.

- See Section 5 for more information about some of these services:
  - Pediatric Services (Developmental or other)
  - Healthy Babies Healthy Children (Public Health Early Childhood Services)
  - Infant Developmental Program (IDP)
  - Ontario Early Years Centres
  - Preschool Speech and Language Program
  - Infant Hearing Program
  - Physiotherapy and occupational therapy – may be accessed through IDP
  - Family and Children's Services (FACS)
  - Community Care Programs
  - Women's Shelters and programs
  - Preschool Autism Services
  - Many local services such as nursery schools and toy lending programs that may also help children and parents

See Section 5 for more information about some of these services.

See Appendix M for information on the 18 Month Visit and a suggested flowchart for evaluation and management of specific types of developmental delay.
F. Early Intervention

Early Action for Delays/Risks/Behaviour Issues

**Early action for concerns can involve:**
- An in depth family assessment
- Further assessment/monitoring
- Early infant/child intervention programs
- Speech and language referral
- Audiology referral
- Ontario Early Years Centre
- Informal play groups etc.

- It may take time to determine the basis of delays; however by acting early, it may be possible to help parents adapt their pattern of interacting with the child. This may help the child’s delay even before a specific diagnosis has been made.

- Healthy Babies Healthy Children can help connect families to appropriate early intervention.

- If families are not involved in playing or reading with their children, then suggestions regarding these activities may help while waiting for referrals, which often take some time. Referral to nursery school, library, play groups or a parent-child program may also help.

- These early measures may prove to be all the interventions that are needed for some children and can be instigated while waiting for further consultations to occur.

- Have printed suggestions to give parents available in the office. Websites, infant development programs and public health can provide suggestions or materials.

- Communication and social development are areas where primary healthcare professionals may be reluctant to label a child prematurely. In the past physicians especially have tended to take a “wait and see” approach. However there is...
Brittany’s Case:

Brittany, age three, has come in with her mother to have stitches removed. The emergency department had stitched a small cut on her knee after she fell when running on the ice. This family is new to the community and this is their first visit to your office. After removing the stitches, you ask basic questions regarding Brittany’s past health and immunization record. You notice that Brittany is running around the room looking into everything. She is interrupting constantly during the discussion. Brittany is offered toys but she just scatters them and does not play with them.

Questions to Ponder: Would you do anything at this point or just be glad when they leave? What issues might you want to pursue in the future regarding this child and her family situation?

Discussion: Plan a follow up well child checkup (initial assessment and 3 year checkup) to review Brittany’s behaviour and development and to indicate your concerns to Brittany’s mother. Give the mother the Nipissing screen for 3 year olds to complete before the next visit. At the next visit it will be important to learn more about the family constellation and interaction. It may be useful to identify Brittany as challenging (as opposed to the mother lacking parenting skills) and explore mother’s experience with the child. If she is finding Brittany difficult to manage, then being supportive and helping her connect with added resources may be well received. It would be important to suggest that routines and rules might help to prepare Brittany for school and to learn to focus on a task. Early educational opportunities such as Ontario Early Years Centres, nursery school or other peer programs could be suggested. Brittany would benefit from some structured programming and Mom may learn from other mothers. Parenting training may help Mom to add more structure and learn ways to gain Brittany’s attention and cooperation, in addition to providing some support for herself. An exploration of the pregnancy history (any illness, alcohol or drug use), family history (psychiatric illness, learning disabilities, Attention Deficit Hyperactivity Disorder), Brittany’s past history of injuries (any fractures or unusual injuries) and whether any psychosocial risks are present (domestic violence) is important. If you suspect abuse or dangerous substance use, child protection services must be informed.

Increasing evidence that delays of language and socialization are important and can be detected earlier than expected in the past. More importantly, there is solid evidence that early intervention can make a difference and can alleviate some common secondary problems. Parents can be educated regarding this approach.

–Even mild forms of Autism Spectrum Disorder may be detected by eighteen months. Clues are delays in communication skills such as eye contact, joint attention and pointing and language delay. Failure to develop social skills such as pretend play or showing something of interest may be early signs. Regression in these skills at any time is also cause for concern. It is important for primary healthcare providers to become more aware of the developing skills of communication, language and social behaviour. Some physicians and primary healthcare providers may be willing to do further investigations themselves. CHAT (Checklist for Autism in Toddlers) is a screening tool for toddlers, eighteen months old that can be done in the office and is fairly simple to complete. However, it is not a diagnostic tool (Baron-Cohen et al., 2000; Ho, 2001; Kagan-Kushnir and Zwaigenbaum, 2001). See Appendices K and L for more in formation on CHAT and Autism Spectrum Disorder.

–Behavioural problems also warrant early referral. Children with Oppositional Behaviour and Attention Deficit problems often have preceding histories of being difficult toddlers. Parents may benefit from early help to develop firm, calm but nurturing approaches to the behaviour. This may abort the escalation of problems and help prepare these children for school. Unfortunately these issues are not often addressed before school. Lack of a specific diagnosis does not preclude intervention and supplementing parenting skills.
ALICIA’S CASE:

Alicia is in for her two-year old checkup with her parents. Her mother expresses concern that she is not talking as well as the other children in the play group, who are stringing words together. Although Alicia has about twenty words that the family deciphers, they are not clear. She uses lots of gestures and grunts to make her needs known and is very interactive with parents and peers. When not understood, she has tantrum behaviour, which is frustrating to her parents. They feel she understands well but cannot get out what she wants to say. The parents also noticed that their eleven-month old daughter is already babbling, mimicking and saying words, something Alicia did not do although her birth history and other milestones were normal. Alicia’s father thinks that she is just a late talker as he was, but wanted to be sure that there was not a problem.

QUESTIONS TO PONDER: If “late talking” is a family characteristic, does it need to be addressed? Or will it resolve in time without intervention? What plan of action might you pursue?

DISCUSSION: Audiological testing confirmed Alicia’s hearing was normal and referral was made to the Preschool Speech and Language Program. All areas of communication were assessed by a speech language pathologist, including language comprehension and expression, nonverbal communication and speech production. Assessment indicated that Alicia seemed to have a specific speech production disorder (possibly Developmental Apraxia of Speech). Although she seemed to have no other problems related to oral musculature (i.e. no drooling or feeding problems, etc.), further neurological testing may be indicated to rule out specific neurological problems. Referral to appropriate pediatric specialist (developmental, neurological) should be made. The speech language pathologist will involve the parents in therapy, teaching them to support her speech development through play and everyday routines. Parenting training may be recommended to help parents better understand and cope with Alicia’s communication problem, such as dealing with her tantrums of frustration. Individual or group treatment may also be recommended. Early intervention with speech and language problems is most important; in fact, it’s never too early to start. The family may benefit from participation in a parent-child program, and this may stimulate the speech development of the younger sister as well.

Early Intervention for Children with Specific Disabilities

There is growing evidence that early intervention has a positive effect on development for children under the age of three who have disabilities (Shonkoff and Meisels, 1997). Effective programs include both parents and children. Specific structured programs work the best. Parents can become trained in special techniques to help their children. Research is limited, needed and ongoing.

One example of new research in this area is the Early Development Instrument (EDI) which is a measure of children’s early development at school entry. This instrument is administered in the second half of the senior kindergarten year of school. This is a population-based measure that reflects the impact of community services such as preschool play programs on the children’s “readiness to learn”. The EDI can be integrated with other health and developmental indicators to measure the impact of new programs on the community.

Collaboration and partnership between families and a broad network of professionals (from education, social services and health care) is important for all children. When children have specific disabilities, there is a need for smooth transition between the preschool years to the education system. When special assistance or therapy is required, it is hoped there will not be any disruption of required support services and programs. Liaison work involving reciprocal sharing of information will identify students needs prior to the start of the school year. Families will need to be supported as they become familiar with school requirements. Individual teachers and school administrators are encouraged to take a proactive role in developing good relationships with parents. Healthcare professionals in the community are encouraged to support and build on such strategies with local school boards.

G. Special Needs

Children with Special Needs and their Families

A major concern for children with special needs and their families is secondary problems that put the child and family at further risk. Therefore, there are still preventative interventions as well as treatment interventions to consider for these situations (OCFP, 2000).
Because these families may need the help of several different services, no one service may keep the whole picture of the child and family in view. Collaboration and communication between the professionals and agencies involved is essential for the child/family. It is important to review the total picture on a regular basis and to determine if the needs of the whole family are being considered. Service coordination meetings including all professionals and the family are an important mechanism to identify specific roles, to review progress and future plans, to reduce duplication of service and to ensure that all necessary services are in place.

Wraparound Care is a new style of service coordination for families who have complex care needs and are involved with multiple service providers. Informal providers (i.e. faith community, neighbours, friends and cultural groups) can be integrated into the long term treatment plan alongside the therapists and social workers involved in the case. A Wraparound facilitator works with the community service providers and informal supports to help meet the needs of the family. The family is encouraged to be part of the planning process and to take an active role in learning to coordinate their own treatment teams. This process can help empower parents and often reduces the confusion that can develop with poor communication between different agencies.

If the family is overwhelmed, the child too is at further risk. These families need encouragement for the efforts they are making. It may be difficult to see their efforts in a positive light when problems are ongoing. The need for recognition and support is real.

The family of a child with special needs has many stresses that can affect the immediate and extended family. The burden of care can be heavy and may lead to isolation, depression, relationship problems, sibling problems, etc. It is important to assess the needs of the whole family. Children with special needs may require periods of separation from caregivers due to hospitalization. Parents may need to be at home with their other children. While this often cannot be avoided, care should be taken to ensure that when the caregiver is present, they are well supported by healthcare professionals in their efforts to nurture their children with special needs.

Siblings often end up assisting with care giving, carrying more responsibility and receiving less care themselves. The outcomes of this responsibility can be positive but there are risks for these siblings. Their own needs may not be met and they may not have optimum stimulation for growth and development.

Social isolation is a risk because of care requirements. Special training is needed for anyone staying with the child, so therefore disabilities may limit the socialization opportunities for the family.

Parents are at risk for medical as well as psychological illness because of inadequate sleep, poor nutrition and inadequate self-care.

Physical and financial needs are often increased. Parental ability to work may be hampered by the child’s care, etc. Anticipate needs, ask families and know where to look for help.

Completing forms, making calls and supporting a family may be part of an advocacy function.

The Association for Community Living, Public Health or a social worker from the Community Care Access Centre (CCAC) can be consulted about financial resources and strategies that may be available for families.

Once parents become more knowledgeable, they can become advocates for their children and the children of other parents.
Key Points - Improving the Odds

- Look for opportunities to reduce risks and offer enhancement of neurodevelopment for all children.
- Assess for factors that may pose risks to child neurodevelopment and for developmental delays.
- Do not take a wait and see attitude!
- Be proactive by informing parents, initiating further investigation, providing referrals and continuing to monitor both child and family.
- Learn about supports available in your community.
- Incorporate a plan to encourage all parents to seek training and support for their task.
- Stress the importance of early childhood educational experiences for all children.
**Introduction**

A wide range of provincial programs and services have a profound influence on healthy child development and the early years in Ontario. Family physicians and other primary healthcare providers offer valuable contributions to the health of children and families. However, they are not able to meet all needs or address all concerns. Knowledge of available programs and services can help the primary healthcare providers support families. Some programs and services such as Ontario Early Years Centres may benefit all families. Others, related to developmental or speech issues such as Infant Development Programs or Preschool Speech and Language, are designed for children with special needs or concerns. Public Health programs, such as Healthy Babies Healthy Children, deliver important services for families, including the postnatal screen, the postpartum phone call, home visits and linking families to needed services.

**A. Public Health Programs**

In Ontario, public health programs obtain their legal authority from the Health Protection and Promotion Act. The Mandatory Health Programs and Services Guidelines lay out the minimum requirements to be provided by boards of health through public health units. The promotion of healthy child development is a fundamental service of Public Health departments across the province. Public Health programs provide a continuum of care for all age groups, including preconception to six years. Strategies include disease prevention, health promotion and health protection. The Reproductive Health and Child Health mandates use population health approaches to promote preconception health, healthy pregnancies, breastfeeding, healthy child development and parenting. Multiple health promotion strategies include: increasing awareness through media campaigns and workshops; promoting healthy child and family public policy, building coalitions, and providing small group interventions such as prenatal and parenting classes.

Prenatal and early childhood experiences have a profound effect on health and well being in later life. The Family Health Program is directed at children, youth, parents, caregivers and people in their reproductive years who are making choices about future family life. The program is intended to protect and promote the health of families, prevent disease and assist in the attainment of an optimal level of health.

The components of the program are Sexual Health, Reproductive Health and Child Health. The primary focus of Sexual Health is on the establishment of healthy sexual relationships and personal responsibility. The focus of Reproductive Health is on planning for a healthy pregnancy and promoting healthy behaviours and environments before and during pregnancy. Child health is focused on promoting healthy development through parenting practices and supportive environments. Many health and social service providers work collaboratively to implement public health programming. For more information, contact your local public health unit at http://www.health.gov.on.ca/english/public/contact/phu/phulocation.html

**Children In Need Of Treatment (CINOT) Dental Program**

The Children In Need Of Treatment (CINOT) dental treatment program is part of the Child Health Program. The objective of CINOT is to provide a basic level of dental care to children, from birth to Grade 8 or their 14th birthday (whichever is later), who have identified dental conditions requiring urgent care. Children are eligible for this program if they have no dental insurance or other form of coverage (e.g., Ontario Works, Ontario Disability Support Program, Federal Government coverage for refugee claimants, etc.) and the parent/guardian has signed a written declaration that the cost of the necessary dental treatment would result in financial hardship. NB: Parents may be asked to prove financial hardship.

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*Improving the Odds: Healthy Child Development*
To determine if a child is eligible for CINOT call the health unit, in the area where the child lives, to arrange for a dental screening. Health units will offer a screening appointment within five working days of your call. All children identified as CINOT-eligible are tracked to ensure they receive the needed care. If they do not receive the care, health unit staff will refer the child to the local Children’s Aid Society for suspected (dental) neglect.

When a child is identified as CINOT eligible, health unit staff makes inquiries regarding younger siblings and other family needs. Appropriate referrals to health unit programs (e.g., to the Healthy Babies Healthy Children program, pre-natal classes, parenting classes, counseling, immunization, etc.) or other community programs are facilitated.

B. Healthy Babies Healthy Children Program

Healthy Babies Healthy Children is a prevention/early intervention initiative designed to help families promote healthy child development and help their children achieve their full potential. Introduced in 1998 by the Ontario Government, Healthy Babies Healthy Children is an integrated program of the Ministry of Health and Long-Term Care and the Ministry of Community, Family and Children’s Services.

Healthy Babies Healthy Children is about healthy child development. Early childhood experiences make a critical and long-term difference in children's development, and in their health and well-being during childhood and as adults (Hertzmann and Keating, 1999). Each year in Ontario, babies are born into families where a number of factors affect their ability to achieve their full physical, mental and emotional potential.

A child's ability to develop to his or her full potential is affected by a broad range of economic, psychosocial, behavioural, and lifestyle factors. Healthy Babies Healthy Children focuses on behavioral and lifestyle issues, by providing parenting skills and support. In addition, all Boards of Health manage Healthy Babies Healthy Children and are actively involved in advocating for the full range of social, housing, education and other services required to promote healthy child development. The involvement of the Ministry of Community, Family and Children's Services (formerly the Ministry of Community and Social Services) in Healthy Babies Healthy Children helps link the program with other services, which can address broader psychosocial issues.

Healthy Babies Healthy Children offers all families in Ontario: screening services for children from prenatal to age 6, postpartum support services, and information about resources in the community.

Healthy Babies Healthy Children was originally intended only to serve families at “high risk”, but in 1999 it evolved into a program that offers both universal services (i.e., services available to all families in Ontario) and targeted services (i.e., services available to families who meet certain criteria). The program offers families at risk more detailed assessment services and referrals to community services. The program offers families at high risk home visiting services, service co-ordination, referrals and other supports.

The program is designed to:
– Give children a healthy start in life
– Provide more intensive services and supports for families with children who may not reach their full potential (i.e. at high risk).

Program components include:

– Larson Prenatal Screen: A prenatal screen (Larson tool) is completed on all pregnant women who access the Healthy Babies Healthy Children program prenatally, and may be used by other service providers in the community. The Larson prenatal screen consists of three questions designed to identify factors that are associated with parenting difficulties and problems with child development. Screeners may administer only the three required questions, or they may integrate the questions into a longer, more detailed prenatal assessment. The screen is administered as early as possible during pregnancy. It is designed to identify a small number of factors associated with low birth weight and parenting problems including:
  – The mother’s smoking habits
  – The mother’s level of education
  – The mother’s attendance at prenatal classes or effort to seek out prenatal information

The period between conception and birth lays the foundation for a child’s well-being. It is the time when the child’s basic neural structures are established and these structures have a direct impact on the child’s development. It is also the time when the attachment between mother and child begins. For mothers at risk, the pre-natal period is a critical time and the optimal starting point for Healthy Babies Healthy Children services. The relationship that
develops after the baby’s birth is often enhanced if the home visitor gets to know the mother in the prenatal period.

---Postpartum Tool, Healthy Babies Healthy Children (Parkyn): Healthy Babies Healthy Children Postpartum screening aims to reach all consenting women who give birth in Ontario, identify those who may be at risk, and link them to services. It consists of a series of questions designed to identify factors associated with risk of parenting problems. The Parkyn postpartum screen is administered before the mother leaves hospital either by a labour delivery nurse, a postpartum nurse or a public health nurse. Physicians are encouraged to fill in the sections of the Parkyn tool during visits for postpartum or newborn care. Postpartum screening is an efficient, effective way to have contact with almost all families with new babies in Ontario, and to identify those who may be at risk, early in the child’s development.

As part of the postpartum screen, hospital staff and midwives ask mothers for permission to share the results of the screen with the Board of Health, and whether they wish to receive a postpartum phone call and home visit from a public health nurse. Healthy Babies Healthy Children works with hospitals and midwives to establish procedures and protocols for notifying the Board of Health of all births and obtaining results of all postpartum screens, providing the mother/parent consents.

The Parkyn screen can determine whether a child and family is at risk. A brief assessment and an in depth assessment may then be completed with families who are identified as at risk.

---Nipissing District Developmental Screen: A parent developmental screening tool, such as the Nipissing, is available to all parents with children from birth to six years through the local public health unit. The tool assists parents in recognizing areas of

![Figure 20: Healthy Babies Healthy Children Service System](image)

NOTE: Families and Children may become “at risk” and require supports/services at any stage of a child’s development. Families can enter the system at any time and benefit from whatever services are required.

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developmental concern and will inform them of activities that can nurture and stimulate the child’s development. Developmental screens are conducted in partnership with other early intervention services such as speech, infant development and mental health services.

–**Parent Education and Support:** All consenting families are called forty-eight hours after discharge to offer support and education. Healthy Babies Healthy Children links families to parenting groups that offer information on healthy growth and development, attachment, play based learning activities, and other parenting resources in their local community. Healthy attachment behaviours are promoted in the mother-child relationship through individual and group intervention. A telephone service is provided that accepts referrals and provides consultation to parents and professionals. All families are offered an initial home-visit. At risk families are offered ongoing home-visits.

–**Referral and Service Coordination:** Children with developmental concerns are referred to early intervention services and other community resources to support and strengthen family functioning and to promote healthy child development. The case manager generally acts as a service coordinator with families involved with the home-visiting program. The public health nurse plans services in collaboration with the family, the lay home visitor, other health unit staff and other community services, to ensure continuity of care.

See Appendices D and E for the Larson and Parkyn tools

### C. Other Services

There are many additional services and service providers that are important resources for families of young children. Public health units can help primary healthcare professionals become more aware of the range of services available in the community, providing a complete directory of local services. Some services assist all parents in their important and challenging job of raising young children, while others support children and families who face specific challenges. Services may be accessed and delivered uniquely in different communities, however central access numbers as provided by the Healthy Babies, Healthy Children should simplify the situation. Healthcare professionals are encouraged to work together to improve access and communication between services in their communities and region.

Unfortunately, specialized services are not always available, or there may be a long waiting list. It is not sufficient to diagnose a concern. Diagnosis needs to be followed by appropriate treatment and care. Healthcare professionals are opinion leaders who may need to take on an advocacy role. Issues and concerns about access and availability of services should be documented. All healthcare providers can and should advocate to improve service delivery and resources.

### Areas of Service

–**Developmental Services:** Developmental services can assist children who have developmental delays. The services may be accessed through a formal program such as a Child Development Centre or a Children’s Treatment Centre, or through a healthcare provider such as a developmental pediatrician. Infant Development Programs and Preschool Autism Services are services of special note (see next page).

–**Early Childhood Services:** Childcare services can be necessary when both parents work, and also offer children important opportunities for stimulation and socialization. There may be many different childcare services to choose from in your community. Services may include nursery school, in-home childcare or childcare centres. Some have specific programs and services for children with special needs.

–**Parent-Child Services:** Parent-child programs promote healthy child development and well-being of families. These include Community Action Programs for Children, Ontario Early Years Centres and Family Resource Programs. Most provide drop-in programs, toy lending, parenting classes and information and activities for children, depending on the unique needs of the communities they serve. Some communities have family wellness clinics for parents to have on-the-spot assessments for their preschool children.

–**Parent Help Line:** The Parent Help Line is available in English and French at 1-888-603-9100. It operates 24/7 with live counsellors as well as pre-recorded messages on over 250 topics. Parents are provided with confidential support, information and referral.

–**Speech and Language Services:** Speech and language services help children with communication delays or concerns. Speech and Language Pathologists, the Infant Hearing Program, the Preschool Speech and Language Program and other community
audiological services work together to maintain, develop or
restore the child's highest potential for communication (see
next page for a description of the Preschool Speech and
Language Program and Infant Hearing Program).

— **Therapists:** Therapy may address physical and/or cognitive
issues. Occupational therapists and physiotherapists play an
important role in improving children's ability to perform
certain tasks. Play therapists conduct play therapy assessment
and treatment with young children who do not have the
language skills to benefit from cognitive therapy. Clinical
psychologists can provide individual therapy, family therapy,
marital therapy, group therapy, behaviour management and
educational sessions for parents and families.

— **Healthcare Services:** There is a wide range of healthcare
services that can be coordinated to support healthy child
development. Midwives, family physicians, developmental
and special service pediatricians, nurse practitioners and nurses
all have an important role to play in promoting and supporting
health in the preconception, prenatal and postpartum periods.

— **Child Protection Services:** Family and Children's Services
( previously called the Children's Aid Society ) supports families
in their central role of caring for and nurturing children. It
advocates for children and provides coordinated, quality services
for children, families and individuals. The primary service
focus is on children in need of counselling, support and
protection from abuse and neglect. They often administer and
run group homes and offer foster care.

— **Social Workers:** Social workers counsel families, with a focus on
parent training. They support the family in managing the
mental health issues of their child.

— **Financial Supports:** The stress of poverty can create additional
challenges for parents. Families may benefit from referrals to
programs that address financial concerns such as food banks
and emergency shelters. Certain professionals, such as social
workers may be quite knowledgeable about programs that are
available in the local area. Community Care Action Centres
may also be a resource, depending on the region.

— **Supports for Pregnant and Parenting Teens:** Pregnant and
parenting teens have specific needs and concerns and may be
more comfortable if referred to programs specifically designed
for adolescents. Programs may include teen prenatal classes or
teen parent support groups. In addition, Learning, Eating and
Parenting (LEAP) can assist and support teen parents so that
they can finish high school, obtain assistance with childcare,
improve parenting skills and achieve economic self-sufficiency.

— **Programs for Fathers:** Fathers have unique needs and
perspectives on parenting, and often benefit from programs for
fathers. There are many excellent programs available, including
Dads Can and Focus on Fathers.

— **Informal Supports:** Keep in mind that informal supports are also
very important to child development. These can include parent
self help groups, neighbours, the faith community, friends
and extended family.

**Programs of Special Note:**

— **Preschool Speech and Language:** Preschool Speech and Language
Services provides services for children from birth to senior
kindergarten entry for communication problems. Services
include speech-language assessment, therapy, consultation,
home programming and parent education.

— **Infant Hearing Program:** The Infant Hearing Program
provides Universal Newborn Hearing Screening for all babies
prior to discharge from hospital and provides audiology
assessments for babies referred from the pre discharge
screening and for any infant under 2 years with risk factors
for permanent hearing loss. It provides follow up family
support, hearing aid evaluation, and communication
development services for infants identified as deaf or hard of
hearing.

— **Infant Development Program:** This program provides early
intervention for families with children who are developing
more slowly than expected, or whose development is at risk
because of birth or medical problems, genetic disorders, low
birth weight or for reasons unknown. The services include
developmental assessments and home visiting where the
therapist recommends adaptations to the environment and
teaches the parent how to use their home environment to
encourage their child's development.

— **Preschool Autism Services:** This is a program for children up
to 6 years of age, who have a diagnosis within the Autism
Spectrum Disorders. It provides assessment and a course of
Intensive Behavioural Intervention to assist with the child’s adjustment within family and preschool settings, and to aid in the transition to school.

Ontario Early Years Centres: These centres offer services that are accessible by all families with children from ages 0 to 6 regardless of socioeconomic background, culture, geography or special needs. They help parents link with other organizations that provide services such as childcare, healthcare and recreation programs. Ontario Early Years Centres promote healthy child development and readiness to learn through:

- Programs and services for parents/caregivers and children from ages 0 to 6
- Services designed to support the early years service community
- Initiatives designed to educate the community at large and encourage the community to play an active role in healthy child development

Early Child Development Initiatives
In September 2000, the First Ministers reached an agreement on federal funding for the early years. This agreement is described in the First Minister’s Communiqué on Early Child Development, September 11, 2000. As part of the agreement, the First Ministers agreed to apply the funding against any or all of the following four key action areas:

- promote healthy pregnancy, birth and infancy;
- improve parenting and family supports;
- strengthen early childhood development, learning and care; and
- strengthen community supports.

On May 10, 2001, the Ontario government launched its Early Years Plan based on the key action areas identified in the First Minister’s Communiqué on Early Child Development. The Early Years Plan connects parents and caregivers to new and existing programs and services where they live and work and that focuses on their children’s early development. Building on existing partnerships, programs and services, these initiatives will support Ontario’s commitment to make a difference for families and children.

Key Points - Service Providers

- Primary healthcare providers do not need to provide all services and meet all needs of a family.
- Family physicians and other primary healthcare providers should be aware of local services and referral systems.
- The Healthy Babies Healthy Children program can help families find needed services.
- Link families as early as possible to appropriate services to help the child have the opportunity to reach full potential.
The nature versus nurture debate is not new. What is new is the realization of the importance of the early years in neurodevelopment. While many parents are expecting a perfect healthy baby, despite our best efforts, this does not always happen. What healthcare providers can do is improve the odds. Further research is expected to provide more insights into early brain development, structure and function and how it influences behavior. Research will help us revisit and refine our approaches to supporting neurodevelopment, with a continued emphasis on the importance of the early years.

The “Healthy Child Development: Improving the Odds” MAINPRO-C CME workshops and this associated toolkit were designed to increase knowledge of the implications of recent information about neurodevelopment. The role of primary healthcare providers is critical to early neurodevelopment. There is extensive brain development in utero and in the first year of life. Many things influence early wiring processes in the fetus, infant and young child, including factors such as genetics, nutrition, care and nurturing. The early period of neurodevelopment has an important influence on future learning capacity, emotional regulation and risks for mental and physical disease.

Family physicians and other primary healthcare providers need to be aware of the challenges of parenting and of effective interventions. All parents need assistance, information and guidance. All children can benefit from early childhood education experiences. Primary healthcare providers can promote healthy child development by supporting parents, paying special attention to issues of attachment and parent-child interaction. Early recognition and intervention is critical in all developmental delays. Interdisciplinary coordination provides a comprehensive approach to screening, assessment and intervention for developmental delays in infants and young children. A familiarity with local resources and services will help the healthcare provider support all families, while providing extra supports for families at risk.


Niccols A et al. (2001). Right from the start: an attachment-based program for parents of infants under 2 years. Infant-Parent Program, Hamilton Health Sciences and McMaster University, Hamilton, Canada.


Popkin MH et al. (1996). Parenting program 1,2,3,4: Parenting your 1 to 4 year old. Active Parenting Publishers, Atlanta, Georgia.


Shuhaiber S et al. (Unpublished). Seroprevalence of toxoplasmosis among veterinary staff: Implications for teratogenic risk.


**Additional Resources**

**Websites**
1. www.cbs.umn.edu/class/spring2000/biol/1901/Neurodevelopment.html
2. www.motherisk.org
3. www.ChildTrauma.org/
5. www.earlychilddevelopment.ca/
6. www.investinkids.ca

Many maternal, newborn and early child development resources and services are available from:

Best Start: Ontario’s Maternal, Newborn and Early Child Development Resource Centre
c/o OPC
180 Dundas Street West, Suite 1900
Toronto ON M5G 1Z8
Telephone: 416.408.2249 / 1.800.397.9567
Fax: 416.408.2122
beststart@beststart.org
www.beststart.org

**Video Parenting Programs:**
Right from the Start (Attachment – under two)
The Simple Gift (Infancy – attachment)
1-2-3-4 Active Parenting (Parents of children 1-4)
## Appendix A: Preconception Checklist

### Issues to Consider in Preconception Include:

#### Nutrition
- Calcium and Vitamin D
- Folic Acid
- Iron
- Zinc
- Vitamin A
- Poverty
- Body weight
- Caffeine
- Vegetarian Considerations
- Herbal Products

#### Substance Use
- Paternal and/or Maternal Tobacco Use
- Alcohol Use
- Drug Dependency

#### Medications
- Accutane
- ACE Inhibitors
- Aminopterin, methotrexate
- Carbamazepine
- Coumadin, Warfarin
- Daunorubicin
- Lithium
- Metformin
- Phenytoin
- Propylthiouracil, methimazole
- Quinolones
- Retinoic Acid
- Tetracycline
- Trimethadione
- Valporic Acid

#### Infections
- CMV
- Human parvovirus B 19
- Rubella
- Toxoplasmosis
- Varicella (HSV-1)

#### Sexually Transmitted Diseases
- Chlamydia
- Genital Human Papillomavirus
- Gonorrhea
- Hepatitis B
- Herpes (HSV-2)
- HIV/AIDS
- Syphilis

#### Chronic Illness
- Cancer
- Cardiovascular Disease
- Diabetes
- Epilepsy
- Lupus
- Maternal PKU
- Psychiatric Illness
- Thyroid Problems

#### Other Issues
- Abuse
- Genetics
- Home and Leisure Activities
- Hot Tubs and Saunas
- Infertility
- Previous Outcomes
- Social Support
- Workplace Concerns

For more information on preconception issues, see the "Preconception and Health: Research and Strategies Manual" at www.beststart.org
Appendix B:
Antenatal Psychosocial Health Assessment

Antenatal Psychosocial Health Assessment (ALPHA)

Antenatal psychosocial health assessment is a vital component of prenatal care. A long process has led to the development of unique assessment forms: the provider-completed and self-report ALPHA forms. These structured antenatal assessment forms are being used on P.E.I. and are recommended by Health Canada in its Family-Centred Maternity Care Guidelines. Ontario has included the ALPHA headings in its 2000 Ontario Antenatal Record, thereby giving official recognition to these important topics. The ALPHA Form has been endorsed by the following groups: the Canadian Pediatric Association, the Canadian Psychiatric Association, the College of Family Physicians of Canada, the Ontario Association of Midwives, the Ontario Medical Association, the Royal College of Physicians and Surgeons of Canada, the Society of Obstetricians and Gynecologists of Canada.

The original provider-completed ALPHA was developed so that obstetrical providers could ask and document the responses of pregnant women to 32 questions relating to maternal, family, substance use and family violence issues. The form guides providers in their assessment of antenatal factors associated with the following poor postpartum outcomes: child abuse, woman abuse, postpartum depression and couple dysfunction and physical illness.

The ALPHA self-report, developed through a consensus process of the research team, reflected feedback from women in the original ALPHA pilot who indicated they wanted a written form to complete. Some providers also preferred a self-report for time efficiency. The self-report mirrors the provider form and consists of a 33 questions, either open-ended or with a five-point rating scale. If the woman reports psychosocial issues, the woman and her provider can discuss them during a prenatal visit.

Content validity of the forms was established through an extensive evidenced-based literature review and pilot testing. Further validity and reliability testing in Ontario indicates that the ALPHA does pick up more psychosocial issues. The self-report and the provider ALPHA were trailed on P.E.I. by public health nurses and family physicians and found to yield comparable amount of psychosocial date. The ALPHA Provider's Guide provides information on interventions should antenatal factors be disclosed. An ALPHA provider training video is also available.

Tips on using the ALPHA Forms

- Introduce the form as part of standard prenatal care given to all women
- Complete or have the woman complete after 20 weeks gestation
- Complete the provider ALPHA in one longer visit (20 minutes) or over several prenatal visits
- Bill for counselling/psychotherapy when appropriate
- Be sensitive to different cultural norms if issues are disclosed
- Remember that associations do not imply causality
- Ask the woman to complete the self-report alone, without her partner present
- Maintain confidentiality and discuss with the woman before sharing information


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### Antenatal Psychosocial Health Assessment (ALPHA)

Antenatal psychosocial problems may be associated with unfavorable post-partum outcomes. The questions on this form are suggested ways of inquiring about psychosocial health. Areas of high concern to the woman, her family or the caregiver may indicate a need for additional supports or services. When areas of some concern are identified, follow-up and/or referral should be considered. Additional information can be obtained from the ALPHA Guide.* Please consider the sensitivity of this information before sharing it with other caregivers.

#### Antenatal Factors

**Family Factors**

- **Social support (CA, WA, PD)**
  - How does your partner/family feel about your pregnancy?
  - Who will be helping you when you go home with your baby?

- **Recent stressful life events (CA, WA, PD, PI)**
  - What life changes have you experienced this year?
  - What changes are you planning during this pregnancy?

- **Couple’s relationship (CD, PD, WA, CA)**
  - How would you describe your relationship with your partner?
  - What do you think your relationship will be like after the birth?

**Maternal Factors**

- **Prenatal care (late onset) (WA)**
  - At prenatal visit in third trimester? (check records)

- **Prenatal education (refusal or quit) (CA)**
  - What are your plans for prenatal classes?

- **Feelings towards pregnancy after 20 weeks (CA, WA)**
  - How did you feel when you just found out you were pregnant?
  - How do you feel about it now?

- **Relationship with parents in childhood (CA)**
  - How did you get along with your parents?
  - Did you feel loved by your parents?

- **Self-esteem (CA, WA)**
  - What concerns do you have about becoming a mother?

- **History of psychiatric/emotional problems (CA, WA, PD)**
  - Have you ever had emotional problems?
  - Have you ever seen a psychiatrist or therapist?

- **Depression in this pregnancy (PD)**
  - How has your mood been during this pregnancy?

#### Associated Postpartum Outcomes

The antenatal factors in the left column have been shown to be associated with the postpartum outcomes listed below. **Bold, italics** indicates good evidence of association. Regular text indicates fair evidence of association.

*CA - Child Abuse  CD - Couple Dysfunction  PI - Physical Illness  PD - Postpartum Depression  WA - Woman Abuse*
### ANTENATAL FACTORS

#### SUBSTANCE USE
- Alcohol/drug abuse (WA, CA)
  - How many drinks of alcohol do you have per week?
  - Are there times when you drink more than that?
  - Do you or your partner use recreational drugs?
  - Do you or your partner have a problem with alcohol or drugs?
  - Consider CAGE (Cut down, Annoyed, Guilty, Eye opener)

#### FAMILY VIOLENCE
- Woman or partner experienced or witnessed abuse (physical, emotional, sexual) (CA, WA)
  - What was your parents' relationship like?
  - Did your father ever scare or hurt your mother?
  - Did your parents ever scare or hurt you?
  - Were you ever sexually abused as a child?

- Current or past woman abuse (WA, CA, PD)
  - How do you and your partner solve arguments?
  - Do you ever feel frightened by what your partner says or does?
  - Have you ever been hit/pushed/slapped by a partner?
  - Has your partner ever humiliated you or psychologically abused you in other ways?
  - Have you ever been forced to have sex against your will?

- Previous child abuse by woman or partner (CA)
  - Do you or your partner have children not living with you? If so, why?
  - Have you ever had involvement with a child protection agency (i.e., Children’s Aid Society)?

- Child discipline (CA)
  - How were you disciplined as a child?
  - How do you think you will discipline your child?
  - How do you deal with your kids at home when they misbehave?

#### FOLLOW-UP PLAN:
- Supportive counselling by provider
- Additional prenatal appointments
- Additional postpartum appointments
- Additional well baby visits
- Public Health referral
- Prenatal education services
- Nutritionist
- Community resources / mothers’ group
- Homecare
- Parenting classes / parents’ support group
- Addiction treatment programs
- Smoking cessation resources
- Social Worker
- Psychologist / Psychiatrist
- Psychotherapist / marital / family therapist

#### COMMENTS:

---

Overall, how concerned are you about the psychosocial health of this woman and her family?

<table>
<thead>
<tr>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>extremely concerned</th>
</tr>
</thead>
</table>

---

**Date Completed**

**Signature**
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Name __________________________ Date __________________ Months Pregnant __________

Having a baby usually means changes in your family life. You may wish to discuss some of these topics with your healthcare provider. She/he may help you with these changes. Please answer the questions the best way you can. Your answers are confidential and will be kept private.

Please answer the questions by circling a number on the scale, writing an answer in the space, or marking "yes" or "no". If some of the questions do not apply to you, please circle N/A (not applicable).

Your Family Life  Please answer the following questions about your family life.

<table>
<thead>
<tr>
<th>Family Factors</th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1. About this pregnancy, my partner feels</td>
<td>very happy</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
<td>very unhappy</td>
<td></td>
</tr>
<tr>
<td>2. About this pregnancy, my family feels</td>
<td>very happy</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
<td>very unhappy</td>
<td></td>
</tr>
<tr>
<td>3. I feel supported in this pregnancy</td>
<td>very much</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
<td>not at all</td>
<td></td>
</tr>
<tr>
<td>4. My partner will be involved with the baby</td>
<td>a great deal</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
<td>not at all</td>
<td></td>
</tr>
<tr>
<td>5. When I am home with the baby I will have help from (state relationship)</td>
<td></td>
<td></td>
<td></td>
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</tbody>
</table>

Comments: ____________________________

Recent Life Stresses (moving, job change or loss, family illness or death, money troubles, and so on)

| 6. Over the past year, my life has been | very relaxed | 1 | 2 | 3 | 4 | 5 | very stressful |
| 7. I am making life changes during this pregnancy | No | Yes | If yes, describe |

Comments: ____________________________

Relationship With Partner (if this applies)

| 8. My relationship with my partner is usually | very happy | 1 | 2 | 3 | 4 | 5 | very unhappy |
| 9. After the baby, I expect my partner and I will get along | very well | 1 | 2 | 3 | 4 | 5 | not at all |

Comments: ____________________________

Your Own Life  Please answer the following questions about your own life and feelings.

| 10. In this pregnancy, I first came for care when I was ___ months pregnant. This is my ___ 1st ___ 2nd ___ 3rd ___ (Indicate number) child |

| 11. I am planning to take prenatal classes | Yes | No | Reasons, if no, ____________________________ |

Comments: ____________________________

Feelings About Being Pregnant

| 12. My feelings about this pregnancy at first | very happy | 1 | 2 | 3 | 4 | 5 | very unhappy |
| 13. My feelings about this pregnancy now | very happy | 1 | 2 | 3 | 4 | 5 | very unhappy |

Comments: ____________________________

Relationship With Parents

| 4. When I was a child, I got along with my parent(s) | very much | 1 | 2 | 3 | 4 | 5 | not at all |
| 5. As a young child I felt loved by my mother | very much | 1 | 2 | 3 | 4 | 5 | not at all |
| 6. As a young child I felt loved by my father | very much | 1 | 2 | 3 | 4 | 5 | not at all |

Comments: ____________________________

Feelings About Becoming/Being a Mother

| 7. I have concerns about becoming/being a mother | none at all | 1 | 2 | 3 | 4 | 5 | very many |

Comments: ____________________________
### Emotional Health

18. I have had some emotional problems  
   No ___ Yes ___

19. I have seen a psychiatrist/therapist  
   No ___ Yes ___

20. How is your mood today? (1-5, 1 = low, 5 = high)
   ___________

### Stress in Your Life

**Please answer the following questions about stress in your life.**

#### Alcohol and Drug Use During Pregnancy

21. Each week I drink _____ drinks. (1 drink = 1 1/2 oz liquor, 12 oz beer, 5 oz wine)  
   No ___ Yes ___ If yes, describe.

22. There are times when I drink more during the week  
   No ___ Yes ___ If yes, describe.

23. Sometimes I've felt:  
   A need to cut-down my drinking  
   Guilty about my drinking  
   No ___ Yes ___ Annoyed by people criticizing my drinking  
   No ___ Yes ___ A need for a drink first thing in the morning  
   No ___ Yes ___

24. I use recreational drugs, e.g., marijuana  
   No ___ Yes ___ If yes, describe.

25. I have some drug problems  
   No ___ Yes ___ If yes, describe.

26. My partner uses recreational drugs, e.g., marijuana  
   No ___ Yes ___ If yes, describe.

27. My partner has some drug problems  
   No ___ Yes ___ If yes, describe.

### Parent's Relationship (when you were a young child)

28. My parents usually got along  
   very well ___ 1 ___ 2 ___ 3 ___ 4 ___ 5 ___ not at all ___ N/A

29. My father sometimes scared or hurt my mother  
   never ___ 1 ___ 2 ___ 3 ___ 4 ___ 5 ___ very often ___ N/A

30. My parents sometimes scared or hurt me  
   never ___ 1 ___ 2 ___ 3 ___ 4 ___ 5 ___ very often ___ N/A

31. As a child I was sexually abused  
   No ___ Yes ___

### Relationship With Partner (if this applies)

32. My relationship with my partner usually has  
   no tension ___ 1 ___ 2 ___ 3 ___ 4 ___ 5 ___ a lot of tension ___ N/A

33. We work out arguments with  
   no difficulty ___ 1 ___ 2 ___ 3 ___ 4 ___ 5 ___ great difficulty ___ N/A

34. I've sometimes felt scared by what my partner says or does  
   never ___ 1 ___ 2 ___ 3 ___ 4 ___ 5 ___ very often ___ N/A

35. I've been hit/pushed/slapped by a partner  
   never ___ 1 ___ 2 ___ 3 ___ 4 ___ 5 ___ very often ___ N/A

36. I've sometimes been put down or humiliated by my partner  
   never ___ 1 ___ 2 ___ 3 ___ 4 ___ 5 ___ very often ___ N/A

37. I have been forced to have sex against my will  
   No ___ Yes ___

### Raising Children

38. I have children not living with me  
   No ___ Yes ___

39. My partner has children not living with him  
   No ___ Yes ___

40. I've been involved with Children's Protective Services (e.g., Children's Aid)  
   No ___ Yes ___

### Overall, how concerned are you about your emotional and family life?

<table>
<thead>
<tr>
<th>not at all concerned</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>extremely concerned</th>
</tr>
</thead>
</table>

### Other issues in your life are most concerning to you?

5. What help, if any, would you like? ________________________________

---

*Improving the Odds: Healthy Child Development*
Antenatal Psychosocial Health Assessment

PROVIDER SUMMARY

Please refer to the other side of this page for information on antenatal psychosocial factors that are associated with adverse postpartum outcomes.

For specific information on how to deal with psychosocial issues refer to the Reference Guide for Providers: The ALPHA Form.

<table>
<thead>
<tr>
<th>DATE</th>
<th>SUMMARY/REFERRAL</th>
<th>FOLLOW-UP</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>

Date____________________________Signature__________________
THE ALPHA SELF-REPORT QUESTIONNAIRE FOR WOMEN

Problems in the antenatal psychosocial areas outlined in questions below have been shown to be associated with unfavourable postpartum outcomes. These outcomes include:

- CA  Child Abuse
- CD  Couple Dysfunction
- PI  Physical Illness
- PD  Postpartum Depression
- WA  Woman Abuse

If a woman responds to questions on the ALPHA Self-Report Questionnaire and indicates psychosocial concerns, the following associations with poor postpartum outcomes may apply. **Bold italics** indicates good association, regular type indicates fair association with adverse postpartum outcomes.

### Family Factors

1. About this pregnancy, my partner feels
2. When I am home with the baby I will have help from
3. Over the past year, my life has been
4. I am making major changes in this pregnancy
5. My partner and I get along
6. After the baby, my partner and I will get along

<table>
<thead>
<tr>
<th>Antenatal Factor</th>
<th>Outcome</th>
</tr>
</thead>
<tbody>
<tr>
<td>lack of social support</td>
<td>CA, WA, PD</td>
</tr>
<tr>
<td>recent stressful life events</td>
<td>CA, WA, PD, PI</td>
</tr>
<tr>
<td>couple dysfunction, rigid traditional roles</td>
<td>CD, PD, WA, CA</td>
</tr>
</tbody>
</table>

### Maternal Factors

7. I first came for prenatal care when I was
8. I am planning to take prenatal classes
9. My feelings about this pregnancy at first
10. My feelings about pregnancy now
11. I got along with my parents as a child
12. As a child, I felt loved by my parents
13. I have concerns about being a mother.
14. I have had emotional problems.
15. I have seen a therapist/psychiatrist/counselor.
16. In this pregnancy, my mood has usually been

<table>
<thead>
<tr>
<th>Outcome</th>
</tr>
</thead>
<tbody>
<tr>
<td>late onset prenatal care</td>
</tr>
<tr>
<td>refusal to attend, or quitting prenatal classes</td>
</tr>
<tr>
<td>unwanted pregnancy after 20 weeks</td>
</tr>
<tr>
<td>poor relationship with parents</td>
</tr>
<tr>
<td>emotional, psychiatric history</td>
</tr>
<tr>
<td>depression in this pregnancy</td>
</tr>
</tbody>
</table>

### Substance Use

7. Each week I drink
8. There are times when I drink more than that
9. My partner and I use recreational drugs
10. My partner and/or I have drugs/alcohol problems

<table>
<thead>
<tr>
<th>Use of alcohol in pregnancy</th>
</tr>
</thead>
<tbody>
<tr>
<td>use of alcohol in pregnancy</td>
</tr>
<tr>
<td>use of alcohol/drugs in pregnancy</td>
</tr>
<tr>
<td>use of alcohol/drugs in pregnancy</td>
</tr>
</tbody>
</table>

### Family Violence

1. My parents got along
2. My father scared or hurt my mother
3. My parents/family scared or hurt me
4. We work out arguments with
5. Arguments with my partner scare me
6. I have been hurt during a fight with my partner
7. My partner has forced me to have sex
8. My partner humiliates/emotionally abuses me
9. I have children who are not living with me
10. I was harshly disciplined by parents
11. I will discipline my child as my parents did
12. When my children misbehave I will

<table>
<thead>
<tr>
<th>Outcome</th>
</tr>
</thead>
<tbody>
<tr>
<td>experience/witness of abuse as a child</td>
</tr>
<tr>
<td>experience/witness of abuse as a child</td>
</tr>
<tr>
<td>current or past woman abuse</td>
</tr>
<tr>
<td>current or past woman abuse</td>
</tr>
<tr>
<td>current or past woman abuse</td>
</tr>
<tr>
<td>current or past woman abuse</td>
</tr>
<tr>
<td>previous child abuse</td>
</tr>
<tr>
<td>use of harsh discipline</td>
</tr>
<tr>
<td>use of harsh discipline</td>
</tr>
</tbody>
</table>
Appendix C: Ontario Antenatal Record

A Guide to the Revised Antenatal Record of Ontario

by OMA Subcommittee on the Antenatal Record
(Dr. Gail Beck, Chair, Dr. Graham Chance, Dr. Stan Lofsky, Dr. Ian Park
Dr. Gregory Owen Peachey, Dr. Donna Stewart, Dr. Wanda Szymonowicz
Dr. Janice Ann Willett, Dr. Wendy Wolfman)

Between December 1998 and January 2000, a Subcommittee of the OMA Committee on Women’s Issues met to revise and update the Antenatal Record of Ontario. The 2000 version is now being distributed as physicians’ and midwives’ supplies of the 1992 version are phased out. While the 2000 version is, for the most part, self-explanatory, this guide is meant to address those areas where the revisions have been most significant from the previous version.

Historically, the content of the Antenatal Record has been the responsibility of the OMA, with the Ministry of Health and Long-Term Care assuming responsibility for printing and distribution. While completion of the Antenatal Record is not mandatory, it is widely used throughout the province.

The membership of the Subcommittee on the Antenatal Record reflects the diverse range of specialties involved in antenatal care and includes representatives from the Sections on Obstetrics and Gynecology, General and Family Practice, Pediatrics, Anesthesiology and Rural Medicine, as well as a representative from the Committee on Women’s Issues. The OMA Board of Directors is represented by Dr. Stanley Lofsky, who was a member of the 1992 OMA Committee on Reproductive Care, which last revised the Record. As well, the Subcommittee has been grateful for the participation of Dr. Graham Chance, who also contributed to the 1992 revision.

Even at first glance, the revised content and design of the form is evident. Checkboxes are meant to facilitate the clinician’s record-keeping; consideration has been given to the need for more space for the clinician’s notes; and the most important information is given prominence. Antenatal Record Part II (p. 48) retains the organization of the 1992 version, while Antenatal Record Part I (opposite) has been reorganized so that it better reflects usual antenatal practice.

It is the intention of the Subcommittee that the use of the new form by physicians and midwives serve as a “pilot study” for the 2000 version. The Subcommittee has been informed that the ministry supply of Antenatal Record Part I is decreasing to the point where it will have to be reprinted. We are asking therefore for caregivers’ feedback: tell us how the new record is working in your practice. The Subcommittee will use caregiver feedback to evaluate and revise the form. It is our intention that, in this electronic age, revisions can be responsive to clinical needs in a timely fashion. An electronic form is likely to be introduced in the future.

-contact information is provided at the conclusion of the guide on p. 50-

A number of caregivers will also be aware of the long-anticipated Antenatal Record Part III, which would be a psychosocial record. The ALPHA form has been considered a natural third page for the record and the 2000 version anticipates Part III with the use of the headings from the ALPHA project.

As chair of the OMA Subcommittee on the Antenatal Record, I would like to express my thanks, on behalf of the Committee on Women’s Issues and the OMA, to the members of the Subcommittee.

This group met for many hours, and several members of the Subcommittee gave additional time to prepare this guide. Dr. Chance, who at the outset told us that his time was limited, in fact attended most meetings. The devotion and obstetrical expertise of Dr. Wendy Wolfman and Dr. Janice Willett were a particular help. Dr. Donna Stewart also provided valuable insight on the psychosocial aspects of antenatal care.

Finally, I want to recognize very particularly the work of Dr. Stan Lofsky. Since the completion of the 1992 version of the Antenatal Record, and throughout the development of the most recent version, Dr. Lofsky has seen that the OMA did not forget this project. He has worked for countless hours on the revision and I’m certain that the members of the Subcommittee would agree that the revised Antenatal Record exists in large part because of Stan’s persistence.

Gail Beck, MD
Chair, OMA Subcommittee
on the Antenatal Record

Improving the Odds: Healthy Child Development
### Antenatal Record 1

**Improving the Odds: Healthy Child Development**

<table>
<thead>
<tr>
<th>Ontario Antenatal Record 1</th>
<th>Ministry of Health and Long-Term Care in conjunction with the Ontario Medical Association</th>
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<tbody>
<tr>
<td><strong>Name</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Address</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Date of birth (yyyy/mm/dd)</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Occupation</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Language</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Home phone</strong></td>
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<tr>
<td><strong>Work phone</strong></td>
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<tr>
<td><strong>Name of partner</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Age</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Education level</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Birth attendant</strong></td>
<td></td>
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<tr>
<td><strong>Family physician</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Newborn care</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Ethnic background of mother/father</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Allergies (list)</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Medications (list)</strong></td>
<td></td>
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</tbody>
</table>

#### Pregnancy Summary

- **Menstrual history:** (LMP): _/__/___ Cycle __/___ Regular EDB __/___
- **Contraception:** JUD Hormonal (type) Other Last used _/__/___
- **Gravidity & Term:** Prem No. of pregnancy loss(es) ___ Termination ___ Spontaneous ___ Stillborn ___ Living Multiparity No.

#### Obstetrical History

- **No.** **Year** **Sex** **MF** **Gest. age (weeks)** **Birth weight** **Length of labour** **Place of birth** **Type of birth** **Sivb CS Aids** **Comments regarding pregnancy and birth**

#### Medical History and Physical Examination

- **Current Pregnancy** **Medical** **Yes** **No** **Genetic/Family** **Yes** **No** **Infection discussion topics** **Physical examination**
  - **Bleeding**
  - **Vomiting**
  - **Smoking**
  - **Drugs**
  - **Alcohol**
  - **Radiation**
  - **Occup. & Environ hazards**
  - **Nutrition Assessment (check if positive)**
    - **Folic acid/Vitamins**
    - **Milk products**
    - **Diet**
    - **Balanced Diet**
    - **Diet referral**

#### Comments re Medical History and Physical Examination

- **Signature of attendant:**
- **Date (yyyy/mm/dd):**

---

*0374-44 (99/08) - Canary - Mother’s chart - forward to hospital Pink - Attendant’s copy White - Infant’s chart 7536-4654*
Revised Antenatal Record

Name: Self Explanatory.
Address: Self Explanatory.
Date of Birth: Year, month, day.
Age: Age of the pregnant woman.
Marital Status: M=Married, C=Common Law, S=Single.
Education Level: Refers to highest level of education that the patient has completed.
Occupation: Patient’s current occupation.
Language: This item was added to facilitate translation if necessary.
Home Phone Number: Self Explanatory.
Work Phone Number: Self Explanatory.
Name of Partner: Refers to name of supportive partner.
Age: Age of partner.
Occupation: Occupation of partner.
Birth Attendants: Person who is the professional planned attendant for delivery. OBS=Obstetrician, FP=Family Physician, Midwife.
Newborn Care: Ped. =Pediatrician, OB=Obstetrician, Family Physician, Midwife. The professional who will be responsible for care of the newborn after birth.
Ethnic Background of Mother/Father: This item was added to alert the person obtaining the history of genetic risks. Often in this diverse global community, mother and father do not share the same ethnic background.
VBAC and Repeat CS (Caesarean Section): This item will alert the labour staff to the planned mode of birth.
Allergies: This item has been moved to the top of the Antenatal I because of the importance of this topic.
Medications: This item has been moved to the front of Antenatal I in order to alert the staff about current medications.

Pregnancy Summary
Pregnancy Summary refers to the present pregnancy.
Menstrual History: Refers to the patient’s last menstrual period (year, month, day).

Cycle: Refers first to the frequency of the menses, and second, to the duration in days that the menstrual period lasts.
Regular: Irregular menses may affect the final due date. Comment if irregular.
EDB: Refers to the Expected Date of Birth, which is calculated initially from the last menstrual period.
Contraception IUD, Hormonal: Includes intrauterine device, oral contraceptives, injected and implanted progestogens.
Other: Refers to rhythm, barrier and natural methods, etc.
Contraception Last Used: Refers to year, month and day.
Final EDB: Refers to the final Expected Date of Birth as determined.
Gravida: Refers to the number of pregnancies.
Term: Refers to the number of term births.
Prem: Refers to pre-term pregnancies.

Obstetrical History
Number of pregnancy losses; living and multipregnancy: The first three topics refer to first trimester losses. Stillbirths (born after 20 weeks gestational age, or greater than 500 g). The two additional categories refer to the number of living children and the number of multiple pregnancies.
Obstetrical History: More than six previous pregnancies will require an additional page. The year of each birth, sex of the baby, gestational age, birth weight, length of labour and place of birth are included. Checkboxes are placed for the type of birth, including spontaneous vaginal birth, caesarean section or an assisted birth, including forceps, vacuum, or breech birth. The comments regarding the pregnancy and birth should include significant diseases, complications or other issues.

Medical History and Physical Examination
The box on current pregnancy, found in the right upper part of the previous Antenatal I form (1992), has been moved to the left lower quadrant. The history for the pregnancy is outlined and divided into four sections, including current pregnancy, medical history, genetic/family history, and infection history. The physical examination is now found in the right column. In order to facilitate data collection, checkboxes have been provided. Comments for any of the historical or physical examination findings should be added in the box beneath the medical history and physical examination, preceded by the number of the specific topic.

Current Pregnancy
The checkboxes are present and should be ticked off only if the patient has these findings.
1. Bleeding: Refers to any vaginal bleeding that has occurred during the pregnancy. Although many patients experience bleeding with a normal pregnancy, abnormalities of gestation, such as threatened abortion, ectopic or molar pregnancies, should be considered.
2. Vomiting: Refers to significant vomiting.
3. Smoking, cig./day: Refers to the number of cigarettes per day that the patient is smoking.
4. Drugs: Refers to any non-prescription drugs, herbal remedies, or other preparations that the patient has used during the pregnancy.
5. Alcohol, drinks/day: Refers to the number of alcoholic drinks per day.
6. Infertility: Refers to the history of infertility which may put the patient at risk for complications of pregnancy.
7. Radiation: Refers to radiation exposure.
8. Occup./Env. Hazards: Refers to environmental situations which may put the current pregnancy at risk, such as exposure to radioactive substances, second-hand smoke, toxins, solvents in the workplace.

Nutrition Assessment
Refers to the adequacy of nutrition during the present pregnancy.
Folic Acid/Vitamins: Periconcept
Improving the Odds: Healthy Child Development

Milk Products: The lack of milk products may necessitate calcium supplementation.

Diet: Restricted diet refers to eating disorders such as anorexia, bulimia or other types of diets such as vegetarian or pure vegans. These situations might require additional supplements during the pregnancy.

Dietitian Referral: May be needed for women with diabetes, morbid obesity, and for women who restrict their diet.

Medical History
Refers to the significant medical history which could affect this pregnancy.

12. Renal/Urinary Tract: Refers to history of recurrent UTI or pyelonephritis, renal calculi, and other renal diseases, including congenital problems.
13. Respiratory: Refers to all types of respiratory illness, including asthma.
14. Liver/Hepatitis/GI: Refers to past history of liver problems such as hepatitis and GI disease, including Crohn's and colitis.
15. Neurological: Refers to neurological disorders such as epilepsy and multiple sclerosis.
16. Autoimmune: Refers to autoimmune diseases such as lupus erythematosus, which may impact on the pregnancy outcome.
18. Gyn/Pap: Refers to a significant gynecological history, including fibroids, endometriosis, and previous abnormal Pap tests which required treatment or further observation.
19. Hospitalizations: Refers to all significant hospitalizations for illness and accidents.
20. Surgeries: Refers to all previous surgeries.
21. Anesthetics: Refers to difficulties with prior anesthetics.
22. Hem./Transfusions: Refers to history of hematological disorders, as well as a history of blood transfusions. Hematological disorders include inherited coagulation problems, neoplastic conditions, hemoglobinopathies, or other disorders such as idiopathic thrombocytopenic purpura.
23. Varicosities/Phlebitis: Refers to previous problems with varicose veins, deep venous thrombosis and pulmonary embolism.
24. Psychiatric Illness: Refers to a past or current history of mood disorders, eating disorders, anxiety disorders, substance abuse disorders and psychotic illness. Some psychiatric illnesses may begin, or be worsened, in pregnancy, including affective disorders (both depression and mania), anxiety disorders, eating disorders, post traumatic stress disorders, and schizophrenia. A history of any of these requires more careful monitoring during pregnancy and in the postpartum period.
25. Other: Includes other medical problems, such as thyroid and pituitary disorders, and other endocrine problems.

Genetic and Family History
The Genetic and Family History has been expanded to include significant risk factors in a family history which could impact on the birth. This section will be modified periodically as knowledge increases. The explosion of knowledge with respect to the human genome project will impact on the responsibility of the family doctor, obstetrician and midwife. Review of this section offers opportunities for discussion and options of care.

Items 26 to 29 refer to the patient.

26. Age ≥35 Years at EDB: At increased risk for chromosomal abnormalities and may be offered amniocentesis or CVS.
27. “At Risk” Population: Includes those racial groups which have a high incidence of carriage of recessive genetic traits.
28. Known Teratogen Exposure: Involves exposure to drugs, radioactive substances, as well as maternal diabetes.
29. Previous Birth Defect: Includes oral cleft defects, neural tube defects, cardiac or any other anomalies which would put the index pregnancy at risk.

Items 30 to 35 consist of a family history which would put the index pregnancy at increased risk.
30. Neural Tube Defects: Refers to previous birth defects, including spina bifida, anencephaly, meningomyelocele.
31. Developmental Delay: Includes significant mental and physical delays within the family.
32. Congenital Physical Anomalies: Includes congenital heart disease or other anomalies.
33. Congenital Hypotonias: Includes congenital hypotonia, muscular dystrophy and myopathies.
34. Chromosomal Disease: Refers to Down’s syndrome, Turner syndrome, trisomies 13 and 18, and others.
35. Genetic Disease: Refers to other genetic disorders such as cystic fibrosis, muscular dystrophy, etc.
36. Further Investigations: Refers to further investigations which may be indicated based on the previous genetic history. This may include referral for genetic counselling.
37. MSS (Offered/Accepted): Records a yes or no response to the offering/acceptance of Maternal Serum (triple) Screening.

Infection Discussion Topics
This section has been expanded as a result of our current knowledge that intrapartum infections can increase the risks of perinatal complications.
38. STDs/Herpes: Refers to sexually transmitted diseases in the present pregnancy or previous his-
Improving the Odds: Healthy Child Development

Revised Antenatal Record

Improving the Odds: Healthy Child Development

39. HIV: Refers to the fact that HIV testing has been discussed and offered, and the benefit of HIV treatment for the fetus has been reviewed.

40. Varicella: Refers to a past history of varicella. Should the patient not have a history of varicella, then varicella antibody titres can be offered. Varicella may be a serious disease for the mother and the fetus during pregnancy. Should the patient be exposed to varicella during the pregnancy and is antibody negative, then consideration should be given to offering VZIG.

41. Toxo/CMV/Parvo: Refers to Toxoplasmosis, Cytomegalovirus and Parvovirus. These issues should be discussed so that preventive measures can be taken, such as avoiding contact with cat feces (Toxoplasmosis). Child care or hospital workers may have an increased risk of CMV virus exposure. Handwashing after changing diapers is suggested to avoid CMV. Pregnant women exposed to anyone with an infectious rash should report this to their physician/midwife. In particular, exposure to a child with signs of fifth disease (exanthem subitum, parvovirus) may require parvovirus titres. If serological conversion has occurred during the pregnancy, the fetus should be evaluated for the rare development of hydrops.

42. TB/Other: It is important to bear in mind that the incidence of Tuberculosis is increasing. Any additional infectious disease may be added here.

Social Support: Poor social support is an important risk factor in pregnancy associated with postpartum depression, later child abuse, and woman assault. Questions about how the mother’s partner or family feel about the pregnancy, and who will be helping with the baby when she goes home, are useful ways to elicit this information.

44. Couple’s Relationship: Problematic relationships have been found to be associated with increased dysfunction in the post-partum period, postpartum depression, woman abuse, and child abuse. For women who are in a relationship, useful questions are: “How would you describe your relationship with your partner?” and “What do you think the relationship will be like after the baby arrives?”

45. Emotional/Depression: Women should be advised that some women feel more emotional or sad during the pregnancy or postpartum period. While some degree of this is normal, severe depression, or depression lasting more than two weeks, requires evaluation for possible treatment. Women with a past history of depression are particularly vulnerable to difficulties at this time. Useful questions are: “Have you ever had emotional problems?” or “Have you ever seen a psychiatrist or therapist?” A history of psychiatric or emotional problems has been found to be associated with child abuse, woman abuse, and postpartum depression. Depression during pregnancy is associated with postpartum depression. A useful question to elicit this is: “How has your mood been during this pregnancy?”

46. Substance Abuse: Alcohol and substance abuse present a problem to the pregnant woman and to her unborn baby, and have also been found to be strongly associated with woman abuse and child abuse. Useful questions to elicit this information are: “How many drinks of alcohol do you have per week?” “Are there times when you drink more than that?” “Do you and your partner use any drugs?” and “Do you and your partner have a problem with alcohol or drugs?”

47. Family Violence: Canadian statistics indicate that 20 per cent to 30 per cent of women experience violence at some time in their lives, and approximately seven per cent will experience violence during a pregnancy. Abuse may be physical, emotional, or sexual, and may worsen during pregnancy and the postpartum period. Useful questions to elicit this are: “Do you ever feel frightened by what your partner says or does?” “Have you ever been hit, pushed, slapped, or emotionally abused by a partner?” “Have you ever been forced to have sex against your will?” Women may have also witnessed or experienced physical, emotional, or sexual abuse in the past, including childhood, which may be associated with difficulties in childbearing and birth. Previous child abuse by the woman or her partner is a warning sign for future child abuse.

48. Parenting Concerns: Parenting concerns may be related to the physical or emotional aspects of child care. Concerns around feeding, sleeping, health, and bathing are common. There may also be concerns about coping with crying and “discipline.” Helpful questions are: “Do you expect any difficulties looking after the baby?” and “How do you deal with your children at home if they misbehave?” Parenting concerns are not only for the child to be born, but also for the children at home.

Physical Examination
Height, weight, pre-pregnancy weight: Self-explanatory.
Blood pressure: Should be obtained sitting.

Check mark if the Examination is Normal
Pertinent history or physical findings can be noted in this box. Space is provided for an estimation of uterine size by weeks of pregnancy.
Improving the Odds: Healthy Child Development

Revised Antenatal Record

Comments Re Medical History and Physical Examination
Should any of these historical factors or physical factors be positive, there is room provided for more extensive discussion.

Signature of Attendant/Date of History
The end of the form includes the signature of the attendant taking the first history and the date. Canary, pink, and white forms are provided.

Revised Antenatal Record
Part II

Part II of the Ontario Antenatal Record retains much of the organization of the 1992 version.

Overview of Changes
- Box added for identification of the newborn caregiver.
- Division of the top of the page comment box into risk factors, allergies and medication history.
- Final EDB is only EDB noted.
- Addition of MCV and MSS to top result row.
- Antibodies no longer restricted to Rh antibodies.
- Fetal heart column is now labelled FHR/FM and has room for comment about fetal movements.
- Gestational age, not weight, is second notation in subsequent visit record.
- Risk assessment column is deleted.
- For smokers, a column for cigarettes used per day has been added. The vertical line is in soft type which may be overwrited in non-smoking patients.
- Symphyseal fundus height diagram is truncated to start at 20 weeks and explanatory graphic has been deleted.
- Ultrasound has been given columnar headings to allow up to four results to be recorded.
- Referral plan box has been altered to include other caregivers.
- Expanded list of other optional investigations to reflect current knowledge.
- Expanded list of discussion topics to reflect realities of current practice.
- Box added to note psychosocial issues identified or changed during subsequent visits.

Summary of Risk Factors, Allergies and Medications
This area is a convenient location to summarize relevant findings from Antenatal I and to note new risk factors or allergies identified in subsequent visits.

Allergies has been specifically separated out to flag potential reactions (e.g., medications, latex), information on which may be required throughout the pregnancy.

Beneath this area, the obstetrical history is summarized from Antenatal I into the categories of G (number of pregnancies), T (number of term births, 37 completed weeks and more), P (total number of pre-term pregnancies from 20 weeks on), A (number of pregnancy losses before 20 weeks) and L (number of live births).

Further along on this row is the Hemoglobin and the newly added Mean Corpuscular Volume (MCV). If the MCV is less than 79fl, then the patient may have either an iron deficiency anemia or is a carrier of a thalassemia-related condition. Further drome, neural tube defects, or declined test for Maternal Serum Screening in this box. Women who are screen positive for Down's syndrome should be offered genetic counselling regarding amniocentesis. Women who are screen positive for a neural tube defect should be offered Level 2 ultrasound, and possibly genetic counselling and amniocentesis.

The space for Rh antibodies has been changed to antibodies to allow notation of any antibodies, such as c & Kell, etc. If the patient is not rubella immune, she will need to avoid exposures to children with rashes in the first trimester and report such exposures. Serological testing may be considered in such cases and if there is conversion, then genetic counselling should be considered. Women who are rubella susceptible should be immunized after their child's birth.

Women positive for HbsAg (hepatitis B surface antigen) will require extra attention to testing for close family members with immunization for those susceptible. The infants of HbsAg positive women will require hepatitis B immune globulin at birth and the first of a three-dose series of hepatitis B immunization. Please note that local public health departments will provide the vaccine free of charge for the subsequent doses.

Women positive for VDRL will require further testing and treatment if appropriate. Detailed assessment and treatment protocols are provided in the Canadian STD Guidelines (1998).

ABO Rh blood type is noted, along with any Rh or other antibodies. If Rh antibody is positive, then specialized assessment and treatment will be required. Other irregular antibodies, such as c & Kell, may require added surveillance. The date when Rh Ig is given to Rh negative women should be noted in the box provided. The usual time in most normal pregnancies is 28 weeks. Special indications for giving Rh Ig at other times include spontaneous or induced abortion, ectopic or molar pregnancy, antenatal bleeding, trauma, CVS, amniocentesis or delayed prenatal care.
### Antenatal Record 2

**Ministry of Health and Long-Term Care**
In conjunction with the **Ontario Medical Association**

**Name**

**Address**

**Birth attendants**

**Newborn care**

#### Summary of Risk Factors, Allergies, and Medications

<table>
<thead>
<tr>
<th>Risk Factors</th>
<th>Allergies</th>
<th>Medications</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Final EDB (yyyy/mm/dd)**

<table>
<thead>
<tr>
<th>G</th>
<th>T</th>
<th>P</th>
<th>A</th>
<th>L</th>
<th>Hb</th>
<th>MCV</th>
<th>MSS</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Pre-preg. wt.**

<table>
<thead>
<tr>
<th></th>
<th>Rubella immunity</th>
<th>Hbs Ag</th>
<th>vDRL</th>
<th>Blood group</th>
<th>Rh type</th>
<th>Antibodies</th>
<th>Rh Ig Given</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Subsequent Visits

<table>
<thead>
<tr>
<th>Date</th>
<th>G-age (wk)</th>
<th>S-F (Ht)</th>
<th>Wt (Ib)</th>
<th>Presn</th>
<th>FHR/FM</th>
<th>Urine</th>
<th>Pr</th>
<th>B.P.</th>
<th>Comments</th>
<th>Crg / Day</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Ultrasound

<table>
<thead>
<tr>
<th>Sp Height / cm</th>
</tr>
</thead>
<tbody>
<tr>
<td>40</td>
</tr>
<tr>
<td>35</td>
</tr>
<tr>
<td>30</td>
</tr>
<tr>
<td>25</td>
</tr>
<tr>
<td>20</td>
</tr>
<tr>
<td>15</td>
</tr>
</tbody>
</table>

LARGE FOR DATES OR TWINS

SMALL FOR DATES

GESTATIONAL AGE (WEEKS)

20 22 24 26 28 30 32 34 36 38 40

<table>
<thead>
<tr>
<th>Date</th>
<th>GA</th>
<th>Result</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Selected Tests

1. Pep
2. GC/Chlamydia
3. HIV
4. B. vaginosus
5. Group B strep
6. Urine culture
7. Sickle dex
8. Hb electro
9. Amniocentesis
10. Glucose screen
11. Other

#### Psychosocial issues

Psychosocial issues

**Signature of attendant**

Date (yyyy/mm/dd)

---

### Improving the Odds: Healthy Child Development
Subsequent Visits
The usual timing for subsequent visits is every four weeks up to 28 weeks, every two weeks up to 36 weeks, and weekly thereafter. Extra visits may be needed for pregnancy complications. Use an extra Antenatal II form for more space. The general layout has changed little from the previous version but the more logical placing of G-age after the date is new. A new column to record cigarettes smoked per day facilitates smoking cessation counselling. This column uses a soft type allowing it to be overwritten for the majority of women who don’t smoke.

There are a number of date-related procedures and tests. The following list is not meant to be all-inclusive.
• First prenatal visit: All bloodwork (Hgb MCV, ABO Rh and antibodies, Rubella antibodies HBsAg, VDRL), HIV with consent, and urinal culture; cervical cultures for chlamydia and gonorrhea are recommended according to risk group; check for bacterial vaginosis if suspected. This is the time for genetic discussion and, if appropriate, arranging for MSS, CVS or amniocentesis.
• 16 weeks: Maternal Serum Screen – please note this test can be done anywhere from 15-20 weeks.
• 18 weeks: An 18-20 week ultrasound is recommended as a means of detecting anatomical defects.
• 24-28 weeks: Glucose screen one hour after a 50 g load.
• 28 weeks: Usual time for RhIg in Rh negative women.
• 35-37 weeks: Vaginal rectal swab for group B strep if using the universal screening protocol.
Symphysis fundus height diagram: This diagram has been included to detect intrauterine growth abnormalities. At each visit after 20 weeks, the symphysis fundus height in cm may be plotted on this graph. Discrepancies in growth should be evaluated.

Utrasound
Sufficient room is provided for ultrasound reports.

Referral Plan
The list has been expanded to include other caregivers.

Selected Tests
Space is provided for results of other common tests performed in pregnancy.
• Pap: Abnormal Pap smears may need further investigations during the pregnancies or follow-up postpartum.
• GC/Chlamydia: Positive test results and treatment should be recorded here. Re-test during the pregnancy. Management can be recorded in the comments section.
• HIV: Negative results are recorded here. Positive results and referral and treatment can be noted in the comments section.
• Bacterial Vaginosis: Increases the relative risk of preterm birth 1.9 times and the risk of premature rupture of membranes 3.5 times. Guidelines recommend the following: treat all symptomatic pregnant women, screen all asymptomatic women with a history of preterm births and PROM, and treat if affected. Diagnosis is suggested by: 1) a fishy odour; 2) a homogeneous white or gray discharge, or 20 per cent or more clue cells on microscopic examination, or a pH 4.7 or higher.
• Group B Strep (GBS): Two acceptable protocols exist for prevention of group B strep in the newborn. Universal screening at 35-37 weeks using a combined vaginal rectal swab and intrapartum treatment of all those testing positive or with a previous affected newborn, or no universal screening but treating all women during labour with known risk factors (Labour <37 weeks, term labour 37 weeks or more where there is rupture of membranes >18 hours, maternal fever >38°C, a previous affected newborn with GBS, and documented GBS bacteriaia).
• Urine Culture: Record bacteriuria. Even when treated, women with GBS bacteriuria should be considered colonized at the onset of labour and treated intrapartum. See Group B strep above.
• Sickledex: Pregnant women of African ancestry should be screened for sickle cell hemoglobin (Hb S). If positive, further testing, such as hemoglobin electrophoresis and testing of the partner, is appropriate. Finding a carrier state in both partners should prompt genetic counselling.
• Hb Electro: Record the results of a hemoglobin electrophoresis done when MCV is 79 fl. or less, or when sickledex is positive.
• Glucose Screen: Two protocols for the detection of gestational diabetes mellitus are in common use. Both recommend screening using a plasma glucose level one hour after a 50 g oral glucose load given at any time of day for pregnant women of 24 to 28 weeks of gestation. If the level is 7.8 or higher, then a glucose tolerance test is warranted.
The 1998 Clinical Practice Guidelines for the Management of Diabetes in Canada permits exclusion of low-risk women (lean Caucasian women under 25 years with no personal or family history of diabetes, nor any history of large babies). The guidelines state that if the initial screen is equal or greater than 10.3, then gestational diabetes can be diagnosed without a tolerance test. The recommended tolerance test is a 75 g load with fasting, one and two hour specimens. If two or more of the following values are met or exceeded, then the diagnosis of diabetes mellitus is established: Fasting 5.3; 1 hour 10.6; 2 hour 8.9 mmol/L.

The SOGC (1992) recommends that all pregnant women should be screened. The glucose tolerance test recommended is a 100 g load with blood samples at fasting, one, two, and three hours. If two or more of the following values are met or exceeded, then the diagnosis of diabetes mellitus is established: Fasting 5.8; 1 hour 10.6; 2 hour 9.2; 3 hour 8.1 mmol/L.

Women with uncomplicated gestational diabetes will require dietary counselling, home glucose monitor-
Revised Antenatal Record

...ing and increased surveillance. Pro-
cols exist for identifying those
ients needing insulin and further
surveillance.

Psychosocial Issues
An assessment of home and psy-
chosocial supports, relationships
with important others, housing,
finances, and work situation should
be obtained.

Discussion Topics
A checkmark would indicate that
these topics have been discussed with
a patient. Some, such as postpartum
depression described below, may be
explored in greater depth.

Postpartum Depression: Postpar-
tum depression occurs in 10 per cent
to 20 per cent of postpartum women
and is often undiagnosed. Untreated,
it may persist for many months to
years, and in addition to causing the
woman distress, it may interfere with
the relationship with her infant, and
consequent delays in cognitive and
social development. A useful ques-
tion to elicit postpartum depression
may be: “How much of the time dur-
ing the past two weeks have you felt
downhearted and blue?” Severe
depression may be accompanied by
suicidal ideation, delusions, or hal-
ucinations, any of which require an
emergency psychiatric assessment.
Past history of depression or previ-
ous postpartum depression are risk
factors for depression after the birth.

Revised Postnatal Visit Form
The Revised Postnatal Visit Form is
printed on the reverse side of the
pink copy of Antenatal Record II.
Following is an overview of some of
the key revisions to the form:

Sexual Function/Relationship Con-
cerns: Inquiries should be made
about whether sexual relations have
resumed and any difficulties antici-
pated or experienced. As relations-
ships invariably change with the
addition of a new member to the
family, the woman should be asked if
she has any concerns about her fam-
ily relationships.

Emotional Problems and Depres-
sion: Other emotional problems
which may occur in the postpartum
period include anxiety, obsessive-
compulsive disorders, schizophrenia
and other psychoses.

Family Violence: Recent studies sug-
...
The Postnatal Visit Form can be found on the reverse side of the pink copy of the Antenatal Record II.

<table>
<thead>
<tr>
<th>Postnatal Visit</th>
</tr>
</thead>
<tbody>
<tr>
<td>No of weeks postpartum</td>
</tr>
<tr>
<td>History</td>
</tr>
<tr>
<td>Review of birth</td>
</tr>
<tr>
<td>Baby's health / concerns</td>
</tr>
<tr>
<td>Breastfeeding</td>
</tr>
<tr>
<td>Bladder function</td>
</tr>
<tr>
<td>Bowel function</td>
</tr>
<tr>
<td>Contraception</td>
</tr>
<tr>
<td>Rubella immune</td>
</tr>
<tr>
<td>Pap smear status</td>
</tr>
<tr>
<td>Physical Examination</td>
</tr>
<tr>
<td>Weight</td>
</tr>
<tr>
<td>B.P.</td>
</tr>
<tr>
<td>Affect</td>
</tr>
<tr>
<td>Breast exam</td>
</tr>
<tr>
<td>Abdomen</td>
</tr>
<tr>
<td>Perineum</td>
</tr>
<tr>
<td>Pelvic exam</td>
</tr>
<tr>
<td>Discussion Topics</td>
</tr>
<tr>
<td>Emotional problems / depression</td>
</tr>
<tr>
<td>Contraception</td>
</tr>
<tr>
<td>Sexual / relationship concerns</td>
</tr>
<tr>
<td>Social support</td>
</tr>
<tr>
<td>Family violence</td>
</tr>
<tr>
<td>Follow-up and advice re: future pregnancies (e.g. folic acid, and risk for future pregnancies)</td>
</tr>
<tr>
<td>Signature of physician or midwife</td>
</tr>
</tbody>
</table>
# Appendix D: Larson Prenatal Screening Tool

**Larson Prenatal Screening Tool** – 3 Questions Used by Healthy Babies Healthy Children

<table>
<thead>
<tr>
<th>Question</th>
<th>Response</th>
<th>Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Mother’s education</td>
<td>0 – 7 years</td>
<td>19</td>
</tr>
<tr>
<td></td>
<td>8 – less than h.s. degree</td>
<td>13</td>
</tr>
<tr>
<td></td>
<td>high school degree</td>
<td>9</td>
</tr>
<tr>
<td></td>
<td>college – no degree</td>
<td>6</td>
</tr>
<tr>
<td></td>
<td>college – degree or more</td>
<td>0</td>
</tr>
<tr>
<td>2. Has mother ever attended a prenatal course (3 or more attendances)?</td>
<td>No</td>
<td>6</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
<td>0</td>
</tr>
<tr>
<td>3. Mother’s present smoking habit (cigarettes/day)</td>
<td>20</td>
<td>7</td>
</tr>
<tr>
<td></td>
<td>16 – 20 years</td>
<td>6</td>
</tr>
<tr>
<td></td>
<td>11 – 15 years</td>
<td>4</td>
</tr>
<tr>
<td></td>
<td>6 – 10 years</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>1 – 5 years</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

**NOTE:** If a mother scores 13 or more she would receive a more detailed assessment. (Larson, et.al, 1987)
Appendix E: Parkyn Postpartum Screening Tool

Postpartum Tool, Healthy Babies Healthy Children (Parkyn Screen)

Mother’s Name: .................................................................................................................................
Fathers Name: ...................................................................................................................................

A. Children with Congenital or acquired Health Challenge:
   1. Major (probability of permanent disability) e.g.: down’s syndrome, cerebral palsy 9
   2. Moderate (correction may be possible) e.g.: cleft palate, loss of limb 6

B. Development Factors:
   3. Low birthweight: 
      a) 0-1499 gm 9
      b) 1500-1999 gm 8
      c) 2000-2499 gm 6
   4. Complications of pregnancy:
      a) Infections that can be transmitted in utero and may damage the fetus
         (e.g.: AIDS, rubella) 9
      b) Drugs (e.g.: alcohol or drug abuse diagnosed in mother) 9
   5. Complications of labour and delivery:
      a) Labour requiring mid forceps including breech delivery or emergency caesarean 4
      b) Infant trauma or illness (e.g.: convulsions, respiratory distress syndrome) 6
      c) If Apgar less than 7 at 5 min., deduct score from 10 __
   6. Family history of a genetic health challenge (e.g.: deafness, mentally challenged) 4

C. Family Interaction Factors
   7. Age of mother
      a) 15 and under 9
      b) 16 or 17 8
      c) 18 or 19 5
   8. Social situation:
      a) One parent family with adequate support 2
      b) One parent family - no support 7
      c) Two parent family - no social support and/or severe isolation related to culture,
         language or geography 4
   9. Financial difficulties 3
   10. No prenatal care before sixth month 4
   11. Mental illness/mental challenge in mother and/or father:
      Double score if both parents positive in a) or c)
      a) Schizophrenia or bipolar affective disorder 7
      b) Postpartum depression or psychosis 9
      c) Mentally challenged parent 6
   12. Prolonged postpartum maternal separation (5 days or more):
      a) With frequent infant contacts (visits or phone as feasible) 2
      b) Little or no contact 6
   13. Assessed lack of bonding (e.g.: minimal eye contact or touching) 6
   14. > 3 hospitalizations in 1 year in absence of known chronic illness or condition 6
   15. Other e.g.: marital distress, low education status, failure to thrive, parenting difficulties,
       family violence, prenatal class attendance, maternal smoking during pregnancy (Score 0 to 9).....

Specify reason: ......................................................................................................................................

Priority score: 9 and over = high, 6 to 8 = moderate, 3 to 5 = low, 0 to 2 = minimal  TOTAL SCORE........

Signature                                                                                                               Date

ADAPTED FROM PARKYN’S PRIORITY ASSESSMENT (Parkyn, 1985)
## Appendix F: Neurological Examination of the Newborn

<table>
<thead>
<tr>
<th>Neurological Signs</th>
<th>Description</th>
<th>Significance</th>
<th>Developmental change</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Posture</strong></td>
<td>All limbs flexed</td>
<td>Asymmetry or extension -hypotonia suspected</td>
<td>Hyperflexion past 2 months suspect spasticity</td>
</tr>
<tr>
<td><strong>Motor Activity</strong></td>
<td>Vigorous, constant motor activity alternating limb flexion and extension</td>
<td>Asymmetry or minimal-CNS or PNS problem</td>
<td></td>
</tr>
<tr>
<td><strong>Passive Tone</strong> *</td>
<td>Resistance to passive stretch</td>
<td>Best indicator of CNS maturation Earliest sign of neurologic dysfunction</td>
<td></td>
</tr>
<tr>
<td>* Upper limb:</td>
<td>Extend both upper limbs by pressing on forearms. Hold – release a brisk symmetrical flexion not forceful nor clonus</td>
<td>Absent or poor: Hypotonia or muscle weakness Exaggerated: spasticity</td>
<td></td>
</tr>
<tr>
<td>* Lower limb:</td>
<td>Hold feet and flex over abdomen then pull to extension. Hold then release. A symmetrical flexion should occur</td>
<td>As for upper limbs</td>
<td></td>
</tr>
<tr>
<td>* Scarf sign:</td>
<td>Hold baby’s hand and bring to opposite shoulder: elbow should be in line with sternum</td>
<td>Wraps around neck may be hypotonia Resists before midline -may be spasticity</td>
<td></td>
</tr>
<tr>
<td>* Adductor’s angle</td>
<td>Hold knee in extension and abduct until resistance -note asymmetry – measure angle with pubis and midline 40-80 degrees</td>
<td>A wider angle – hypotonia. Less-spasticity</td>
<td>Gradually increases to 100-140 degrees by 6-9 months</td>
</tr>
<tr>
<td>* Popliteal Angle</td>
<td>Flexing of the thighs over abdomen, then gently extending the leg until resistance – measure angle between the thigh and leg and compare sides – 80-100 degrees</td>
<td>Early sign of spasticity -hemiplegia or diplegia</td>
<td>By six months – 120-140 degrees -baby can put feet in his mouth</td>
</tr>
<tr>
<td>* Active neck muscle tone</td>
<td>1. Hold baby in sitting position allow head to extend backwards by moving his trunk back. Infant should move head to vertical axis and hold briefly. 2. Ventral extension: hold baby in prone position hold under trunk and abd. – should straighten back and redress head. Limbs in flexion</td>
<td>1. Headlag may indicate CNS depression or hypotonia 2. In hypotonia the infant hangs limp exaggerated spinal curve-limbs more extended, no extensor neck activity. Spasticity may show exaggerated response</td>
<td>Landau response: By 3 months more sustained straightening of head and trunk. Increasing from head downwards -response complete by 4-6 months. Now forced flexion of the head causes flexion of all the limbs. By 12 months the infant can inhibit the Landau response.</td>
</tr>
</tbody>
</table>
### Deep tendon reflexes

- **Biceps, knee and ankle jerks**
  - Present in newborn. Up to two months knee jerk causes crossed adduction response and the ankle jerk has a few clonic beats.
  - Responses should be brisk and symmetrical to be normal.
  - Triceps; present after a few weeks.

### Developmental Primitive Reflexes

<table>
<thead>
<tr>
<th>Reflex</th>
<th>Description</th>
<th>Pathology</th>
<th>Duration</th>
</tr>
</thead>
<tbody>
<tr>
<td>Moro Reflex</td>
<td>Lift baby by hands to raise shoulders off the bed about 3 cm – release extension and abduction of arms with opening of hands then smooth adduction and flexion and a cry.</td>
<td>An asymmetrical response possible focal defect e.g. brachial plexus palsy. Prolongation of phases – may indicate brain damage.</td>
<td>After three months a positive Moro response is abnormal.</td>
</tr>
<tr>
<td>Palmar Grasp</td>
<td>Slight stimulation to palm leads to strong grasp.</td>
<td>Between three and four months, this response lessens. After this period a positive response is abnormal.</td>
<td></td>
</tr>
<tr>
<td>Foot Grasp</td>
<td>Light pressure on sole of foot flexion and grasp response in the toes.</td>
<td>This reflex disappears after 9 months.</td>
<td></td>
</tr>
<tr>
<td>Rooting Reflex</td>
<td>Light stroke on corner of mouth – leads to rotation of head in the direction plus sucking movements.</td>
<td>Response disappears after 3-4 months when awake and 7-8 months when drowsy.</td>
<td></td>
</tr>
<tr>
<td>Sucking Reflex</td>
<td>Placing a finger in infant’s mouth produces sustained sucking. Weaker if fed.</td>
<td>Absence or weak response in presence of feeding problem – may mean brain involvement.</td>
<td>Same as rooting. Poor sucking and latch can be associated with future speech and language problems.</td>
</tr>
<tr>
<td>Crossed Extension Reflex</td>
<td>Stroke the sole of infants foot – flexion and abduction then extension and adduction and other leg crossing over the extended one.</td>
<td>Full response in full term infant – a test of maturity of the nervous system.</td>
<td>Disappears after 1st month.</td>
</tr>
<tr>
<td>Tonic Neck Reflex</td>
<td>Lying on back rotate baby’s head to one side – arm on same side extends and other arm flexes rotate the other opposite way to obtain similar response.</td>
<td>This reflex appears at 1-2 months – important if sustained.</td>
<td>Disappears by 7-8 months.</td>
</tr>
<tr>
<td>Placing reaction</td>
<td>Hold baby by trunk in upright – one leg touching table. Baby steps on the table then takes step with other</td>
<td>Response should disappear by 5-6 weeks.</td>
<td></td>
</tr>
</tbody>
</table>

Appendix G: Rourke Record

For more information about the Rourke Record see:
NUTRITION
“See Nutrition for Healthy Term Infants for details” (Ref 4)

• Breastfeeding:
Breastfeeding reduces gastrointestinal and respiratory infections. Support (both ante-
and post partum) increases breastfeeding and prolongs its duration. Early and
frequent method of feeding in the nursery; breast-feeding at least every 2 hours, and
feeding; and breast-feeding for at least 4 months, and having handouts at free infant
formula increase breastfeeding rates. Routine ViD supplementation of 10 mg x 400
IU/kg (20 mg x 800 IU/kg in northern communities) is recommended for all
breastfed infants until the diet provides a sufficient source of ViD.

• Fluoride:
Fluoride supplement recommendations have changed significantly. The use of
fluoride supplements before the eruption of the first permanent tooth is generally
not recommended. Fluoride supplements are only required for high dental caries
risk patients who do not have an adequate fluoride source from fluoridated water
or dentifrice. Canadian Dental Association, March 2000.

SAFETY
Injuries:
In Canada, childhood injuries cause 4 times more deaths than does disease. Between
the ages 1-24 months, 33% of deaths are from injuries.
The leading causes are:
1. Motor vehicle accidents
2. Drownings
3. Burns
4. Child abuse
5. Falls

Preventive measures:
1. Motor vehicle accidents
   a. Car seats – infant/toddler:
      Use infant (rear-facing) car seat until baby weighs 20 lbs.
(9 kg). Ensure proper installation of toddler (forward-facing) car
seat, using tether straps to secure car seat to the car frame.
Do not place a car seat or a child under 12 years of age in a front
passenger seat.
   b. Drownings:
      a. Bath safety
         Never leave a child alone in the bathtub.
         b. Water safety
         Encourage swimming lessons, diving safety and boating safety to reduce the risk of
drowning.
2. Burns:
   a. Install smoke detectors in the home.
   b. Smoke detectors in the home.
   c. Keep hot water at a temperature <54°C.
3. Climbing:
   a. Use safe toys and safe food
   b. Use windows and doors.
   c. Wear bicycle helmets.
4. Falls:
   a. Assure home is safe for hazards;
   e.g., Use caution when changing baby; do not use baby walkers;
   use window and stairs guards;
   wear bike helmets.
   b. Poisons:
      a. have Poison Control Centre number handy
      b. safety proof cupboards & drawers containing medicines, cleaners & solvents

BEHAVIOUR
• Night waking/crying:
Night waking/crying occurs in 20% of infants and toddlers who do not require
sleeping. Counseling around positive bedtime routines (including training the child
to fall asleep alone), removing nighttime positive reinforcers, keeping morning
awakening time consistent, and rewarding good sleep behaviour has been shown to
reduce the prevalence of night waking/crying.

HIGH RISK INFANTS
• Day Care:
Specialized day care or preschool is beneficial for children living in poverty (family
income at or below Statistics Canada low-income cut-off). These disadvantaged
children are at an increased risk of mortality and morbidity, including physical,
emotional, social, and educational deficits.

• Home Visits:
Regular home visiting has been shown to prevent physical abuse and neglect.
Risk factors for physical abuse:
- low SES
- young maternal age
- single parent family
- parental experiences of own physical abuse in childhood
Risk factors for sexual abuse:
- living in a family with a sexual abuse
- parental experience of own sexual abuse in childhood
- presence of a stepfather
- parental experience of own sexual abuse in childhood

OTHER
• Dental Care:
Tooth brushing is recommended for children. Flossing should also be encouraged,
to develop the habit. (Flossing is an ‘A’ recommendation for adults.)

DEVELOPMENT
There is no validated developmental surveillance tool for this setting.

PHYSICAL EXAMINATION
• Cover/take test & inquiry for strabismus:
With the child focusing on a light source, the light reflect on the cornea should
be symmetrical. Each eye is then covered, in turn, for 2-3 seconds, and then, quickly
uncovered. The covered eye “wanders” and when uncovered moves inward, or outward to
focus “fix” on the light source.

PROBLEMS & PLANS (SCREENING)
• Hemoglobin screening:
All infants from high-risk groups for iron deficiency anemia require high determination
between 6-12 mos. of age. Lower SES, Asian, First Nations children, low birth
weight infants, and infants fed whole cow’s milk during their first year of life.

• Hemoglobinopathy screening:
Some all arrive from high-risk groups; e.g., Asian, African, and Mediterranean.

• Lead screening:
Recommended for children:
- who live, or regularly visit homes built before 1950, with peeling paint or recent
renovation;
- who have a sibling, housemate, or playmate exposed to lead;
- who live with an adult who (from work or hobby) is exposed to lead;
- who live near lead industries or busy highways.

IMMUNIZATION:
• Hep B & IG & Immunization:
Infants with HBsAg-positive parents or siblings require Hep B vaccine at birth,
at 1 month, and 6 months of age. Infants of HBsAg-positive mothers also require
HepB at birth.

• TB Skin testing:
TB skin testing should be done if the infant is living with anyone being investigated
or treated for TB.

• Varicella vaccine:
Varicella vaccine is an ‘A’ recommendation for infants 12-15 months of age, and for all
other susceptible children, adolescents, and adults.

Resources:
1. The Canadian Task Force on the Periodic Health Examination. The Canadian Guide to
Clinical Preventive Care. Minister of Supply and Services, Canada. 1994.
2. Pangegoues L., Rourke L. Rourke J. Wakefield J. Wrenfield D. “Evidence-based
wound care, Part 1: Overview of the next generation of the Rourke Baby Record
and Part 2: Education and advice for the next generation of the Rourke Baby
Record” Canadian Family Physician. March 1996; 44: 538-572
3. Rourke L. “Developing the Rourke Baby Record” Commentary. Paediatrics and Child
Health, 1998; 3(5): 315-320
Paediatric Society, Directors of Canada, Health Canada. Minister of Public Works

Improving the Odds: Healthy Child Development
### Improving the Odds: Healthy Child Development

#### Rourke Baby Record: EVIDENCE BASED INFANT/CHILD HEALTH MAINTENANCE GUIDE II

<table>
<thead>
<tr>
<th>NAME</th>
<th>Birth Date (mm/dd/yyyy)</th>
<th>M/F</th>
<th>Length</th>
<th>cm</th>
<th>Head Circ</th>
<th>cm</th>
<th>Birth Wt</th>
<th>grams</th>
<th>Discharge Wt</th>
<th>grams</th>
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<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
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</table>

#### Growth

<table>
<thead>
<tr>
<th>DATE / AGE</th>
<th>4 months</th>
<th>6 months</th>
<th>9 months (optional)</th>
<th>12-13 months</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Parental Concerns

**Assessment:**

- **Breastfeeding:**
  - VILD 90g=6000IU/day
  - Formula Feeding (if fortified)
  - Iron fortified cereal

- **Health Concerns:**
  - Bowel movements
  - Yellow stools
  - Fussiness
  - Choking/safe food

#### Education & Advice

- **Safety:**
  - Car seat (infant)
  - Stair walker
  - Bath safety

- **Behavior:**
  - Night waking/crying
  - Sleep pattern

- **Family:**
  - Parent/child interaction
  - Child car seat

- **Other:**
  - Teething

#### Development

- **Motor Skills:**
  - Turns head to sound
  - Laughs/spills at parent
  - Head steady

- **Communication:**
  - Spells words
  - Responds to own name
  - Social cues

#### Physical Examination

- **Vision:**
  - Eyes (red reflex)
  - Corrective wear & inquiry

- **Hearing:**
  - Hearing test

- **Developmental Milestones:**
  - Language development
  - Motor skills development

#### Problems & Plans

- **Immunization:**
  - Hep B
  - Polio

- **Follow-up:**
  - Appointments
  - Laboratory tests

### Grade of evidence:

- **(A)** Bold type = Good evidence
- **(B)** italic = Fair evidence
- **(C)** Plain = Consensus with no definitive evidence

**Disclaimer:** Given the constantly evolving nature of evidence and changing recommendations, the Rourke Baby Record: EB is meant to be used as a guide only.

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*Trademark
<table>
<thead>
<tr>
<th>DATE / AGE</th>
<th>18 months</th>
<th>2-3 years</th>
<th>4-5 years</th>
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</thead>
<tbody>
<tr>
<td>GROWTH</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PARENTAL CONCERNS</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>NUTRITION</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>EDUCATION &amp; ADVICE</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Safety</td>
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</tr>
<tr>
<td>Behaviour</td>
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<td></td>
</tr>
<tr>
<td>Family</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DEVELOPMENT (Inquiry &amp; observation of milestones)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tasks are set after the time of normal milestone acquisition.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Absence of any suggests the need for further assessment of development</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PHYSICAL EXAMINATION</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Evidence based screening for specific conditions is highlighted, but an appropriate age-specific focused physical examination is recommended at each visit.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PROBLEMS &amp; PLANS</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>IMMUNIZATION</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Grade of evidence: (A) Bold type = Good evidence; (B) Italic = Fair evidence; (C) Plain = Consensus with no definitive evidence

Disclaimer: Given the constantly evolving nature of evidence and changing recommendations, the Rourke Baby Record: EB is meant to be used as a guide only.

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Improving the Odds: Healthy Child Development
## Appendix H: Nipissing District Developmental Screen

**NIPISSING DISTRICT DEVELOPMENTAL SCREEN ORDER FORM**

The Nipissing District Developmental Screen is a trademark of Nipissing District Developmental Screen Inc.

<table>
<thead>
<tr>
<th>Name</th>
<th>Organization</th>
<th>Address</th>
<th>Province / State</th>
<th>City</th>
<th>Postal Code / Zip</th>
<th>Country</th>
<th>Telephone</th>
<th>E-mail</th>
</tr>
</thead>
</table>

Please MAIL this form along with cheques or money order made payable to:

Nipissing District Developmental Screen Inc.
P.O. Box 1493, North Bay, ON Canada P1B 8K6
Phone (705) 473-0910 Fax: (705) 473-9743
Visit us on the web: www.ndds.ca

### SCREEN SETS

A complete set of Screens consists of 13 pads of 50 sheets with detachable activity sheets.

- **English**................. Set Price $90
- **French**.................. Set Price $90
- **Spanish**................. Set Price $90
- **Chinese**.................. Set Price $90

### SPECIFIC SCREENS

A Specific Screen consists of one pad of 50 sheets with detachable activity sheets for one of the following ages:

- 1 & 2 Months, 4 Months, 6 Months, 9 Months,
- 12 Months, 15 Months, 18 Months, 2 Years,
- 30 Months, 3 Years, 4 Years, 5 Years and 6 Years.

- **English**.................. Unit Price $7
- **French**.................. Unit Price $7
- **Spanish**................. Unit Price $7
- **Chinese**.................. Unit Price $7

Special orders of screens without activities available upon request. Call for a quotation.

### Product Description

<table>
<thead>
<tr>
<th>Product Description</th>
<th>Age</th>
<th>Quantity</th>
<th>Set / Unit Price</th>
<th>Total Quantity</th>
<th>Price</th>
</tr>
</thead>
</table>

**SEE LIMITATION OF LIABILITY AND INSTRUCTIONS ON REVERSE.**

Nipissing District Developmental Screen Inc. Product License

The Screen Forms, and any content contained therein including but not limited to text, graphics and artwork, is the copyright of Nipissing District Developmental Screen Inc., and is subject to copyright and other intellectual property laws. By purchasing the Screen Forms, the user agrees to be bound by the terms of the following limited license. Pursuant to the terms of this limited license the user is granted the following limited rights:

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---

**Total**

- Screens Total
- Shipping* 
- Subtotal
- GST**
- PST***
- **Total**

* Canadian orders subject to 12% shipping and handling; all others please add 15%.
** GST (indicated X 7%) applies to Canadian orders only.
*** PST (indicated X 5%) applies to Ontario orders only.
Instructions for the Nipissing District Developmental Screen™

The Nipissing District Developmental Screen™ (NDDS) is a tool designed to provide an easy-to-use method of recording the development and progress of infants and children. The areas of development covered by the Screen Forms include vision, hearing, communication (note: the language items refer to the child's ability in his/her first language), gross and fine motor, cognitive, social/ emotional, and self-help. The Screens coincide with immunization schedules as well as key developmental stages up to age six. The ages are noted at the top of each Screen. The child's chronological age will determine which Screen to use. If the child falls between two ages, use the earlier Screen (e.g. for a 4 1/2 year old use the Screen for a 4 year old).

The skills in each Screen are expected to be mastered by most children by the age shown. If two or more “No” responses are marked a referral to a health care and/or child care professional is recommended. While the NDDS was designed to be completed by a parent or caregiver, the Screen Forms are not meant to be a substitute for professional advice, assessment and/or treatment from a health care and/or child care professional.

Parents should always talk to their health care and/or child care professional if they have questions or concerns about their child's development or well being.

Additional information is available on our website. Visit us at www.ndds.ca.

Activities for Your Baby/Child

The “Activities for Your Baby/Child” section of the Screen Forms is intended to provide parents and other caregivers with some information and activities to enhance their infant's/child's development. Each activity is coded with an icon to represent a primary area of development. If parents have questions or concerns about the appropriateness of any activity for their infant/child, they should contact a health care or child care professional.

- Emotional
- Fine Muscle
- Large Muscle
- Learning/Thinking
- Self-Help
- Social
- Speech/Language

Limitation of Liability

Nipissing District Developmental Screen Inc. (NDDS Inc.) has created and provides the Screen Forms to assist parents, health care and child care professionals (users) with a convenient and easy to use method of recording the development and progress of infants and children within certain age groupings. The Screen Forms are not meant to be a substitute for the advice and/or treatment of health care and child care professionals trained to properly and professionally assess the development and progress of infants and children. As such, the Screen Forms are not intended or designed to be “do it yourself” substitutes for proper and professional advice and/or treatment.

Although the Screen forms may help users to determine when they need to seek out the advice and/or treatment of health care and child care professionals, it must be clearly understood by users that the Screen Forms cannot substitute for the advice and/or treatment of health care and child care professionals.

Users of the screen forms should consult with competent health care and child care professionals for advice and/or treatment respecting specific children and their particular needs.

Users should bear in mind the following when using the Screen Forms:

(i) The needs of each infant/child are unique. Each infant/child will develop differently and as such, any perceived limitations in development must be reviewed by a health care and/or child care professional to be properly assessed;

(ii) While every effort has been made to make the Screen Forms as culturally, economically and geographically neutral as possible, it must be understood by users that they may still reflect some cultural, economic or geographic prejudices. As such, these prejudices may affect a specific infant/child’s results in a Screen Form without actually reflecting a developmental limitation. Again, users should contact a health care and/or child care professional to review the needs of an individual infant/child;

(iii) The Screen Forms cannot contain every possible indicator of developmental limitations or goals to be met. As such, the Screen Forms are not designed for and should not be used to diagnose or treat perceived developmental limitations or other health needs. Every effort has been made to ensure that the Screen Forms have been formulated with a reasonable standard of care. Except for this representation, and as otherwise specifically provided in the Screen Forms, NDDS Inc. make no representation or warranties, express or implied. This includes, but is not limited to, any implied warranty or merchantability of fitness for a particular use or purpose, and specifically disclaims any such warranties and representations. NDDS Inc. expressly disclaims any liability for loss, injury or damages incurred or occasioned as a consequence, directly or indirectly, of the use of the Screen Form.

The Screen Forms are sold with the understanding that NDDS Inc. is not engaged in rendering medical or child care advice or other professional services.
The Nipissing District Developmental Screen is a checklist designed to help monitor your child’s development.

Yes  No  
By Eighteen Months, does your child...

1. Identify pictures in a book (e.g. “Show me the baby”)?
2. Use familiar gestures (e.g. waving, pushing away)?
3. Follow directions when given without gestures (e.g. “Throw me the ball”, “Bring me your shoes”)?
4. Use common expressions (e.g. “all gone” or “oh-oh”)?
5. Point to at least three different body parts when asked (e.g. “Where is your nose”)?
6. Say five or more words? (Words do not have to be clear.)
7. Hold a cup to drink?*
8. Pick up and eat finger food?
9. Help with dressing by putting out arms and legs?*
10. Crawl or walk up stairs/steps?
11. Walk alone?
12. Squat to pick up a toy without falling?
13. Push and pull toys or other objects while walking? (Picture A)
14. Stack three or more blocks?
15. Show affection towards people, pets or toys?
16. Point to show you something?
17. Look at you when you are talking or playing together?

* item may not be common to all cultures

Always talk to your health care or child care professional if you have any questions about your child’s development or well being. See reverse side for instructions, limitation of liability, and product license.

ACTIVITIES FOR YOUR CHILD...

- Emotional
- Fine Muscle
- Large Muscle
- Learning/Thinking
- Self Help
- Social
- Speech/Language

The following activities will help you play a part in your child’s development.

Help me to notice familiar sounds, such as birds chirping, car or truck motors, airplanes, dogs barking, sirens, or splashing water. Imitate the noise you hear and see if I will imitate you. Encourage me by smiling and clapping.

I am learning new words every day. Play games to help me learn the names of things. Put pictures of familiar things such as toy animals, people or objects in a bag and say “One, two, three, what do we see?” and pull a picture from the bag.

Pretend to talk to me on the phone or encourage me to call someone.

Don’t be afraid to let me see what I can do with my body. I need to practise climbing, swinging, jumping, running, going up and down stairs, and going down slides. Stay close to me so I don’t get hurt.

Play some of my favorite music. Encourage me to move to the music by swaying my arms, moving slowly, marching to the music, hopping, clapping my hands, tapping my legs, etc. Let’s have fun doing actions while listening to the music.

Let me play with balls of different sizes. Take some of the air out of a beach ball. Watch me kick, throw, and try to catch it.

I like toys that I can pull apart and put back together: large “LEGO”, containers with lids, or plastic links. Talk to me about what I am doing using words like “push” and “pull”.

I’m not too little to play with large crayons. Let’s scribble and talk about our art work.

I like simple puzzles with two to four pieces and shape-sorters with simple shapes. Encourage me to match the pieces by taking turns with me.

I want to do things just like you. Let me have toys so I can pretend to dress up, have tea parties, and play mommy or daddy.

I feel safe and secure when I know what is expected of me. You can help me with this by following routines and setting limits. Praise my good behaviour.

I enjoy exploring the world but I need to know that you are close by. I may cry when you leave me with others, so give me a hug and tell me you will be back.

Always talk to your health care or child care professional if you have any questions about your child’s development or well being. See reverse side for instructions, limitation of liability, and product license.
Appendix I: Developmental Monitoring in Primary Care – Journal Article

Developmental monitoring in primary care

CYNTHIA E. GOLDFARB, MD, FRCPC
WENDY ROBERTS, MD, FRCPC

Summary
Monitoring child development is an essential part of primary health care. Successful surveillance depends on physicians’ thorough knowledge of normal progress along the four developmental streams: motor, language, cognitive, and social and emotional. Being alert to “red flags” that suggest problems is important. Effective interventions can minimize developmental problems.

RÉSUMÉ
La surveillance du développement de l’enfant est une composante essentielle des soins de première ligne. La réussite de cette surveillance dépend du niveau de connaissances que possèdent les médecins de la croissance normale en fonction des quatre axes de développement: motorité, langage, cognition, et développement social et émotionnel. Il est important d’être vigilant pour bien identifier les « drapeaux rouges » indiquant la présence de problèmes. Les interventions efficaces peuvent minimiser les problèmes de développement.

Early detection of developmental problems is increasingly being identified as one of the important tasks of physicians providing primary care to children. Emerging evidence supports the efficacy of early intervention. Recent statements by the American Academy of Pediatrics and the British Joint Working Party of Child Health Supervision recommend that developmental monitoring be an integral part of child health supervision. Both organizations suggest that monitoring be done by the process of “developmental surveillance.”

Developmental surveillance is a flexible, continuous process in which knowledgeable professionals observe children during all health care encounters. It encompasses both identification and anticipatory guidance and can be accomplished by monitoring developmental milestones.

Dr Goldfarb is a Fellow in Child Development at the Hospital for Sick Children in Toronto, Ont. Dr Roberts, a Developmental Pediatrician, is Education Program Director in Developmental Pediatrics and an Associate Professor in Pediatrics at the University of Toronto.

Attainment, eliciting parental concerns, informally observing age-appropriate tasks, and sometimes using screening tests. Effective surveillance requires physicians to have thorough knowledge of normal child development, to understand factors that might interfere with it, and to be actively monitoring for symptoms that should elicit concern.

This article focuses on the background knowledge essential for developmental surveillance. Some general guidelines for dealing with detected delays are outlined.

Getting started
The process of development can be conceptualized as the result of interaction between a child and his or her environment, each profoundly influencing the other. Development proceeds along four basic streams: motor, language, cognitive, and social and emotional development. While these are clearly interdependent, they should be assessed individually in each child. The skills we use when we listen to heart sounds or examine cranial nerves (focusing attention on a series of objective findings) can be applied to developmental assessment.
Equally important to the process of surveillance are the skills of good listening and sensitive questioning. These lead to trusting relationships with parents that facilitate sharing concerns. This atmosphere is conducive to early discovery of developmental problems and to a more thorough understanding of the environmental factors (eg, psychosocial, health, economic) that affect child development.

Although most physicians find assessing child development enjoyable and often enriching, many

<table>
<thead>
<tr>
<th>AREA and AGE</th>
<th>FINDINGS</th>
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</thead>
<tbody>
<tr>
<td>MOTOR</td>
<td>18 mo</td>
</tr>
<tr>
<td>4½ mo</td>
<td>Has less than three words with meaning, unable to achieve shared attention</td>
</tr>
<tr>
<td>5 mo</td>
<td>No two-word phrases or repetition of phrases</td>
</tr>
<tr>
<td>7-8 mo</td>
<td>Not using at least one personal pronoun</td>
</tr>
<tr>
<td>9-10 mo</td>
<td>Speech only half understandable</td>
</tr>
<tr>
<td>15 mo</td>
<td>Does not understand prepositions</td>
</tr>
<tr>
<td>2 y</td>
<td>Not using proper syntax in short sentences</td>
</tr>
<tr>
<td>2½ y</td>
<td>3½ y</td>
</tr>
<tr>
<td>3 y</td>
<td>4 y</td>
</tr>
<tr>
<td>4 y</td>
<td>5 y</td>
</tr>
<tr>
<td>5 y</td>
<td>6-7 mo</td>
</tr>
<tr>
<td>6 mo</td>
<td>Not searching for dropped object</td>
</tr>
<tr>
<td>8-9 mo</td>
<td>No interest in peek-a-boo</td>
</tr>
<tr>
<td>12 mo</td>
<td>Does not search for hidden object</td>
</tr>
<tr>
<td>15-18 mo</td>
<td>No interest in cause-and-effect games</td>
</tr>
<tr>
<td>2 y</td>
<td>Does not categorize similarities (eg, animals vs vehicles)</td>
</tr>
<tr>
<td>3 y</td>
<td>Does not know own name</td>
</tr>
<tr>
<td>4 y</td>
<td>Cannot pick shorter or longer of two lines</td>
</tr>
<tr>
<td>4½ y</td>
<td>Cannot count sequentially</td>
</tr>
<tr>
<td>5 y</td>
<td>Does not know colours or any letters</td>
</tr>
<tr>
<td>5½ y</td>
<td>Does not know own birthday or address</td>
</tr>
<tr>
<td>LANGUAGE</td>
<td>3 mo</td>
</tr>
<tr>
<td>3 mo</td>
<td>Not smiling socially</td>
</tr>
<tr>
<td>6-8 mo</td>
<td>Not laughing in playful situations</td>
</tr>
<tr>
<td>1 y</td>
<td>Hard to console, stiffs when approached</td>
</tr>
<tr>
<td>2 y</td>
<td>Kicks, bites, and screams easily and without provocation. Rocks back and forth in crib. No eye contact or engagement with other children or adults</td>
</tr>
<tr>
<td>3-5 y</td>
<td>In constant motion. Resists discipline. Does not play with other children</td>
</tr>
</tbody>
</table>
Improving the Odds: Healthy Child Development

dread detecting abnormalities because they are unsure how to intervene effectively in the face of diminishing community resources. This is particularly true for physicians in isolated or remote communities that lack medical specialists and ancillary services, such as speech pathologists, psychologists, physiotherapists, and occupational therapists. Finding whatever local resources are available, private and public, is the first step to being able to make recommendations that can be carried out.

Many areas in Canada now have, or will soon have, access to early interventionists, professionals from many backgrounds (such as speech therapy, nursing, and early childhood education) who are trained to work with parents and preschool staff to provide optimal developmental programming. Some local day-care centres and preschools have highly skilled professionals, and interested nurses can be trained to administer formal developmental assessment tools such as the DISC (Diagnostic Inventory for Screening Children). Where no intervention services are readily available, family members can be taught how to stimulate a child’s development. Physicians can advocate for their communities by lobbying for improved developmental intervention services.

A physician’s role in dealing with developmental problems goes well beyond referral for assessment and therapy by other professionals. Having a child with a developmental problem can cause parents grief, a sense of loss, and feelings of helplessness. As the child develops, new issues and concerns are likely to arise. Appreciating this and providing ongoing support and guidance can improve the quality of life of the whole family.

Making objective observations, creating a setting in which parents are comfortable sharing concerns, finding the best available resources, and providing support are important aspects of surveillance, regardless of which stream of development is being examined. Each stream has unique features relevant to the surveillance process.

**Motor development**

When parents boast about a child’s early ability to sit, crawl, or walk, or fearfully mention that a child seems behind in these skills, they convey the widely held belief that a close connection exists between motor development and intelligence. Of all the streams of development, however, gross motor development is the least predictive of cognitive potential. Monitoring motor development is important primarily because of the many underlying medical conditions that can manifest as motor delays.

For genetic counseling or therapeutic intervention, such conditions should be identified as early as possible. A range of normal variation in the development of gross and fine motor skills makes it necessary for physicians to recognize “red flags” that suggest problems (Table 1).

Physicians should be concerned if an infant is not sitting independently at 7 to 8 months, or is unable to hold an object in each hand at that age. A 15-month-old should be walking and well able to put objects in and out of large containers. Attention is warranted if a 2-year-old cannot climb up or down stairs or scribble or if a 3-year-old cannot stand briefly on one foot or draw a straight line. A 4-year-old should be able to hop and copy a circle, and a 5-year-old should be able to walk a straight line and copy a cross.

Even if normal milestones are being attained, more subtle clinical findings might suggest underlying motor problems: persistent fisting of the hands (more than 50% of the time) at 3 months is not normal and might be an early sign of cerebral palsy; development of hand dominance before 15 months is unusual, and might reflect neurologic impairment of the contralateral side; precocious ability to elevate the head and neck in ventral suspension (before 3 months) might suggest hypertonia.

**What to do if motor delay is detected**

Delays in motor development might indicate underlying disease. Problems of the central nervous system, such as cerebral palsy, or the peripheral nervous system, such as muscular dystrophy, must be considered. Metabolic conditions (eg, hypothyroidism) and genetic syndromes (eg, fragile X syndrome) might be responsible. Clues to underlying etiology should be sought through a thorough history and physical examination. Particular attention to birth history, family histo-
ry, and developmental history could yield valuable information.

Abnormal physical findings, such as dysmorphic features; persistent primitive reflexes; asymmetric deep tendon reflexes; or abnormal muscle bulk, tone, or strength, are all especially relevant. If an underlying neurologic or medical condition is suggested, referral to a pediatrician or neurologist for further evaluation might be warranted.

Whether or not disease is suspected, referral for early intervention is indicated. Local availability and local practice patterns will dictate whether this is to an occupational therapist, physical therapist, early intervention therapist, or other professional. Children with no specific etiology for delays should be monitored every 3 to 4 months to ensure continued progress and to detect the emergence of new factors. Because many families believe that motor delays imply diminished intelligence, educating them about the nature of a child’s difficulties can often be highly reassuring. Families also often underestimate the important role they have in creating an environment conducive to optimal motor development. Being taught specific techniques for helping motor skills develop can be both empowering for parents and therapeutic for children.

**Language development**

The fascination of baby with parent and parent with baby ensures attachment in the baby’s first social relationship and facilitates the natural emergence of language in normal babies. Within a few years, a child progresses from a few words to virtual mastery of language. This magical process follows a predictable pattern, but has considerable normal variation in the rate and quality of its unfolding.

Significant deviations from normal development can be identified early if doctors are familiar with prelinguistic and linguistic milestones. Some physicians keep a checklist of milestones nearby; others use formal instruments, such as the Early Language Milestone Scale.7 This tool has been shown to have relatively good sensitivity and specificity for children younger than 3 years.8,9

Red flags that signal a need for further evaluation include not beginning to babble by 8 months and having fewer than three meaningful words at 18 months. By 1 ½ years, a child should be able to achieve shared attention (Figure 1). A 2-year-old should be putting two words together, and a 3 ¼-year-old’s speech should be almost fully understandable. We should be concerned if a 4-year-old cannot use prepositions or if a 5-year-old is not speaking in grammatically correct, albeit short, sentences.

Physicians should remember some other important points.

- Recurrent otitis media rarely produces long-term language delays.10
- Congenitally deaf children typically have normal motor, cognitive, and psychological development in the first year of life and reach essentially normal language milestones in the first 6 to 8 months of life.5 Examiners must assess auditory responses in young infants very carefully. Up to two thirds of congenitally deaf children can be
identified if all infants on the High Risk Registry (Table 2) are screened early.13

- Deterioration or plateauing of language skills at 18 to 24 months is cause for serious concern.14

In the past, parents reporting this were often ignored. However, it is now well recognized that, when combined with flat affect, social withdrawal, or poor engagement, this pattern can signify the onset of pervasive developmental disorder (PDD).

What to do if language delay is detected

Language is a complex skill; its development can have aberrations ranging from dysfluencies and articulation deficits to pure expressive or receptive delays to aberrant nonfunctional use of language, as in PDD. Possible causes include structural or functional abnormalities of the oromotor apparatus, hearing impairment, global developmental delay, pure language disorders, and PDD. History or physical examination sometimes suggest that referral to speech pathologists, audiologists, psychologists, neurologists, or psychiatrists could help.

Whether or not a child has a specific, intrinsic abnormality, the environment strongly influences development of language skills. Assessing such influence can help identify avenues for intervention, or, less commonly, actually determine the cause of language delay. Factors that can render a parent ineffective at teaching language include poverty, substance abuse, depression, and cognitive impairment.15

Reliable audiology is indicated for all children with language delay, as is referral to local early intervention services. In areas where speech and language evaluation is accessible, refer early. Putting a child into nursery school can usually be achieved fairly quickly and some children benefit greatly. Some communities have the Hanen program, a course of short workshops designed to teach parents how best to foster language development in their children.

Physicians can make practical suggestions for promoting language skills and enhancing cognitive and social skills that parents can implement immediately.

- When you have a young infant’s gaze or obvious attention, make noises and sounds or sing softly.
- Repeat sounds or words the child utters.
- Repeat simple nursery rhymes in a predictable way.
- Ask questions or make comments that naturally lead to response.
- Label concrete objects in a child’s environment.
- Emphasize action words in conversation with the child.
- Read to the child, and let the child see you reading for pleasure.
- Use simple language delivered slowly.

<table>
<thead>
<tr>
<th>Table 2. High Risk Registry of risk factors for sensorineural hearing loss</th>
</tr>
</thead>
<tbody>
<tr>
<td>Family history of hearing loss</td>
</tr>
<tr>
<td>Congenital infection</td>
</tr>
<tr>
<td>Craniofacial anomalies</td>
</tr>
<tr>
<td>Birth weight less than 1500 g</td>
</tr>
<tr>
<td>Hyperbilirubinemia at level exceeding indication for transfusion</td>
</tr>
<tr>
<td>Ototoxic medications used for more than 5 days</td>
</tr>
<tr>
<td>Bacterial meningitis</td>
</tr>
<tr>
<td>Asphyxia or low Apgar score at birth</td>
</tr>
<tr>
<td>Prolonged mechanical ventilation</td>
</tr>
<tr>
<td>Findings associated with a syndrome known to include sensorineural hearing loss (eg, Waardenburg or Usher’s syndrome)</td>
</tr>
</tbody>
</table>

Adapted from American Speech-Language-Hearing Association.17

Cognitive development

Most parents delight in watching their children learn to understand the world and marvel as they acquire basic intellectual skills. One of the greatest fears parents have is that a child might be cognitively impaired. The tremendous emotional overlay associated with cognitive deficits might lead to confusion regarding terminology. The term “mental retardation” has much more serious social and prognostic implications than the term “developmental delay.” The latter term...
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implies that a child will continue to make cognitive gains throughout development. This is often reassuring to parents, but they must understand that with time the gap between global delay and the norm typically widens.

Detecting cognitive impairment in children can be difficult. While profound mental retardation is hard to miss, milder forms can be subtly manifested in young children. Most globally delayed children achieve gross motor milestones at approximately normal times. Red flags for cognitive impairment include not alerting to mother by 3 months or not looking for dropped objects by 7 months. By 1 year babies should be searching for hidden objects, revealing a well-established concept of object permanence. Two-year-olds should be able to categorize similarities (e.g., big, red), and 3-year-olds should be able to say their full names when asked. By 4 1/2 years a child should be able to count, and by 5 years should know several colours and some letters. Psychological testing can usually be attempted by 3 years, but might not be predictive of later outcome until a child is older than 5 years.

What to do if cognitive delay is detected

Differential diagnosis of global developmental delay is vast and well documented elsewhere. A detailed history and physical examination are essential for finding causative factors. History should particularly include prenatal factors, such as exposure to toxins or infection, and perinatal factors, such as complicated deliveries. Although birth events are generally poor predictors of developmental problems, reviewing birth records can help parents who have unresolved concerns about that period. Family history should be probed for similarly affected relatives, possibly suggesting inherited conditions (e.g., neurofibromatosis or fragile X syndrome). History can also clarify the adequacy of a child’s environment and identify factors that might prevent a child from reaching maximum potential.

Physical examination must likewise be thorough. Focus should be on head growth, neurologic findings, and associated dysmorphic or neurocutaneous features. While investigations will be guided by historical and physical findings, hearing and vision should also be assessed. If the child is not microcephalic, DNA might be analyzed for the fragile X syndrome mutation. Doing karyotype, lead level, metabolic screen, or thyroid-stimulating hormone tests should be based on findings. Computed tomography is rarely clinically useful; magnetic resonance imaging sometimes aids diagnosis.

Cognitive impairment in a child is usually devastating for parents. Physicians can help immeasurably in an advocacy role. Helping families find appropriate preschools and ensuring that the child is properly identified by the school is helpful. If the community has an Association for Community Living, a family might benefit from contact with it. Parents sometimes feel deceived if a referral is made without fully explaining the child’s diagnosis to them first.

Primary care physicians can help families access support groups, ministry-funded social workers, respite care, and government benefits and tax credits. Although no clear evidence indicates that globally delayed children’s intelligence quotients can be improved by early intervention, children can be helped to function better and avoid secondary behavioural problems, and parents could experience less stress.

Most families require emotional support and ongoing guidance as they come to terms with having a cognitively impaired child, work out plans for the future, and deal with the still-present social stigma.

Social and emotional development

The relationship between parent and child that develops in the first years of life is the springboard for the child’s future interactions with other people, the template of how he or she views himself or herself, and the raw material for functioning in society, achieving happiness, and being emotionally intact.

Sadly, disruptions to this process are all too common. Countless examples of undesirable
social conduct and people with emotional disability are easily found. Primary prevention and pre-empting development of these problems has profound ramifications for both individuals and society. Understanding a child’s biological endowment (i.e., temperament) and knowing a child’s psychosocial environment are key to successfully monitoring social and emotional development.

Since the landmark work of Chess and Thomas, we have recognized that an infant’s mind, far from being a tabula rasa, has a complex, unique pattern of responsiveness innate to his or her personality. Differences between infants are termed temperament and include a baby’s activity level, rhythmicity, mood, and intensity and threshold of responding. Infants typically have been classified as “easy,” “difficult,” or “slow to warm up.”

A child’s temperament influences the parents’ attitude and behavior toward him or her; a child’s temperament, and the degree to which it matches the parents’ temperament, mediates a child’s response to parental practices. Helping parents understand the role that temperament plays in a child’s behavior can be very useful. For example, if the parents of a “slow to warm up” child, who is reluctant to start a new preschool, view the behavior as part of the child’s normal style, they will allow him or her time to adapt positively and will not be concerned. If they do not appreciate this, they might view the child as timid or anxious and, instead of being patient, pressure the child to join the group, resulting in an even more difficult situation.

Among the myriad environmental variables that affect social and emotional development are family, health, economics, and culture. Children born into poverty, for example, experience not only economic deprivation but different psychological and social experiences from their better-off peers. Families under stress from marital conflict, parental depression, extended family problems, and so on often have difficulty nurturing their children’s psychological development.

At the heart of social and emotional development lies the foundation upon which all future interactions with the social world rest: attachment of child to primary caregiver. This should be well established and evident by 12 to 14 months and is characterized by proximity-seeking behavior, separation anxiety, and fear of strangers. Office visits are often ideal for witnessing these phenomena. Ample evidence now supports a link between secure attachment and later social development.

Problems in social and emotional development are shown through a child’s temperament, environmental factors, and attachment experience. Red flags include not developing a social smile by 3 months or not laughing in playful situations by 8 months. Poor eye contact or inability to be comforted by a parent is worrying at any age, as are excessive aggression, repetitive movements, and lack of interest in people.

Pervasive developmental disorders, characterized by impaired social interaction and communication and restricted, repetitive, and stereotypical patterns of behavior, are being shown increasingly to respond to intervention, which should be sought early. These conditions are biologically based, and are not the result of suboptimal social circumstances.

**What to do if social and emotional problems are detected**

Early intervention is essential. If a child’s environment is highly disturbed, abusive, or neglectful, physicians must advocate for the child and might need to enlist child protection services.

In less severe social situations, physicians could support and guide families to remove obstacles preventing children from reaching maximum potential. Pointing out the child’s temperament, and providing basic information on common behavioral challenges at different stages could help parents give better care.

Many children with social or emotional problems, even those with PDDs, appear to benefit from increased contact with other children, perhaps through play groups or library programs. Extended family members playing and reading with a child can provide the extra attention that parents sometimes cannot give.

Finally, children with social or emotional problems should be referred to early intervention therapists, if available. Some communities have more
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## Assessment of Developmental Delay

<table>
<thead>
<tr>
<th>Developmental Area</th>
<th>Significant Red Flags</th>
<th>Intervention</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Growth</strong></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| Growth charts are designed for typically developing children in North America. They may be misleading for children from other countries or children with a specific concern such as Down syndrome or premature delivery. | - Weight and height below 3rd percentile  
- Growth velocity less than expected  
- Crosses 2 percentile lines  
- Weight less than 80% expected for age and height  
- Weight below 5th percentile on weight for height chart | - Examine re intake, output  
- Physical examination and tests to rule out syndromes, chronic disease  
- Psychosocial – eating and sleeping behaviour  
- Referral: pediatric, public health  
- Growth charts are available for children with Down syndrome |
| **Motor**          | - 41/2 mo not pulling to sit  
- 5 mo not rolling over  
- 7-8 mo not sitting unsupported  
- 9-10 mo not standing holding on  
- 15 mo not walking | - Look for neurological signs  
- Clues from birth history, family history  
- Abnormal physical findings?  
- Referral: pediatric or neurological, early infant development, physiotherapy  
- No specific cause – monitor and educate family, encourage motor development |
| **Cognitive**      | - 2-3 mo not alert to mother  
- 6-7 mo no searching for dropped object  
- 8-9 mo no interest in peek a boo  
- 12 mo doesn’t search for hidden object | - Detailed history and physical – prenatal, review birth records (not usually significant), family history  
- Child’s environment  
- Support for parents re diagnosis  
- Advocate for support for parents and family  
- Look to avoid secondary problems |
| **Language and Communication** | - 5-6 mo no babbling  
- 8-9 mo not saying da or ba  
- 10-11 mo not saying dada or baba  
- 12 mo no gesturing – pointing or waving  
- 24 mo no 2 word phrases  
- Loss of language at any age | - Audiology testing  
- Environment strongly influences language skills. Assess these influences – parental time, substance abuse, depression etc  
- Referral: speech and language evaluation, psychology, neurology, psychiatry  
- Possible problems: hearing problem, global delay, pure language disorders, autism spectrum disorder  
- Practical suggestions  
- Nursery school, Hanen programs etc |
| **Social and Emotional** | - 3 mo not smiling socially  
- 6-8 mo not laughing in playful situations  
- 1 year hard to console, stiffens  
- 2 years bites, kicks, screams easily, poor eye contact or engagement | - Early intervention needed – is child’s environment abusive, neglectful, disturbed – child protection issue?  
- Parent training – re difficult behaviour  
- Increase contact with other children, extended family, extra attention  
- Referral: early intervention therapist – public health, developmental pediatrics etc |

The Key to Developmental Surveillance is the knowledge of the spectrum of normal and the indicators of serious delays – this is an ongoing learning process. Developmental delays are common and occur in up to 10% of children.

Sources:
Appendix J: Speech, Language and Hearing

Facts about Speech, Language and Hearing

What is Communication?
Communication is the sending and receiving of information.
There are four main aspects to communication:

1. Hearing is essential for the acquisition of oral communication, speech,
and language.
2. Language is the coded system which enables understanding, organization
and expression of meaning, thoughts and ideas. It takes the form of
words and patterns of words in grammatical structures. Language can
be conveyed in an oral, written or gestural/sign form. It can be further
subdivided into expressive language (how we express ourselves using
words, gestures, etc.) and receptive language (how we understand
words, gestures, etc.).
3. Speech is the production of sounds and sequences of sounds. This
can be further subdivided into voice quality, fluency and articulation
that all contribute to the intelligibility of what is said.
4. Pragmatics is the social aspect of turn-taking and joint attention
that facilitates communication.

Causes of Speech and Language Problems
Historically causes of most communication disorders have not been known.
Genetic research in the past decade has linked the most common disorder,
specific language impairment, to inherited cerebral structure and function.
Other speech, language and hearing disorders have been attributed to a
variety of factors including maternal infection, genetics, traumatic brain
injury, maxillo-facial anomalies such as cleft lip/palate, birth trauma,
or syndromes (e.g., Autism Spectrum Disorder, Down Syndrome).
The home environment is also a factor to consider. Limited stimulation
and family stressors can cause delay in speech/language development,
but do not cause disorders. Delays due to environmental factors can
be reversed with good language stimulation.

When a family member has a speech/language/hearing disorder, the
children are at higher risk for communication difficulties. Pay special
attention if there is a positive family history for: learning disabilities,
permanent early childhood hearing loss, congenital syndromes, or if
the parent indicates concern.

Key Warning Signs

Emotion and Use of Eye Gaze
–Limited ability to share attention and/or emotions with eye gaze
and facial expressions
–Delay in understanding language and using language
Use of Communication
–Limited use of gestures and/or vocalizations to communicate
–Low rate of communication using gestures and/or vocalization
–Limited number of reasons for communication (e.g., child only
communicates to protest and request food, but not to greet, label
objects, etc.)
–Limited use of communication to share interest/attention with another
Use of Gestures
–Limited number of gestures (e.g., giving, showing, reaching, pointing)
–Limited use of symbolic gestures (e.g., waving, nodding head,
gesture for talking on phone)
–Reliance on gestures and a limited use of vocalizations to communicate
Use of Sound
–Limited number of consonants
–Immature syllable structure (e.g., uses only consonant plus vowel
combinations to represent words of varying lengths, such as
na/banana or wa/water)
Understanding and Use of Words
–Delayed in understanding language and using language
Use of Objects
–Limited use of symbolic play (e.g., use of toy object to represent real
object - phone, feed baby)
–Delayed spontaneous use of actions on objects in symbolic play
–Limited ability to imitate actions on objects
Other
–Positive family history
–Heightened parental concern

When to Refer
Refer all children to the Preschool Speech and Language System when
the parent expresses concern or the child presents with high risk
indicators or does not meet developmental milestones on the Rourke
Record or Nipissing District Developmental Screen.
### Communication Checklist for Children from Birth to Age Five

If the answer is **NO** to any of the following questions, call Preschool Speech and Language Services. Refer to an audiologist for any concerns about hearing.

<table>
<thead>
<tr>
<th>By 3 Months – <strong>Does the child:</strong></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>– Startle to a sudden sound?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>– Turn to where a sound is coming from?</td>
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<td></td>
</tr>
<tr>
<td>– Make sounds (ooh, ah)?</td>
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<td></td>
</tr>
<tr>
<td>– Look at you with interest when you talk with him/her?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>– Smile in response to you?</td>
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</table>

<table>
<thead>
<tr>
<th>By 6 Months – <strong>Does the child:</strong></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>– Make several different sounds?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>– Try to get your attention by looking at your face and/or making sounds?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>– Make sounds and smile in response to your facial expressions and sounds?</td>
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<table>
<thead>
<tr>
<th>By 9 Months – <strong>Does the child:</strong></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>– Reach out to be picked up?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>– Respond to his/her name?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>– Make speech like sounds (baba, gaga)?</td>
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<td></td>
</tr>
<tr>
<td>– Babble tunefully (sing-song voice) while playing alone?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>– Turn to where a voice is coming from?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>– Enjoy being played with and does he/she take turns making sounds back and forth?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>– Understand no?</td>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>By 12 Months – <strong>Does the child:</strong></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>– Use a finger to point things out to you in the environment?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>– Imitate or use gestures like waving bye-bye?</td>
<td></td>
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<tr>
<td>– Let you know what he/she wants by using a combination of sounds and actions?</td>
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<td></td>
</tr>
<tr>
<td>– Bring you toys he/she wants to show you and/or play with?</td>
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<td></td>
</tr>
<tr>
<td>– Enjoy playing games like Peek-a-Boo and Pat-a-Cake and will he or she sometimes start the game?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>– Understand some simple phrases (Come here, Don’t touch)?</td>
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<tr>
<th>By 15 Months – <strong>Does the child:</strong></th>
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<tr>
<td>– Usually look at you when communicating?</td>
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<tr>
<td>– Repeat words he/she hears?</td>
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<tr>
<td>– Seem to be talking in sentences but not using real words?</td>
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<tr>
<td>– Say one or two words?</td>
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<tr>
<td>– Understand some simple questions and commands (Where is the ball?)</td>
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<th>By 18 Months – <strong>Does the child:</strong></th>
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<tr>
<td>– Point, look at you, and then at what he/she is talking about?</td>
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<tr>
<td>– Use the word no?</td>
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<tr>
<td>– Say 10 or more words?</td>
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<tr>
<td>– Understand and use the names of familiar objects (ball, light, bed, car)?</td>
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Follow 2-part discussions (Go to the kitchen and get your cup)?

With a diagnosis of cleft lip/palate, hearing loss, PDD/Autism, developmental delay (who is not receiving services)

Whose play or social interactions seems inappropriate

Whose voice sounds different/odd to you

Who often repeats sounds and/or words (stuttering)

If language skills have not improved over the last 6 months

If you are concerned about his/her speech/language/hearing development

Refer any child:

Do people outside the family understand most of what he/she says?

Participate in long, detailed conversations?

Talk about the past, future and imaginary events?

By 5 Years

Do people outside the family understand more than 3/4 of what he/she says?

Start a conversation and continue it, staying on the same topic?

Use I, me, you, he and she properly?

Answer who, how, how many questions?

Ask many questions?

Tell a story that is easy to follow?

By 4 Years

Do people outside the family understand 1/2 of what he/she says?

Ask why questions?

Talk about something that happened in the past?

By 3 Years

Enjoy listening to simple stories?

Use descriptive words (hungry, big, hot)?

Use 2 word combinations (Me go, More cookie)?

Ask questions like: What dat?

By 2 Years

Does the child: Take turns when playing with a partner?

Does the child: Use tools for pretend play?

Does the child: Sometimes answer the question: What is this?

Does the child: – Explain how an object can be used?

Does the child: – Answer when and why questions?

Does the child: – Talk about the past, future and imaginary events?

Does the child: – Participate in long, detailed conversations?

Does the child: – Do people outside the family understand most of what he/she says?
Appendix K: Autism Spectrum Disorder

Developmental Surveillance: Focus on 18–36 Months: Approach to Children with Identified Developmental Difficulty
By Wendy Roberts

When a child has specific delays in communication and is not using verbal or nonverbal means to share interest with other people by 16 months of age there is cause for concern, and a careful diagnostic appraisal needs to be done from a developmental point of view. Similarly, any child who loses the use of language or social skills, particularly between the age of 15 and 24 months, needs to be looked at very carefully. When the absolute indicators for immediate evaluation are met, consideration must be given to whether the child could have an Autism Spectrum Disorder (ASD).

The term Autism Spectrum Disorder is now replacing the term Pervasive Developmental Disorder (PDD) since Pervasive Developmental Disorder has become a confusing term for parents. Some parents have been given the diagnosis of PDD, and are then shocked a couple of years later to find out that, in fact, their child has Autism. The use of the term Autism Spectrum Disorder allows the idea of progress and skill development during the initial labeling process, shifting the child in a positive direction along the spectrum. It may be less likely to have parents feel that the autistic label is a permanent life sentence. Research has shown that even experienced professionals are not reliably able to differentiate between Pervasive Developmental Disorder and Autism, particularly in the preschool years. The term "high functioning" has been confusing because it may be used to describe a child who is either intellectually high functioning or who has less autistic symptoms.

Early identification of an Autism Spectrum Disorder is critical since outcome has been shown to be quite different if children have intensive input in the preschool years. Many high functioning children have been missed in the past because, particularly with parent’s scaffolding and support, observed interactions between the child and parent during a short visit to the clinic have failed to show any outstanding abnormality. A prolonged period of observation (e.g., 5–10 minutes) of the child in a play situation is needed.

Glascoe has shown that parent’s concerns are in fact very accurate and need to be paid attention to. The current 1 to 3-year lag, documented between the time when parents are first worried and when a physician first gives a diagnosis, must be reduced.

The early signs of Autism that parents notice are:

- Lack of response to name
- Lack of response to social overtures
- No seeking to get the attention from another person
- Behavioural irritability
- Lack of interest in toys
- Sensory fascination or hypersensitivity

Some of the more classical features of Autism and those seen in older children may be missing in the early years. There is not the same degree of stereotypic and compulsive behaviours. There is not the same insistence on routines and rituals. Many children are quite affectionate both in accepting and in looking for affection, and many will have eye contact particularly to get their needs met, although not sustaining eye contact for social interaction. The absence of the more typical signs has led in many cases to people making incorrect definitive statements such as, “this is definitely not Autism.”
When Autism is suspected the best current measure is still the Checklist for Autism in Toddlers (CHAT) developed by Simon Baron-Cohen. This checklist documents parent reports of social interest, social play, pretend play, pointing to show, and bringing an object to share interest. The CHAT is the best tool that we have for specifically looking at Autism at a screening level so far, although there are some limitations in its use. The CHAT has been shown to have high specificity, in that children who failed three key items on the CHAT at 18 months were shown to maintain their diagnosis of Autism after 3 years. However, 50 percent of children diagnosed with Autism at 3 years were not detected by the CHAT at 18 months when it was carried out in a larger population study. So the sensitivity is not nearly as good as the specificity. As a result, if Autism is suspected, further diagnosis and repetition of the CHAT must be done on a regular basis during subsequent visits.

When a child is referred on for a diagnostic assessment usually by a Developmental Paediatrician or a Psychiatrist, the clinician must be experienced and up-to-date in the assessment of Autism. A Diagnostic Interview and Observation Scale must be used, in addition to either questionnaires or observing videotapes from home and a community setting. The specific use of DSM-IV criteria in children under 3 is not a reliable way to make a diagnosis. Using the DSM-IV criteria as a checklist is particularly unreliable; clinicians need to be able to interpret DSM-IV criteria and apply them specifically to younger children during the history-taking process.

A unique difference in younger children with ASD is unusual sensory interests. This can include seeking of tactile input such as rubbing surfaces, squeezing balls that have different textures; dropping objects and watching them fall, or listening to them fall; watching unusual light patterns; flicking light switches on and off; and looking through their fingers at a light in the background. Sensory peculiarity may greatly limit food intake and some children will only eat very crisp food or very cold food. Many will not accept any mixtures at all. Sensory limitations from diet can lead to quite significant iron deficiency, particularly after the 18-month period.

Younger children have less of the typical autistic repetitive behaviours such as jumping, spinning, or running around in circles. Many will have subtle hand flapping or flicking and hyperextension of fingers.

Medical investigations should always include an audiological assessment with ABR’s if there is any doubt about hearing. Most chromosome assessments will not reveal particular abnormalities unless there are significant dysmorphic features. The research is focusing particularly on chromosome 7 and 15, but there is no diagnostic test yet. Children will usually be screened through DNA analysis for Fragile X syndrome. If there is a history of pica, a lead level is suggested; if there is dietary restriction, look for decreased ferritin. If there is any history suggestive of a metabolic disorder then a metabolic screen should be done. Many children, especially those with disturbed sleep and those with significant regression, will have abnormalities on an overnight EEG. An awake EEG is not helpful, and most sleep deprived EEG’s are difficult to interpret.

When Autism is suspected, intervention must be urgent and intrusive. It involves the working together of a team that must include parents. If a child is under 2 years, a referral to the Infant Development Program so that work can start in home in terms of teaching skills to parents and working with the child to develop social reciprocity and communication. The Preschool Speech and Language Initiative needs to be involved with the speech pathologist being a key member of the team. The Hanen Parent Program “More Than Words” has been very helpful to give parents intensive education and modeling of intrusive interaction leading to the understanding of communication starting in the child. The new Autism Behavior Initiative and the Preschool Behavioural Autism Program should be contacted so that the child can be assessed for eligibility. Parent support and education programs run through the Geneva Centre, which is a Children’s Mental Health Centre for children with Autism, can be helpful.

During the last few years as we have learned more about Autism and have seen the results of early intervention. It is clear that children can do better when they are detected at an earlier age, when families are able to access more support and more financial aid for both their child’s education and respite care when it is needed. In the long term, society will pay less as children do better and families cope better.
Appendix L: Checklist for Autism in Toddlers (CHAT)

The Checklist for Autism in Toddlers (CHAT)

How to Use the CHAT

1) Ask parents the 9 questions in Section A (Box 1).
2) Complete the 5 questions in Section B by direct observation (Box 1)
3) The 5 key items in Sections A and B (Box 2) are concerned with joint attention and pretend play. The key items in Section B validate (by cross-checking) the parent’s answers to the key items in Section A. The remaining non-key items (Box 2) assist in distinguishing autism from other global developmental delays, and provide an opportunity for all parents to answer “yes” to some questions. The degree of risk for autism depends on which items a child fails. See Box 3 for risk assessment.

Box 1: The CHAT – Section A: Ask Parent

1. Does your child enjoy being swung, bounced on your knee, etc.? Yes No
2. Does your child take an interest in other children? Yes No
3. Does your child like climbing on things, such as up stairs? Yes No
4. Does your child enjoy playing peek-a-boo/hide-and-seek? Yes No
5. Does your child ever PRETEND, for example, to make a cup of tea using a toy cup and teapot, or pretend other things? Yes No
6. Does your child ever use his/her index finger to point, to ASK for something? Yes No
7. Does your child ever use his/her index finger to point, to indicate INTEREST in something? Yes No
8. Can your child play properly with small toys (e.g. cars or bricks) without just mouthing fiddling or dropping them? Yes No
9. Does your child ever bring objects over to you (parent) to SHOW you something? Yes No

Section B: General Practitioner or health visitor observation

I. During the appointment, has the child made eye contact with you? Yes No
   Does the child look across to see what you are pointing at?* Yes No*
II. Get child’s attention, then point across the room at an interesting object and say ‘Oh look! There’s a (name of toy!) Watch child’s face.
   Does the child look across to see what you are pointing at?* Yes No*
III. Get the child’s attention, then give child a miniature toy cup and teapot and say Can you make a cup of tea?
   Does the child pretend to pour out tea, drink it, etc.?*** Yes No***
IV. Say to the child ‘Where’s the light?’, or ‘Show me the light’. Does the child POINT with his/her index finger at the light?*** Yes No***
V. Can the child build a tower of bricks? (If so how many?) (Number of bricks:...........) Yes No

* To record YES on this item, ensure the child has not simply looked at your hand, but has actually looked at the object you are pointing at. 
** If you can elicit an example of pretending in some other game, score a YES on this item. 
*** Repeat this with ‘Where’s the teddy?’ or some other unreachable object, if child does not understand the word ‘light.’ To record YES on this item, the child must have looked up at your face around the time of pointing.

Box 2: Key and non-key items

CHAT key items

Section A
A5: Pretend Play
A7: Protodeclarative pointing

CHAT non-key items

Section A
A1: Rough and tumble play
A2: Social interest
A3: Motor development
A4: Social play
A6: Protoimperative pointing
A8: Functional play
A9: Showing

Section B
BII: Follow a point
BIII: Pretending
BIV: Producing a point

CHAT key items

B: Eye Contact
B: Tower of bricks

CHAT non-key items

Box 3: Risk Assessment

High risk for autism group
Fail A5, A7, BII, BIII, BIV

Medium risk for autism group
Fail A7, BIV (but not in maximum risk group)

Low risk for autism group
Not in other 2 risk group


Improving the Odds: Healthy Child Development
Appendix M: 18 Month Visit Flowchart

Screening and Intervention: 18-24 Month

**Office Visit – Nipissing Screen: Parent**

- **Normal** -
  
  All "yes" checks on the age appropriate screening sheet

  - **Parenting** -
    Community Program

  - **24 months** -
    Repeat surveillance

- **Normal Development early in life but now regression** -
  
  1 or more "no" checks on

  - Use the **Rourke Record** to determine areas of difficulty
  
  **with hearing check**

- **Slow development from early in life** -
  
  2 or more "no" checks on Nipissing

- **Speech and language delay / difficulty only**

  - Speech and Language Services
  - Early intervention (Infant Development Program)
  - Continue to monitor closely

- **Symptoms of social difficulty/autism**

  - CHAT
  - Refer for Pediatric assessment
  - Early intervention (Infant Development Program)
  - Speech and Language Services
  - Continue to monitor closely
  - Preschool Autism Services

- **Delay with motor development**

  - **Global Developmental delay**

  - Pediatric assessment
  - Early intervention (Infant Development Program)
  - Children’s Treatment Centre or Developmental Pediatrician
  - Ongoing Healthy Babies, Healthy Children Programs & other family resources

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Community Team works collaboratively:
Physicians, Infant Development Program, Healthy Babies, Healthy Children initiative, Speech & Language Services, Children's Treatment Centre, Preschool Autism Services

Prepared by: Elizabeth Thompson, Tara Kennedy, Wendy Roberts, Nadia Hall, Steven Cohen and Rhonda Schwartz

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Appendix N: Developmental Issues in an Older Child

Case Study: A 5 Year old with Developmental Coordination Disorder

What is Developmental Coordination Disorder (DCD)?
Developmental coordination disorder is a diagnosis that has been in the Diagnostic and Statistic Manual since 1989 (DSM-IV-TR, 2000; Category 315.4, pp. 56-57) and is recognized by the World Health Organization. It affects 5-6% of school aged children and is more common in boys.

Children with developmental coordination disorder are not just poor in athletic ability. For a diagnosis of DCD to be given, the motor coordination difficulties and motor delay must impact on the child's ability to perform everyday tasks in self-care and/or academic areas. Although they may gradually learn some motor skills, children with DCD do not outgrow the problem. Early identification is critical because the secondary consequences of coordination difficulties include academic, behavioural, social and emotional problems.

What will you hear from parents?
Parents have told us that they nearly always expressed concern to their family physician when their child was a preschooler but the concern was often quite vague. "Something's not right" is the strongest theme that has emerged from qualitative studies.

At a 5 year checkup, this is the type of story that a family physician might hear.
"Something isn't right with David. He often has stomach aches and he seems to have no energy. He never wants to play outside and he lays down on the floor a lot at school."

Many physicians tend to reassure parents that attending kindergarten full days is really tiring for children and that they shouldn't worry. Instead, an open ended question about the school situation would elicit some additional information:

David entered kindergarten this past September. He attends on alternate days and, even after two months, seems reluctant to go to school. Some mornings he is whiney and complains that his stomach hurts. Getting dressed is always a struggle so his mother ends up helping him in order to get him to school on time. David's mother has gone in to the school to observe and has noticed that David likes sharing stories with his teacher, playing at the sand and water centres and dramatic play. He doesn't like craft activities, has trouble using scissors and avoids drawing or printing letters. David seems to like listening to books but often seems to have difficulty sitting quietly at circle time; he usually ends up getting in trouble as he leans on other children or lying down on the floor. In outdoor play, David is cautious and frequently spends time sitting and talking with the teachers. On days that he is at home, he is far happier, preferring to look at books, play on the computer and go on errands with his mother.

Differential Diagnosis
If the physician has not had the opportunity to do regular developmental checks, he or she will often ask about developmental motor milestones. Most children with DCD achieve all major motor milestones within normal limits. David sat at 6 months, crawled at 9 months and walked at 14 months. (Note: If motor milestones are really late, more questions should be asked about cognitive issues.) With children with DCD, the family physician should focus not on motor milestones but on motor learning.

A great question at this point is:
"When you think back, is there anything that you have tried to teach David how to do that has taken longer than you think it should have?"

This question would elicit the information that David took a long time learning to ride a tricycle, still can't catch or throw a ball very well, and that his mother is still dressing him because he can't seem to learn how to do up buttons or zippers or tie his shoes.
The family physician needs to rule out medical conditions such as muscular dystrophy, tumors, cerebral palsy, pervasive developmental delay, or other more rare deteriorating neuromuscular conditions. This is usually done through questions that elicit comments from the parents about the fact that the coordination difficulties have been present for awhile and that the child does not seem “worse” than previously. If the child has “lost” motor skills that he once had, other diagnoses need to be considered.

More commonly, the parent is concerned because there are now increased demands for the child to be more independent in self-care and there is avoidance of pre-academic activities (such as cutting with scissors, drawing and colouring). The “fatigue” that is often described relates to the child’s low tone and difficulty with co-contraction in order to maintain a stable position. Children with DCD sometimes look “floppy” or “squirmy” when in positions like sitting cross-legged on the floor because they can’t maintain their position for long. This squirmy behaviour may be interpreted as inattention but their cognitive attention to task is often quite good. Many children with DCD will be early readers and will have strong language skills. Others will have concomitant learning disabilities and these will need to be explored through further assessment.

So, to summarize, the family physician’s questions need to ask about:

• **Motor milestones** – usually achieved a bit late but within normal limits

• **Motor learning** – usually has trouble learning motor skills involving use of “tools” (crayons, scissors, fork, knife), eye hand coordination (printing, catching and throwing balls), gross motor coordination (tricycle riding, climbing a slide), fine motor coordination (buttons, zippers, shoelaces)

• **Motor skills** – delayed in acquiring skills but should not show “loss” of skills

• **Impact at school and home** – strong preference for sedentary activities, avoidance of physical activity and/or academic activities

• **Self-efficacy issues** – children with DCD typically recognize their differences and avoid or give up quickly on tasks that are difficult for them

• **Co-morbidity issues** – DCD is often associated with Attention Deficit Disorder, Learning Disabilities, Nonverbal Learning Disabilities and Specific Language Impairments. If one of these other diagnoses is present, BOTH diagnoses need to be given so the motor skill delays and motor learning problems are addressed.

**What can be done?**

A referral to an occupational therapist for assessment and intervention is strongly recommended. Evidence is accumulating for the efficacy of a cognitive approach to intervention which uses a problem-solving, strategy based approach to help the child “learn how to learn” new motor skills. Even if direct intervention is not possible, education of parents and teachers is critical to prevent secondary emotional and behavioural problems. Many teachers believe that a child with DCD is lazy, unmotivated or inattentive. Education about the child’s coordination difficulties can make a huge difference, as teachers are then more willing to modify motor tasks and reduce their expectations for written output.

Occupational therapists can be found at Children’s Treatment Centres, through School Health Support Services, through outpatient departments at Children’s Hospitals and through private agencies. Waitlists are often lengthy; however, in the meantime, parents can be referred to the CanChild website (www.canchild.ca) for educational materials that contain practical suggestions (e.g., velcro shoes for children who have difficulty with laces).
The occupational therapist will:
1) Perform a thorough assessment of the child’s developmental skills.
2) Determine how different aspects of the child’s daily life are affected.
3) Teach the child new ways of thinking his/her way through learning new tasks.
4) Provide adapted equipment and materials to improve task performance.
5) Help parent and child set up appropriate expectations.
6) Modify environmental factors to maximize participation.
7) Guide parents in the selection of leisure and sports activities that are more likely to be successful for the child.
8) Help parents and child maximize strengths.

If other conditions are present, such as attention deficit /hyperactivity disorder, leaning disabilities, speech language impairment or nonverbal learning disorders then referral to a developmental pediatrician, psychologist or speech-language pathologist may also be recommended. If behaviour problems such as school refusal, anxiety or depression are present, referral to a clinical psychologist or a child psychiatrist may be indicated.

Great resources:


For materials suitable for parents, teachers and other professionals, try the CanChild, Centre for Childhood Disability Research, website: www.canchild.ca

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### Appendix O: Resources and Referral Services

#### Your Guide to Local Services

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“Improving the Odds: Healthy Child Development” was developed as an interdisciplinary MAINPRO CME program by the Ontario College of Family Physicians in partnership with McMaster University Department of Family Medicine, Registered Nurses Association of Ontario, Public Health Units of Toronto and Niagara and the Government of Ontario. Funding for the training was provided to the Ontario College of Family Physicians by the Early Years and Healthy Child Development (EYHCD) Branch of the Integrated Services for Children Division (ISCD) (Ministry of Health and Long-Term Care and Ministry of Community, Family and Children's Services). This toolkit was realized with the support and assistance of Best Start: Ontario’s Maternal, Newborn and Early Child Development Resource Centre with funding provided by Ontario Early Years.